

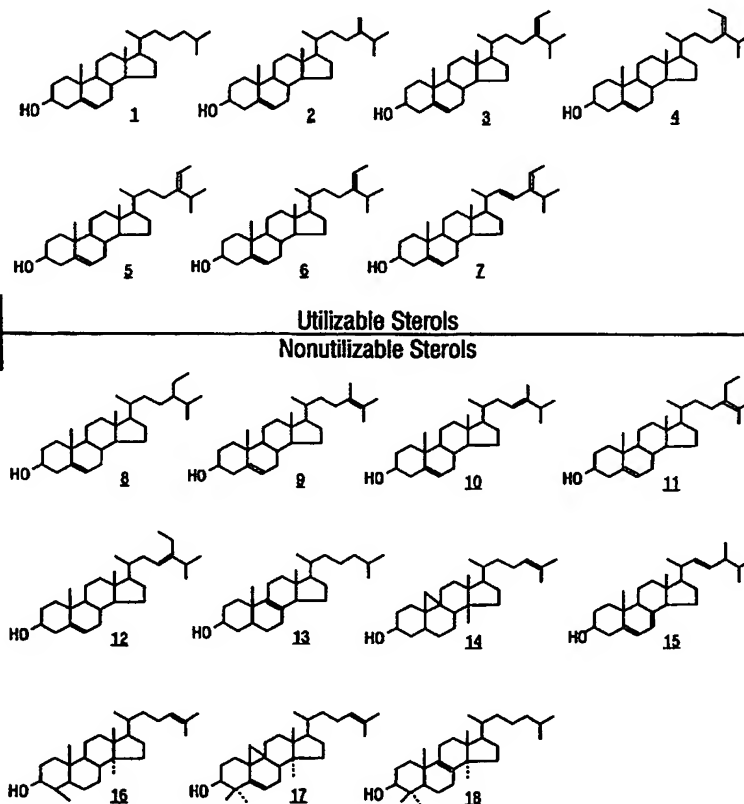


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification:</b> <b>C12N 15/82, 9/10, A01H 5/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/45457</b> <b>(43) International Publication Date:</b> 15 October 1998 (15.10.98)
<b>(21) International Application Number:</b> PCT/US97/23495 <b>(22) International Filing Date:</b> 24 December 1997 (24.12.97) <b>(30) Priority Data:</b> 60/033,923 26 December 1996 (26.12.96) US <b>(71) Applicant:</b> MONSANTO COMPANY [US/US]; 800 North Lindbergh Boulevard, St. Louis, MO 63167 (US). <b>(72) Inventor:</b> NES, W., David; 5818 76th Street, Lubbock, TX 79424 (US). <b>(74) Agent:</b> KAMMERER, Patricia, A.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>

**(54) Title:** TRANSGENIC PLANTS WITH MODIFIED STEROL BIOSYNTHETIC PATHWAYS**(57) Abstract**

Plant phytosterol compositions are modulated in transgenic plants to confer resistance to insects, nematodes, fungi, and/or other environmental stresses, and/or to improve the nutritional value of the plants. Recombinant DNA molecules of the invention encode RNA or protein sequences capable of altering plant sterol profiles by affecting the expression or activity of sterol biosynthetic enzymes. The DNA molecules are transformed into plant cells and plants having altered sterol compositions are regenerated therefrom.



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## TRANSGENIC PLANTS WITH MODIFIED STEROL BIOSYNTHETIC PATHWAYS

**FIELD OF THE INVENTION**

The present invention broadly relates to plant genetic engineering. More particularly, it concerns the manipulation of the levels and/or activities of endogenous plant phytosterol compositions as a strategy for minimizing crop damage due to plant insects and other pests, and/or for improving the nutritional value of plants.

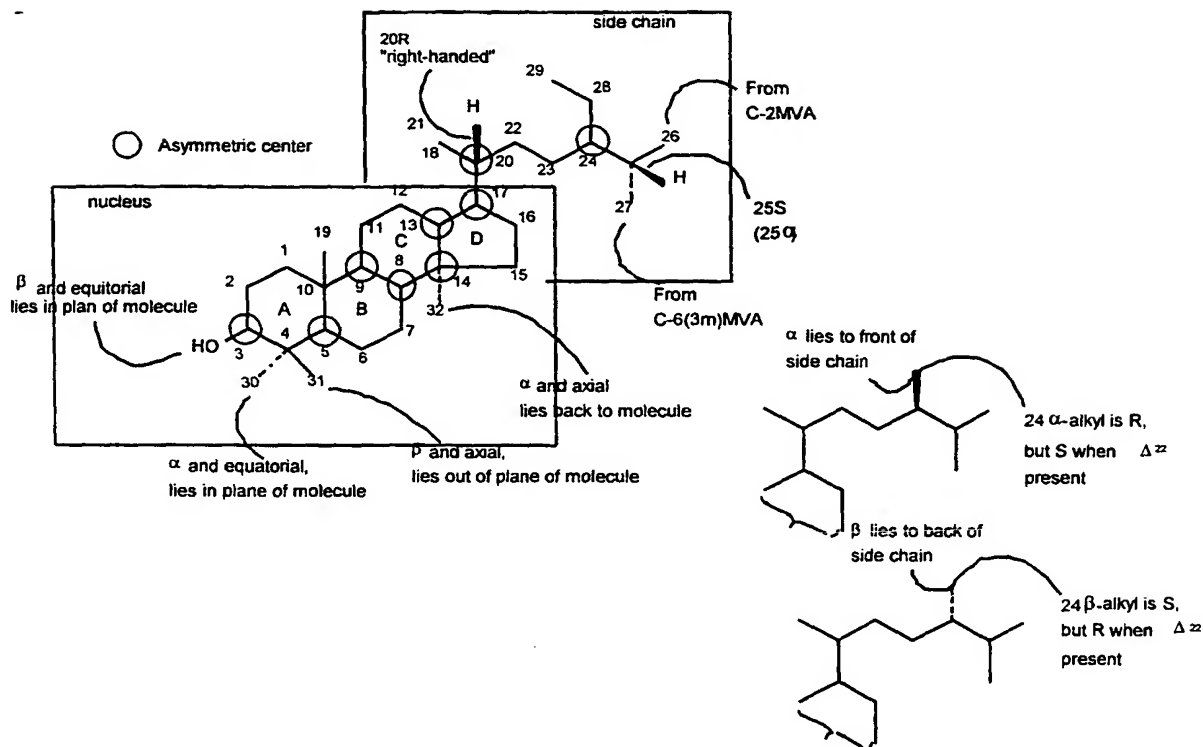
**BACKGROUND OF THE INVENTION**

Productivity in agricultural industries can be adversely affected by various environmental stresses, including drought, severe cold, weeds, and organisms that feed on crops. Conventional approaches for alleviating weeds and parasitic organisms have relied almost exclusively on chemical herbicides, pesticides and fungicides. Widespread use of these agrochemicals, however, has led to development of resistance. In fact, insect resistance has been reported against most major classes of insecticides including organophosphates, chlorinated hydrocarbons, and carbamates.

Sterols comprise a class of essential natural compounds required to some extent by all eukaryotic organisms. They have a common tetracyclic steroid nucleus and a side chain, as shown in the diagram below. Some sterols serve a structural role in cell membranes, while others are required during development.

Plants produce more than 250 different phytosterols (Akisha et al., 1992). As many as 60 sterols have been identified in the single species, *Zea mays* (corn) (Guo et al., 1995).

However, insects, fungi and nematodes, as well as many other sterol-less parasitic organisms, do not synthesize all of their necessary sterols *de novo*. Rather, they satisfy their nutritional requirements for sterols by feeding on plants. This fact has been utilized in the development of commercial agrochemicals such as triazoles, pyrimidines and azasterols, which act by interfering with production of sterols within parasitic organisms.



- 5 Recent advances in molecular biology have made it possible to introduce advantageous traits into plants via genetic engineering. Some forms of insect resistance have been introduced into plants by genetic approaches. For example, transgenic plants expressing foreign genes encoding endotoxins of *Bacillus thuringiensis* (*Bt*) can confer on the plants the ability to kill pests which feed on them. Unfortunately, approaches such as this are
- 10 effective only against the particular insects susceptible to the endotoxin. There remains in the agricultural industries a continual need for alternative pest control strategies, particularly those that could be broadly effective against numerous pests/pathogens.



### SUMMARY OF THE INVENTION

The present invention broadly relates to approaches for genetically engineering plants to have altered sterol compositions, levels and/or metabolism. Such approaches can increase the plants natural insect resistance, can increase the plants resistance to drought and cold, and/or can improve the nutritional/health value of the plants.

In accordance with one aspect of the invention, there are provided recombinant DNA molecules comprising:

- a promoter which functions in plants to cause the production of an RNA sequence, operably linked to

- a DNA coding sequence encoding an enzyme which binds a first sterol and produces a second sterol, operably linked to

- a 3' non-translated region which causes the polyadenylation of the 3' end of the RNA sequence; wherein the promoter is heterologous with respect to the DNA sequence.

The DNA coding sequence encoding an enzyme which binds a first sterol and produces a second sterol can be in the sense or antisense orientation. Thus, the DNA molecule of the invention can encode a non-translatable RNA molecule (e.g., antisense or cosuppression) or a protein molecule. The RNA or protein so produced selectively targets the expression and/or activity of a sterol biosynthetic enzyme to affect a desired change in the phytosterol profile of the plant.

Therefore, in accordance with another aspect of the present invention, there is provided an approach for modifying the sterol composition of plants to increase their resistance to insects, nematodes, and pythiaceous fungi. This aspect of the invention enhances the plant's ability to resist pests and disease by modifying the composition and/or distribution profile of certain phytosterols. Such an approach overcomes many of the limitations inherent in the use of agrochemicals, or with transgenic plants where the foreign product introduced into the plant has the potential to eventually select for new mechanisms of resistance by the pest. The present invention retains the benefits

obtained through the use of agrochemicals, but avoids many of their disadvantages. By targeting an existing essential pathway in pests and pathogens, this invention reduces the likelihood of the evolution of mechanisms which circumvent this pathway.

- 5 Plant sterol composition is modified in this aspect by increasing the amount of non-utilizable sterols such as 4-methyl sterol, 9 $\beta$ ,19-cyclopropyl sterol,  $\Delta^7$ -sterol,  $\Delta^8$ -sterol, 14 $\alpha$ -methyl sterol,  $\Delta^{23(24)}$ -24-alkyl sterol,  $\Delta^{24(25)}$ ,24-alkyl sterol or  $\Delta^{25(27)}$ ,24-alkyl sterol.

Alternatively, sterol compositions can be modified to contain lower levels of sterols having a  $\Delta^5$  group.

10

Another aspect of the present invention relates to producing sterols in plants that confer resistance to drought and cold in plants.

Another aspect of the invention relates to altering the sterol profile of plants such that  
15 levels of cholesterol-lowering sterols are increased.

The aspects of the invention described herein are typically achieved by modifying the expression and/or activities of sterolic enzymes, preferably S-adenosyl-L-methionine- $\Delta^{24}$ -sterol methyl transferases (SMT<sub>I</sub> and SMT<sub>II</sub>), C-4 demethylase, cycloeucalenol to  
20 obtusifoliol-isomerase, 14 $\alpha$ -methyl demethylase,  $\Delta^8$  to  $\Delta^7$ -isomerase,  $\Delta^7$ -sterol-C-5-desaturase, or 24,25-reductase.

Another aspect of the invention is directed to transgenic plants having altered levels of selected sterols, produced by introducing recombinant DNA molecules of the invention  
25 into the genome of plant cells and selecting for cells expressing said molecule. Transgenic plants are regenerated from the transformed plant cells and plants containing the recombinant DNA are grown to maturity. Plants expressing the recombinant DNA are identified and those having a desired sterol profile in accordance with the present invention are selected and propagated.

30

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

Fig. 1 shows HPLC radiocount (panel B) and mass spectrum (panel A) results of testing SMT enzyme with radiolabeled substrate co-factor;

Fig. 2 shows six inhibitors used to test the SMT enzyme;

Fig. 3 shows SMT activity during seedling development;

Fig. 4 shows the pathway of sterol end-products during development of seedlings;

Fig. 5 shows the yeast SMT gene sequence (panel B; SEQ ID NO:1) and the deduced amino acid sequence (panel A; SEQ ID NO:2) with the predicted conserved regions highlighted;

Fig. 6 shows the *Arabidopsis* SMT gene (panel B; SEQ ID NO:3) and deduced amino acid (panel A; SEQ ID NO:4) sequences;

Fig. 7 shows the *ERG6* constructs prepared with pUC18cpexp expression cassette;

Fig. 8 shows sequences of yeast SMT gene (SEQ ID NO:5). Underlined sequences are those used as primers for screening genomic DNA from transgenic tomato plants; and

Fig. 9 shows structures of plant sterols tested on *Heliothis zea* and found to be utilizable or non-utilizable.

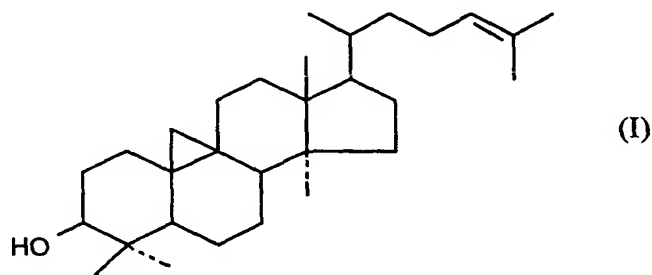
Figure 10 (SEQ ID NO:6) shows the nucleotide and amino acid sequences of the corn SMT gene.

### DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

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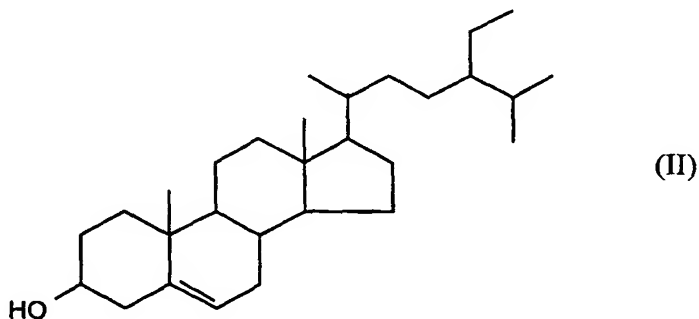
#### PHYTOSTEROLS

The phytosterol metabolic pathway consists of enzymes that act on the tetracyclic ring nucleus and the side chain. The major pathway in advanced vascular plants starts from cycloartenol (I):

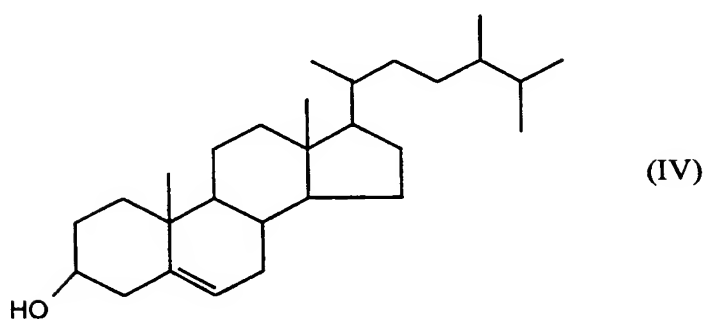
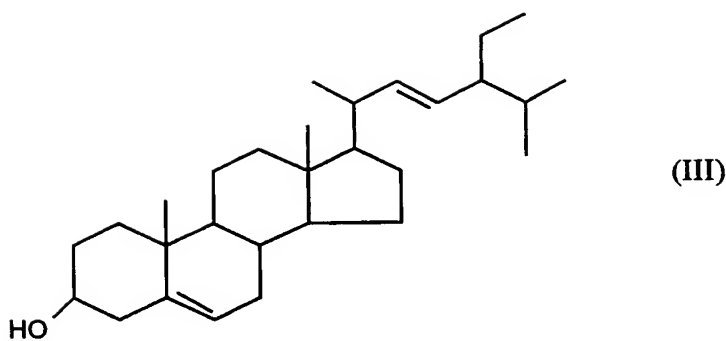


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and ends with  $\Delta^5$ -24-alkyl sterols, predominantly sitosterol (II), stigmasterol (III) and campesterol (IV):



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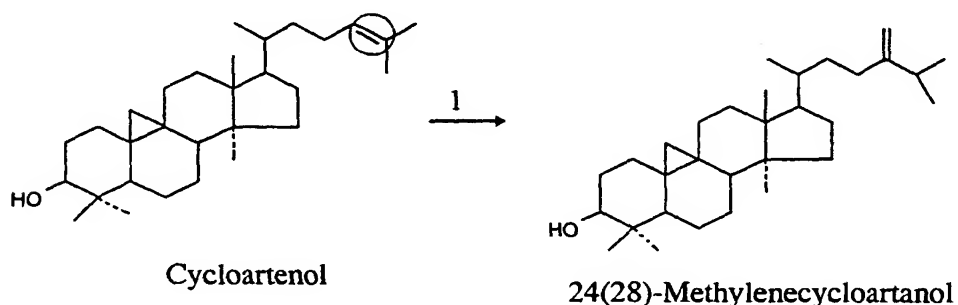
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The number of alternate pathways is sufficiently great to produce as many as 60 or more different sterols in a single plant. These alternate pathways vary according to tissue-  
10 and development-specific genetic programs.

Studies of sterol metabolism have utilized inhibitors of sterol biosynthesis. These inhibitors include several commercial fungicides which block sterol metabolic pathways in plant pathogenic fungi and thereby inhibit their growth. The following steps of the  
15 major metabolic pathway were determined using metabolic inhibitors. The major pathway consists of the 12 chemical transformations as follows.

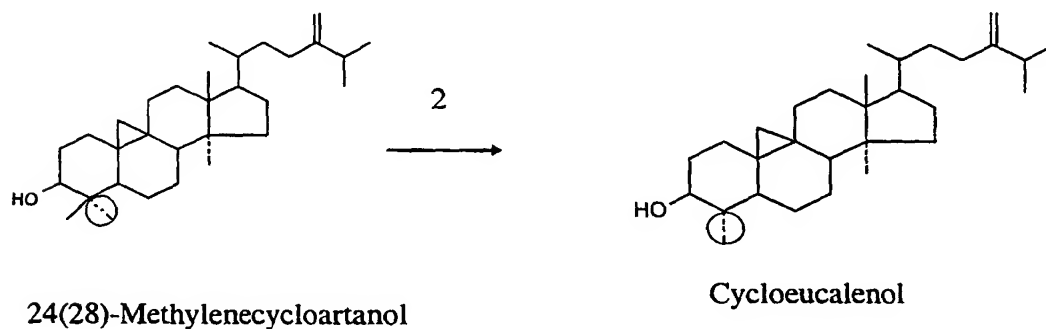
In reaction 1, the enzyme S-adenosyl-L-methionine-sterol-C-24 methyl transferase (SMT<sub>I</sub>) catalyzes the transfer of a methyl group from a cofactor, S-adenosyl-L-methionine, to the C-24 center of the sterol side chain. The circled sterol feature is the functional group undergoing transformation.

5

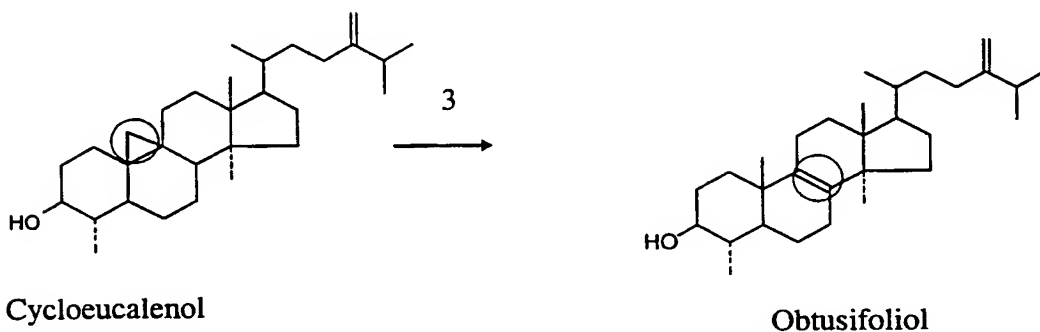


This is the first of two methyl transfer reactions, and is an obligatory and rate-limiting step of the sterol-producing pathway in plants. A different SMT enzyme, SMT<sub>II</sub>, catalyzes the conversion of cycloartenol to a  $\Delta^{23(24)}$ -24-alkyl sterol, cyclosadol (Guo et al., 1996).

Reaction 2 involves a demethylation at C-4. This is the first of several demethylation reactions in the nucleus.

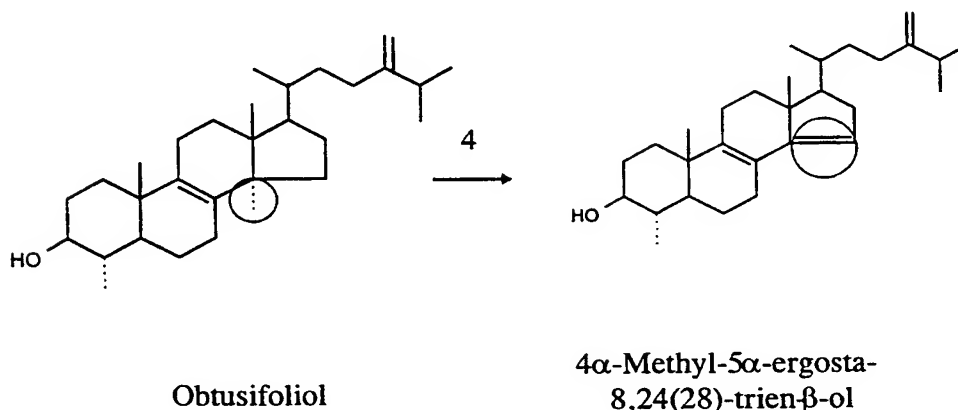


Reaction 3 involves opening the cyclopropyl ring at C-9(10) by the enzyme cycloeucalenol-obtusifoliol isomerase (COI), which also creates a double bond at C-8.



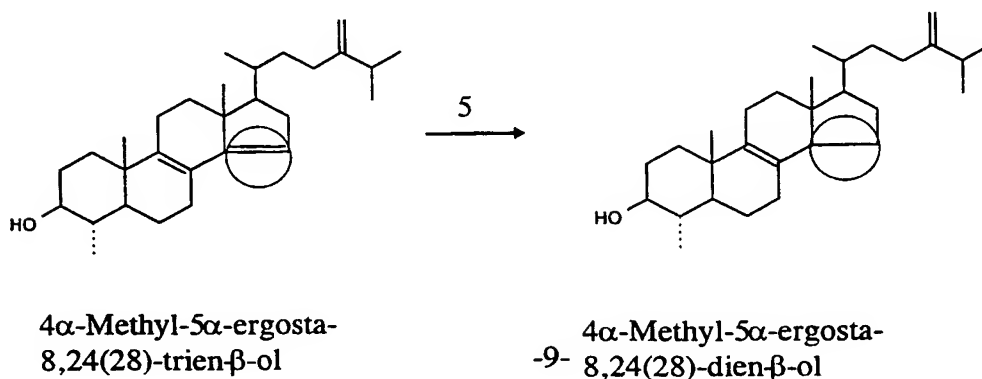
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Reaction 4 involves a demethylation at C-14 which removes the methyl group at C-14 and creates a double bond at C-14.

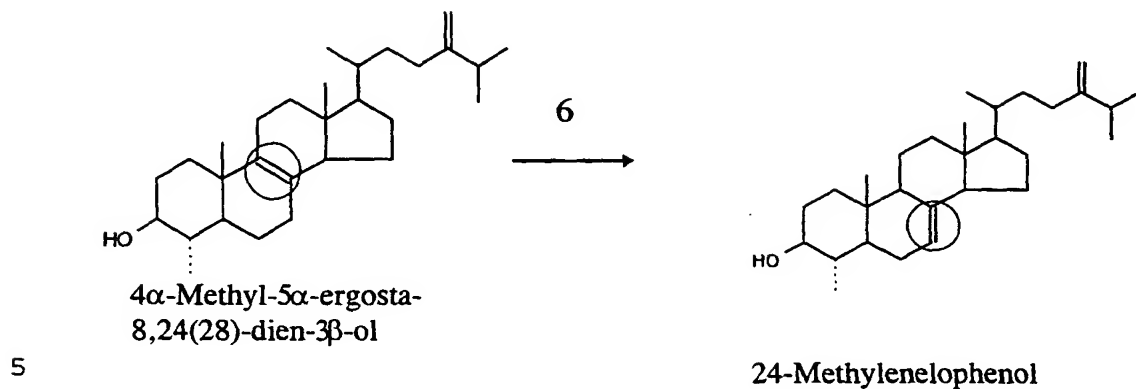


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Reaction 5 is catalyzed by a  $\Delta^{14}$  reductase.

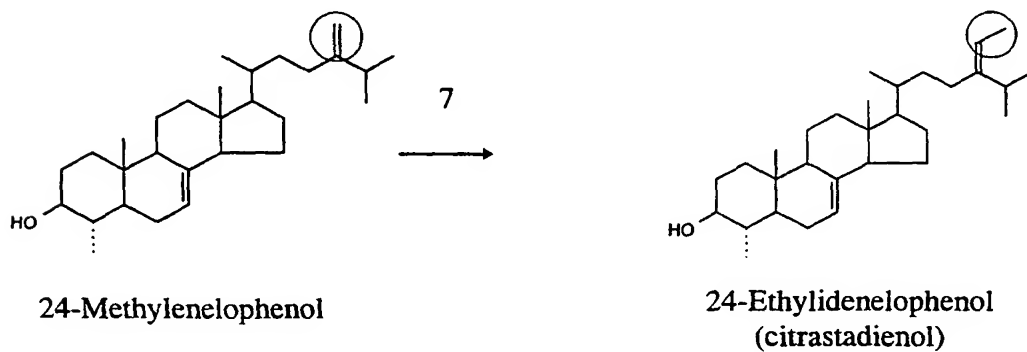


Reaction 6 involves a  $\Delta^8$ - to  $\Delta^7$ -isomerase reaction which produces a  $\Delta^7$  group.

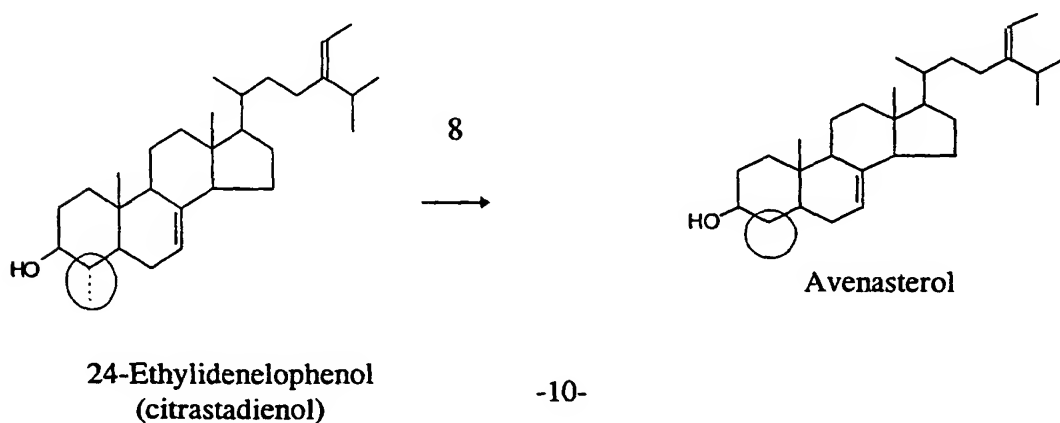


Reaction 7 is a second C- methylation of the sterol side chain. The reaction is catalyzed by SMT<sub>1</sub>, the same enzyme that initiated the major pathway.

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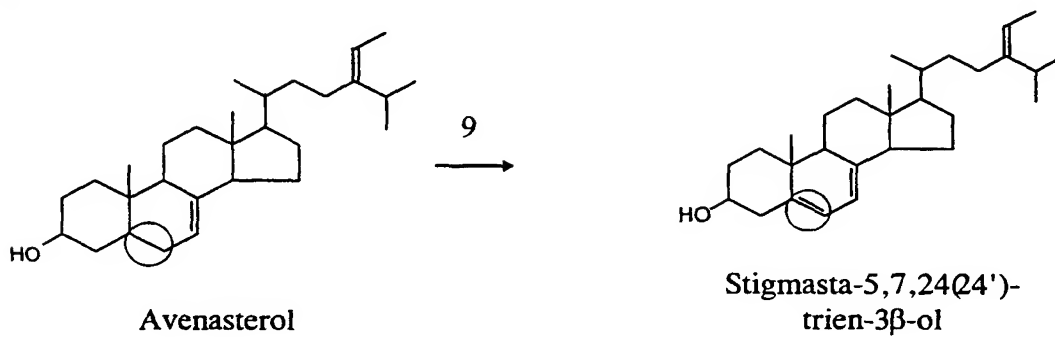


Reaction 8 involves a C-4 demethylase to generate a 4,4-desmethyl sterol.

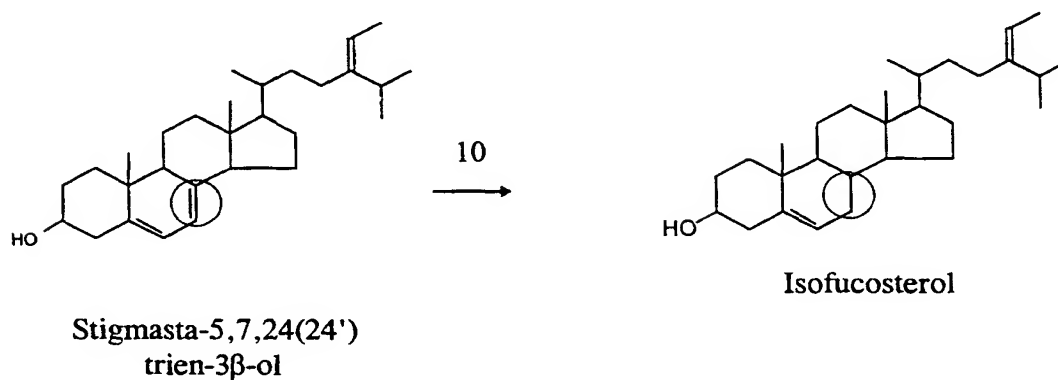




- 5 Reaction 9 involves a  $\Delta^5$  desaturase, producing a double bond at C-5 in the tetracyclic ring.

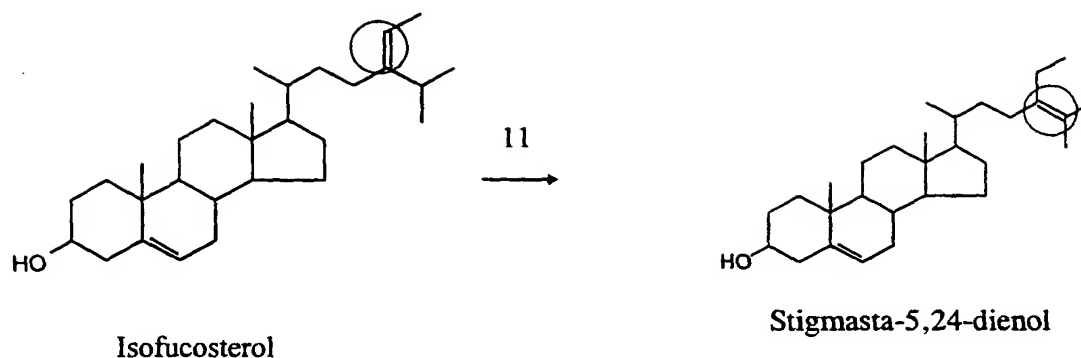


- 10 The product of reaction 9 is then transformed in reaction 10 by a  $\Delta^7$ -reductase by removing the double bond at C-7.

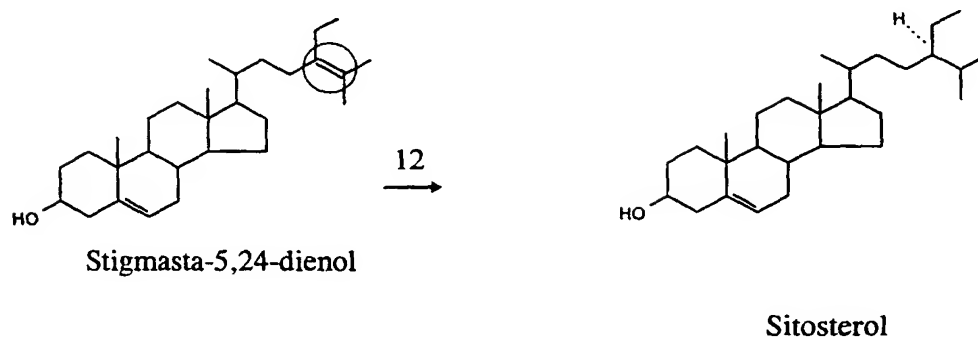


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Reaction 11, involves a  $\Delta^{24(28)}$ - to  $\Delta^{24(25)}$ -isomerase which modifies the side chain. (It is believed that this reaction would have proceeded from the product of reaction 5 if the kinetics were more favorable.)



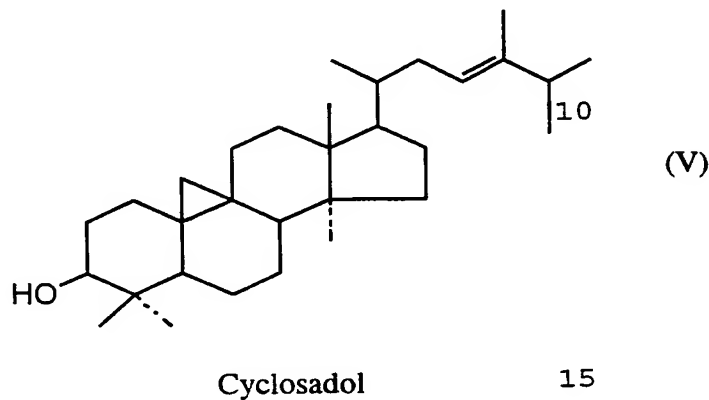
**Reaction 12:** the  $\Delta^{24(25)}$  double bond at C-24 is reduced stereoselectively to produce sitosterol (II).



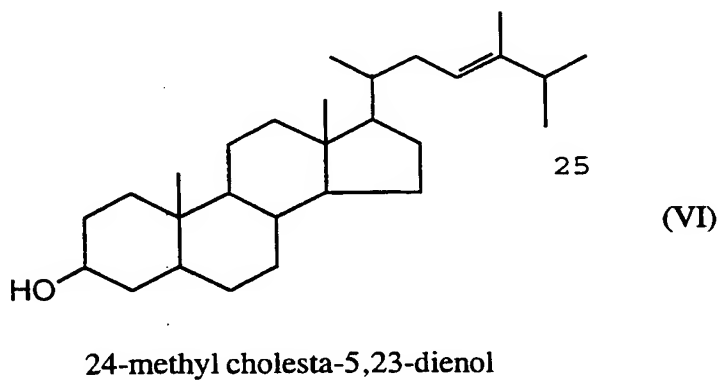
In addition to this major pathway of sterol biosynthesis, it has been found that a developmental program regulates expression of the SMT enzymes. In corn, enzymology studies have shown that two different SMT enzymes exist ( $SMT_I$  and  $SMT_{II}$ ) whose expression depends on the tissue and stage of differentiation. Blades mainly contain 24-ethyl sterols (resulting from the activity of  $SMT_I$ ), whereas the sheaths contain mainly 24-methyl sterols (VI) (resulting from the activity of  $SMT_{II}$ ). These sterols are the products of the two different SMT enzymes that react with the same starting material, cycloartenol.

The first enzyme,  $SMT_I$ , produces  $\Delta^{24(28)}$ -methylene and the second enzyme produces  $\Delta^{23(24)}$ -methyl sterol (V). The first isoform leads to a utilizable sterol (a sterol which can

be utilized by insects, pythiaceous fungi, and nematodes to complete their life cycles). The second isoform produces a non-utilizable sterol (a sterol which cannot be utilized by insects, pythiaceous fungi, and nematodes to complete their life cycles). Therefore, one could inhibit expression of the first isoform so as to cause accumulation of the non-utilizable  $\Delta^{23(24)}$ -methyl sterols.



As a result, the sterols that accumulate in the tissue contain a double bond at C-23 (VI) and a methyl at C-24.



RECOMBINANT DNA MOLECULES:

In order to achieve a desired alteration in sterol composition, the invention provides recombinant DNA molecules for use in the production of transgenic plants. A recombinant DNA molecule of the invention generally comprises a promoter region  
5 capable of causing the production of an RNA sequence in plants, a structural DNA sequence, and a 3' non-translated region.

Transcription of DNA into mRNA is regulated by the region of a gene referred to as the "promoter". The promoter region contains a sequence of bases that signals RNA  
10 polymerase to associate with the sense and antisense DNA strands and to use the sense strand as a template to make a corresponding strand of mRNA complimentary to the sense DNA strand. This process of mRNA production using a DNA template is commonly referred to as gene "expression" or "transcription".

15 In the recombinant DNA molecules of the invention, it is generally preferred that the promoter is heterologous with respect to the DNA coding sequence. The term "heterologous" with respect to a promoter means that the DNA coding sequence of a recombinant DNA molecule of the invention is not derived from the same gene to which the promoter is attached.

20 Promoters may be obtained from a variety of sources, such as plants and plant viruses. The particular promoters selected for use in embodiments of the present invention should preferably be capable of causing the production of sufficient expression to affect the desired change in the sterol distribution profile of the plant.

25 A number of promoters which are active in plant cells have been described in the literature, and are suitable for use in the DNA molecules of this invention. These include, for example, the cauliflower mosaic virus (CaMV) 35S promoter (Odell et al. 1985), the Figwort mosaic virus (FMV) 35S (Sanger et al. 1990), the sugarcane  
30 bacilliform virus promoter (Bouhida et al., 1993), the commelina yellow mottle virus

promoter (Medberry and Olsewski 1993), the light-inducible promoter from the small subunit of the ribulose-1,5-bis-phosphate carboxylase (ssRUBISCO) (Coruzzi et al., 1984), the rice cytosolic triosephosphate isomerase (TPI) promoter (Xu et al. 1994), the adenine phosphoribosyltransferase (APRT) promoter of *Arabidopsis* (Moffatt et al. 5 1994), the rice actin 1 gene promoter (Zhong et al. 1996), the mannopine synthase and octopine synthase promoters (Ni et al. 1995). All of these promoters have been used to create various types of DNA constructs which have been expressed in plants.

Recombinant DNA molecules also typically contain a 5' non-translated leader sequence.

10 This sequence can be derived from the promoter selected to express the gene, and if desired, can be specifically modified so as to increase translation of the mRNA. The 5' non-translated regions can also be obtained from viral RNAs, from suitable eukaryotic genes, or from synthetic gene sequences.

15 The structural DNA sequence of the recombinant DNA molecule of the invention will cause the desired alteration in the sterol profile of the plant, as discussed further below.

The 3' non-translated region of a recombinant DNA molecule of the invention can be obtained from various genes which are expressed in plant cells. For example, the 20 nopaline synthase 3' untranslated region (Fraley et al. 1983), the 3' untranslated region from pea ssRUBISCO (Coruzzi et al. 1994), and the 3' untranslated region from soybean 7S seed storage protein gene (Schuler et al. 1982) are frequently used. The 3' non-translated region of a recombinant DNA molecules contains a polyadenylation signal which functions in plants to cause the addition of adenylate nucleotides to the 3' 25 end of the RNA.

Other desired regulatory sequences known to the skilled individual, or combinations thereof, can be included in a recombinant DNA molecule of the invention. For example, intron sequences are frequently included in recombinant DNA molecules used 30 for producing transgenic plants in order to enhance expression levels. Examples of

plant introns suitable for expression in plants can include maize hsp70 intron, rice actin 1 intron, maize ADH 1 intron, *Arabidopsis* SSU intron, *Arabidopsis* EPSPS intron, petunia EPSPS intron and others known to those skilled in the art.

## 5 PLANT TRANSFORMATION AND REGENERATION

A double stranded DNA molecule of the present invention can be inserted into the genome of a plant by any suitable method. Numerous plant transformation methods have been described, including *Agrobacterium*-mediated transformation, the use of liposomes, electroporation, chemicals that increase free DNA uptake, free DNA  
10 delivery via microprojectile bombardment, transformation using viruses or pollen, etc.

After transformation of cells (or protoplasts), choice of methodology for the regeneration step is not critical, with suitable protocols being available for hosts from Leguminosae (alfalfa, soybean, clover, etc.), Umbelliferae (carrot, celery, parsnip),  
15 Cruciferae (cabbage, radish, rapeseed, etc.), Cucurbitaceae (melons and cucumber), Graminae (wheat, rice, corn, etc.), and Solanaceae (potato, tobacco, tomato, peppers). Methods for transformation and regeneration of dicots, primarily by use of *Agrobacterium tumefaciens*, and obtaining transgenic plants, have been described for numerous plant species, including cotton (U.S. Patent No. 5,004,863; U.S. Patent No.  
20 5,159,135; U.S. Patent No. 5,518,908), soybean (U.S. Patent No. 5,569,834; U.S. Patent No. 5,416,011; Christou et al. (1988)), Brassica (U.S. Patent No. 5,463,174), peanut (Cheng et al. (1996); papaya (Yang et al. (1996), and pea (Schroeder et al. (1993); De Kathen and Jacobsen (1990)), and others.

25 Transformation of monocots using electroporation, particle bombardment, and *Agrobacterium* have also been reported. Transformation and plant regeneration have been achieved, for example, in asparagus (Bytebier et. (1987)), barley (Wan and Lemaux (1994)), maize (Rhodes et al. (1988); Gordon-Kamm et al. (1990); Fromm et al. (1990); Koziel et al. (1993); Armstrong et al. (1995)), oat (Somers et al. (1992)),  
30 orchardgrass (Horn et al. (1988)), rice (Toriyama et al. (1988); Battraw and Hall

(1990); Christou et al. (1991)), rye (Bryant (1987)), sugar cane (Bower and Birch (1992)), tall fescue (Wang et al. (1992)), and wheat (Vasil et al. (1992); Weeks et al. (1993)).

- 5 For reviews of plant transformation and/or regeneration methodologies see, for example, Ritchie and Hodges (1993) or Hinchee et al. (1994).

#### INSECT/PEST RESISTANCE VIA PHYTOSTEROL ALTERATIONS

10 A series of phytosterols were tested in insects and many were found to be unable to support insect growth, i.e., were non-utilizable. These sterols included 9,19-cyclopropyl sterols. Furthermore, novel  $\Delta^{(23(24))}$ - and  $\Delta^{24(25)}$ -alkene and  $\Delta^{25(27)}$ -alkyl sterols were also determined to be unable to support insect growth and maturation. These were tested *in vivo* using *Heliothis zea* (a corn earworm), cultured on synthetic media that was sterol-free with the exception of added test sterols. It was found that if  
15 the ratio of utilizable to nonutilizable sterols was 1:9 or less, insects could not undergo normal develop. In fact, even at 1:1 ratios, insect development was adversely affected.

The metabolism of insects, nematodes and pythiaceous fungi is limited by the availability of major plant sterols. These pests cannot use a sterol with a C-4 methyl  
20 group; a  $9\beta$ , 19-cyclopropyl group, or a  $\Delta^8$  group. Furthermore, nematodes and insects cannot utilize 14- $\alpha$  methyl-sterols, and some insects, including lepidoptera, diptera and coleoptera, cannot utilize C-24 alkyl sterols with  $\Delta^{24(25)}$ ,  $\Delta^{23(24)}$ , or  $\Delta^{25(27)}$  groups for mechanistic reasons. Some insects cannot utilize sterols lacking a  $\Delta^5$  group. Consequently, elevation of these sterols in plants would provide a detrimental dietary  
25 source of sterols for these pests.

The DNA molecule of the present invention, when expressed in transgenic plants, will cause alterations in the composition/distribution of the sterols present in the plant. In one preferred embodiment, the DNA molecule causes the accumulation of sterols that  
30 are non-utilizable by insects and other pests, so as to increase the plants resistance to the

organisms. This can be accomplished, for example, by a number of approaches, including overexpression, antisense, cosuppression etc. The DNA molecule of the invention will typically target an endogenous gene encoding an enzyme selected from the kinetically favored pathways of sterol biosynthesis.

5

In this embodiment, it is preferred that gene expression and/or translation of a sterol biosynthetic enzyme is targeted for inhibition. This inhibition can be achieved, for example, by engineering a DNA molecule of the invention to produce an antisense, ribozyme or cosuppression RNA molecule complementary to an endogenous gene being  
10 targeted. Approaches for the targeted inhibition of gene expression are well known to the skilled individual (for reviews, see Bird et al., 1991; Schuch, 1991; Gibson et al., 1997)

A preferred target for inhibition is the S-adenosyl-L-methionine- $\Delta^{24(25)}$ -sterol methyl  
15 transferase (SMT) enzyme. By targeting this gene with an antisense or cosuppression construct, expression of SMT can be effectively suppressed, thereby causing the accumulation of non-utilizable sterols.

Besides SMT, other genes in the phytosterol transformation pathway can also be  
20 targeted in this and other embodiments of the invention in order to alter the profile of sterols in transgenic plants. The preferred target will depend on the application, however the approach is the same, i.e., to express an RNA or protein molecule capable of modifying the sterol composition of the plant in a desirable manner.

25 Therefore, in addition to SMT, other preferred cellular targets for causing sterol modifications include:

(i) C-4 demethylase: This enzyme is involved in the removal of the two methyl  
30 groups at C-4 and represents reactions 2 and 8 in the description section. A single protein is responsible for both the reactions. Blocking this enzyme will lead to



accumulation of 4,4-dimethyl sterols such as cycloartenol, 24(28)-methylene cycloartenol or a novel sterol such as 24-dihydrolanosterol (structure 18 in Fig. 9). All these are nonutilizable sterols. This may be achieved through suppression of this gene in plants.

5

(ii) Cycloeucalenol to obtusifoliol isomerase (COI) and  $\Delta^8$ -to- $\Delta^7$  isomerase: These enzymes represent reactions 3 and 6 in the pathway. Certain fungicides are known to block these two enzymes in plants leading to the accumulation of 9 $\beta$ ,19-cyclopropyl sterols. Locusts reared on these treated plants are known to have abnormal development and levels of cholesterol and ecdysteroids in these insects are depleted. This suggests that if either of these enzymes are disrupted or suppressed, the plant sterols can be altered such that they will not support insect development (Coste et al., 1987).

10

(iii) C-14 demethylase: This is reaction 4 in the pathway. There are several fungicides and plant growth regulators that block this step in fungi and plants. In plants this blockage leads to a depletion of the normal  $\Delta^5$ -sterols and an accumulation of 9 $\beta$ ,19-cyclopropyl, 14 $\alpha$ -methyl and  $\Delta^8$ -sterols that are intermediates of the main phytosterol pathway. These are also non-utilizable sterols. Studies with chemical inhibitors have also shown that plants accumulating these intermediates are tolerant to water and cold stress. Thus, suppression of this enzyme activity through gene manipulation is also a useful strategy.

15

20

(iv)  $\Delta^7$ -sterol-C-5-desaturase: This is reaction 9 in the pathway. Inhibition of this enzyme leads to a depletion of  $\Delta^5$ -sterols and an increase in  $\Delta^7$ -sterols. Certain insects are known to be unable to metabolize  $\Delta^7$ -sterols into ecdysteroids. Therefore, accumulation of  $\Delta^7$ -sterols in plants can also provide a way to form non-utilizable sterols. Further,  $\Delta^7$ -sterols can replace  $\Delta^5$ -sterols in plant membranes without any morphological changes in plant development.

25

(v) C-24 reductase: This is the terminal step in phytosterol transformation (reaction -12) during the formation of sitosterol, the major  $\Delta^5$ -sterol in plants. Disruption or suppression of the gene encoding this enzyme would result in the accumulation of  $\Delta^{24(25)}$ -24-alkyl sterols which are also non-utilizable.

5

Many of the genes encoding these preferred sterol biosynthetic enzymes to be targeted by the present invention have been isolated from yeast (for review, see Lees et al., 1997). Some have been isolated from plants. For example, SMT genes have been isolated from soybean (Shi et al., 1996), arabidopsis (Husselstein et al., 1996; Bouvier-  
10 Nave et al., 1997) tobacco and castor (Bouvier-Nave et al., 1997); and corn (Grabenk et al., 1997). Other plant sterol biosynthetic genes that have been isolated include delta7-sterol-C5-desaturase from arabidopsis (Gachotte et al., 1996) and cycloartenol synthase from arabidopsis (Corey et al., 1993).

15 Where not available, the gene encoding a sterol biosynthetic enzyme can be readily isolated from a desired source by approaches known to the skilled individual. For example, an isolated gene or cDNA from one source can be used as a hybridization probe for the isolation of homologous sequences from other sources. However, it should be noted that a DNA molecule of the invention should be active in numerous plant  
20 types, regardless of the source of the sterol biosynthetic gene used in the targeting construct, given the successful demonstration provided herein of using a yeast ERG6 antisense construct to alter the sterol profile in tomato.

Preferably, the following sterolic metabolic enzymes are targeted for inhibition: S-  
25 adenosyl-L-methionine- $\Delta^{24}$ -sterol methyl transferase, C-4 demethylase, cycloeucalenol to obtusifoliol-isomerase, 14 $\alpha$ -methyl demethylase,  $\Delta^8$ - to  $\Delta^7$ -isomerase,  $\Delta^7$ -sterol-C-5-desaturase, or a 24,25-reductase.

Plants produced according to this embodiment preferably have increased amounts of  
30 certain sterols that are non-utilizable, particularly 4-methyl sterol, 9 $\beta$ ,19-cyclopropyl

sterol,  $\Delta^8$ -sterol,  $\Delta^7$ -sterol,  $14\alpha$ -methyl sterol,  $\Delta^{23(24)}$ -24-alkyl sterol,  $\Delta^{24(25)}$ -24-alkyl sterol or  $\Delta^{25(27)}$ -24-alkyl sterol, or decreased levels of sterols having a  $\Delta^5$  group.

5 Preferred crops for use in providing insect resistance according to this embodiment of the invention include corn (European corn borer, corn earworm, fall armyworm), rice, sorghum, forestry, potato, tomato (tomato hornworm), and vegetable brassicas.

Preferred crops for use in providing nematode resistance according to this embodiment of the invention include soybean (soybean cyst nematode), tomato (root knot nematode), sugarbeet and cucurbits.

10

Preferred crops for use in providing fungal resistance according to this embodiment of the invention include corn, rice, wheat, sorghum, soybean (Phytophthora root rot), sunflower, forestry, fruits and berries, potato (late blight), tomato (late blight), sugarbeet, cucurbits, and vegetable brassicas.

15

#### PHYTOSTEROLS AS CHOLESTEROL-LOWERING AGENTS

Animal and human studies have demonstrated that phytosterols can reduce serum and/or plasma total cholesterol and low density lipoprotein (LDL) cholesterol (Ling and Jones, 1995). In this regard, transgenic plants having altered sterol profiles could be instrumental  
20 in establishing a dietary approach to cholesterol management and cardiovascular disease prevention.

Structure-specific effects of individual phytosterols have recently been shown where saturated phytosterols, such as sitostanol, are more efficient compared to unsaturated compounds such as sitosterol in reducing cholesterol levels. Another structural feature  
25 that seems to play a role is esterification of the phytosterols. Some studies suggest that the ferrulate esters of sitosterol, sitostanol or cycloartenol have a more potent effect on lowering serum cholesterol than the corresponding free sterols (Meittinen and Vanhanen, 1994).

Some of the natural sources of phytosterols in the diet are rice bran oil, corn fiber oil and soybean oil. Rice bran and corn fiber are by far the most enriched sources of phytosterols. Soybean phytosterols are a byproduct of the oil refining process. Technologies that can generate higher levels of these nutritionally useful phytosterols in these and other plants will assist in the development of new food products to improve human health and wellness.

Therefore, the present invention, in another embodiment, relates to increasing cholesterol-lowering sterols in transgenic plants. For example, with a recombinant DNA molecule of the invention, the conversion of cycloartenol in developing seeds can be inhibited, for example by antisense, cosuppression, or ribozyme-mediated inhibition of SMT expression, thereby leading to an accumulation of this sterol in seed oils. Alternatively, the SMT gene can be overexpressed in order to increase the levels of sitosterol.

Preferred crops for use in accordance with this embodiment of the invention include sunflower, corn, soybean, oilseed brassicas and cotton.

#### STRESS TOLERANCE THROUGH ALTERATIONS IN PHYTOSTEROLS

Another embodiment of this invention derives from the fact that certain sterols are associated with reducing water permeability of membranes. For this reason, sterol manipulation should provide an effective means for preventing or at least minimizing drought induced damage.

Several studies with chemical inhibitors of sterol biosynthesis have documented that the treated plants show secondary physiological responses that include tolerance to environmental stresses such as drought and frost (Fletcher, 1988). Such responses are primarily due to elevated levels of hormones such as abscisic acid. However, changes in membrane fluidity have also been recognized as being responsible for stress tolerance (Steponkus, 1984).

Membrane fluidity is controlled by several factors such as the type of sterols and fatty acids and the ratio between fatty acids and sterols in the membranes. Of these factors, the type of sterols is by far the most important factor. A principal function of the sterols is to buffer membranes against abrupt changes in fluidity. They also may have more specific influences on the activity of membrane-bound enzymes. An impairment of sterol biosynthesis, through the application of inhibitors, resulting in depletion of terminal sterols and accumulation of intermediates might therefore be expected to alter membrane function.

There is evidence to show that inhibition of sterol biosynthesis in plants leads to elevated levels of abscisic acid and closure of stomata (Haeuser, C. et al 1990 J. Plant Physiol. 137: 201-207). How this process is mediated is not clear. But what is well documented is that modification of phytosterols can lead to some forms of stress tolerance, which is most likely mediated by elevated levels of abscisic acid. Further, in all these studies with chemical inhibitors of sterol biosynthesis, the accumulating sterols are those recognized in this invention as nonutilizable. These are again,  $9\beta,19$ -cyclopropyl sterols,  $14\alpha$ -methyl sterols and  $\Delta^8$ -sterols. Thus, formation of non-utilizable sterols in plants through the various gene manipulation strategies described in this invention will not only protect the plants from pests and pathogens but also from environmental stresses such as drought and cold. Preferred sterols to be elevated in this aspect include  $\Delta^5$ -24 alkyl sterols, such as 24-methyl cholesta-5,23-dienol, and cycloartenol.

Preferred crops for use in accordance with this embodiment of the invention include corn, wheat, rice, sorghum, soybean, oilseed brassicas (rapeseed, canola), sunflower, palm, peanut, cotton, forestry, fruits, berries, nuts, potato, tomato, sugarbeet, sugarcane, cucurbits (squash, melons, cucumbers, watermelons, pumpkins), vegetable brassicas, alfalfa, ornamental crops, turfgrass, peanut, tea and coffee.

The following examples are included to demonstrate preferred embodiments of the invention. It should be appreciated by those of skill in the art that the techniques

disclosed in the examples which follow represent techniques discovered by the inventor to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its practice. However, those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific  
5 embodiments which are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention. Unless specifically indicated, all techniques discussed in the description above and used in the examples which follow can be performed by standard molecular biological and biochemical methodologies well known to the skilled individual (as described, for example, in Sambrook et al., 1989).

10

### EXAMPLES

#### Example 1. Plant Phytosterols

15 Sterol isomers were extracted from corn and were isolated to homogeneity using chromatographic methods. Novel phytosterols were identified with side chains that have been found to be non-utilizable in insects.

The sterols were structurally characterized by mass spectroscopy and  $^1\text{H}$  and  $^{13}\text{C}$  nuclear  
20 magnetic resonance (NMR) (Table 1) (Guo et al, 1995).

The initial studies showed that 4-day corn shoots could produce mono- and di-alkylated sterols at C-24. Corn could produce those sterols, since isolated 24(28)-methylene and 24(28)ethylidene sterols were obtained from seedling tissue of corn and their structures  
25 were confirmed by mass and proton nuclear magnetic resonance spectroscopy.

Table 1

Sterol Composition of *Zea mays*

Sterol <sup>bd</sup>	MS <sup>a</sup> (M <sup>+</sup> )	TLC <sup>a</sup> (Rf)	Plant Source <sup>c</sup>
Cycloartenol	426	0.29	st, c, g, r, sh, b, p

Sterol <sup>bd</sup>	MS <sup>a</sup> (M <sup>+</sup> )	TLC <sup>a</sup> (Rf)	Plant Source <sup>c</sup>
24(28)-Methylene-cycloartanol	440	0.29	st, c, g, r, sh, b, p
Cyclosadol	440	0.29	st, g, sh
Cyclolaudenol	440	0.29	st
Cycloartanol*	428	0.29	sh
24-Methylcycloartanol	442	0.29	g
24(28)-Methyleneparkeol*	440	0.29	sh
$\alpha$ -Amyrin (triterpene)	426	0.29	st, c, g, r, sh, b
$\beta$ -Amyrin (triterpene)	426	0.29	st, c, g, r, sh, b
4 $\alpha$ , 14 $\alpha$ -Dimethylergosta-7,24(28)-dienol	424	0.25	st, c, g, r, sh, b
Lophenol	400	0.25	g, sh
24-Methylene-lophenol	412	0.25	c, g, r, sh, b, p, l
24-Methyl-lophenol	414	0.25	g, sh
24-Ethyl-lophenol	428	0.25	g
Cycloeucalenol	426	0.25	c, g, r, sh
Obtusifoliol	426	0.25	c, g, r, sh, b, p
Dihydroobtusifoliol*	428	0.25	sh
31-Norlanosterol*	412	0.25	sh
4 $\alpha$ -Methylergosta-8,24(28)-dienol*	412	0.25	b
4 $\alpha$ -Methylergosta-7(E)-23-dienol	412	0.25	c, g, sh
4 $\alpha$ -Methylergosta-7(Z)-23-dienol*	412	0.25	sh
Citrastadienol	426	0.25	c, g, r, sh, b
Isocitrastadienol*	426	0.25	sh
4 $\alpha$ , 14 $\alpha$ -Dimethyl-ergosta-8(E)-23-dienol	426	0.25	c, sh
4 $\alpha$ , 14 $\alpha$ -Dimethyl-ergosta-8(Z)-23-dienol*	426	0.25	sh
4 $\alpha$ , 14 $\alpha$ -Dimethyl-24-ethyl-cholest-8-enol*	442	0.25	sh
4 $\alpha$ , 14 $\alpha$ -Dimethyl-9,19-cycloergost-23-enol	426	0.25	c, sh
4 $\alpha$ -Methyl-cholesta-8(9),14(15),24(28)-trienol*	410	0.25	sh
Cholesta-5,22-dienol*	384	0.18	sh
Cholest-7-enol*	386	0.16	b
Cholest-8(9)-enol*	386	0.18	b

Sterol <sup>bd</sup>	MS <sup>a</sup> (M <sup>+</sup> )	TLC <sup>a</sup> (Rf)	Plant Source <sup>c</sup>
Cholesterol	386	0.18	st, c, g, sh, b, p
Cholestanol	388	0.16	st
Brassicasterol	398	0.18	st, sh
24-Methylene-cholesterol	398	0.18	st, c, g, sh, b, r, t, p
Ergosta-5(E)-23-dienol	398	0.18	st, c, g, sh, b, r
Codisterol	398	0.18	st, sh
Ergosta-7(E)-23-dienol	398	0.16	st, c, sh
24-Methylene-cholest-7-enol	398	0.16	st, c, sh, p
24-Methylene-zymosterol	398	0.18	p
Campesterol	400	0.18	st, c, g, sh, b, r, t, p
24-Epicampesterol	400	0.18	st, c, g, sh, b, r, p
Ergost-(E)-23-enol**	400	0.16	sh
14 $\alpha$ -Methyl-cholest-7-enol*	400	0.16	sh
Ergost-7-enol	400	0.16	st, c
Ergost-8(9)-enol*	400	0.18	sh
Ergostanol	402	0.16	st, c, sh
24 $\beta$ -Ethylcholesta-5,22,25-trienol	410	0.18	sh
14 $\alpha$ -Methylergosta-8,25-dienol*	412	0.18	sh
14 $\alpha$ -Methylergosta-8,24(28)-dienol*	412	0.18	sh
Stigmasta-7,25-dienol	412	0.16	sh
Stigmasta-8,25-dienol*	412	0.18	sh
24 $\beta$ -ethyl-cholesta-5,25-dienol	412	0.18	st, sh
Stigmasta-5,23-dienol	412	0.18	sh
Fucoesterol	412	0.18	st, g, sh
Isofucoesterol	412	0.18	st, c, g, sh, b, r, t, p
24-Ethylcholesta-5,24(25)-dienol	412	0.18	st, sh
Avensterol	412	0.16	st, c, sh
25-Methyl-24-methylene-cholesterol*	412	0.18	sh
Stigmasterol	412	0.18	st, c, g, sh, b, r, t, p
Stigmast-7-enol	412	0.16	c
Stigmast-22-enol	414	0.16	st, sh
14 $\alpha$ -methylergost-8(9)-enol	414	0.18	sh
Sitosterol	414	0.18	st, c, g, sh, b, r, t, p
Stigmastanol	416	0.16	st, sh

<sup>a</sup> MS, mass spectrometry; TLC, thin-layer chromatography



Sterol <sup>bd</sup>	MS <sup>a</sup> (M <sup>+</sup> )	TLC <sup>a</sup> (Rf)	Plant Source <sup>c</sup>
b*	new corn sterol; ** new natural sterol		
c	st, shoot; c, cloeoptile; g, germ oil; sh, sheath; b, blade; r, root; i, inflorescence; t, tassel; p, pollen		
d	Either trivial or systematic name is given		

Biosynthesis of the sterols was analyzed to determine sterol precursor-product relationships. Developmental regulation of sterol metabolism was examined by comparison of different corn tissues. The results show sterols in blades contain mainly 24-ethyl sterols, e.g., sitosterol, while sheaths contained mainly 24-methyl sterols, e.g., 24-methyl-cholesta-5,23-dienol.

Feeding-trapping experiments with four [3-<sup>3</sup>H]24-methyl sterol isomers incubated with 8-day etiolated sheath tissues indicated that  $\Delta^{24(28)}$ -methylene and  $\Delta^{24(25)}$ -24-methyl sterols were precursors of 24 $\alpha$ - and 24 $\beta$ -methyl sterols, whereas  $\Delta^{23(24)}$ -24-methyl and  $\Delta^{25(27)}$ -methyl sterols were end products of the sterol pathway.

The results showed that a single SMT<sub>1</sub> enzyme is responsible for the catalysis of two methylation steps and that a critical slow step between cycloartenol (start of pathway) and  $\Delta^5$ -24-alkyl phytosterol (end of pathway) production is the methylation step, which is subject to feed back regulation from 24-ethyl sterols. The SMT<sub>1</sub> enzyme regulates the type and amount of phytosterols produced from cycloartenol during plant growth and maturation. This finding contradicts the generally accepted view of the role of 3-hydroxy-3-methylglutaryl-coenzyme A reductase (HMGR). This enzymatic step occurs very early in the isoprenoid pathway from which sterols are derived and has been considered as the rate-limiting step in phytosterol biosynthesis. The present finding shows that HMGR's role is limited merely to controlling carbon flow into the sterol pathway.

Expression studies of microsomal HMGR activity and microsomal SMT enzyme activity during seedling development following seed imbibition (Figs. 3C and 3D) show: (1) that SMT activity is correlated with sterol synthesis and plant growth; (2) neither sitosterol nor 24(28)-methylene cycloartanol at 100 mM affected HMGR activity, suggesting that HMGR activity does not correlate to growth or sterol production; and (3) the rate of phytosterol turnover correlates to the activities of the first and second methylation of SMT<sub>I</sub> enzyme and not HMGR activity.

These results demonstrate that during the initial shoot development following seed imbibition sterol biosynthesis is down-regulated. Sterol that accumulates in 3-day shoots is derived from translocation of sterol originating in the seed. Subsequent corn seedling development results in an up-regulation of phytosterol synthesis. Carbon flow is directed into the phytosterol pathway:  $\Delta^5$ -24-alkylsterols are synthesized at rates to meet the increasing demands of membrane synthesis. Cycloartenol and related C-4 methylated sterols are turned over to  $\Delta^5$ -end products. The critical slow step, which is the first transformation step in phytosterol synthesis, is methylation of cycloartenol.

Fig. 4 summarizes the pathway to kinetically favored  $\Delta^5$ -24-alkyl sterol end products in corn during development of the seedling into blades and sheaths under dark-grown conditions. Expression of SMT enzyme activities during early blade and sheath formation, and sterol specificity data, show that corn synthesizes at least two different SMT enzymes: SMT<sub>I</sub> catalyzes the successive methyl transfer to produce  $\Delta^{24(28)}$ -methylene and  $\Delta^{24(28)}$ -ethylidene sterols; and SMT<sub>II</sub> catalyzes the methyl transfer to  $\Delta^{23(24)}$ -24-methyl sterols.

#### Example 2. Identification of sterols required for growth of plants

The phytosterols identified in Example 1 were tested individually for their ability to support growth. In the absence of a plant sterol mutant for such studies the yeast sterol auxotroph, GL-7, was cultured in the presence of sterols identified according to

Example 1, above (Li, 1996). This yeast mutant is used as a model system because it can take up sterols from the culture medium and incorporate the test sterol into the membrane lipid bilayer and proliferate. The amount of proliferation of the cells was measured in the presence and absence of hormonal levels of ergosterol, the major yeast sterol.

Sterols were classified according to their effect on growth. Those sterols sparking growth included ergosterol. Those sterols that migrated to membrane and cell structural components without affecting the rate of growth of the cells included cholesterol and sitosterol (Nes et al., 1993).

### Example 3. Enzymology of sterol-converting enzymes

The sterol specificity of the microsome-bound and soluble SMT enzyme from 4-day corn seedlings was determined in order to elucidate the enzymatic basis for the plant sterols identified in Example 1. Using a microsome-bound enzyme system, we observed that cycloartenol is the preferred sterol acceptor and that 24(28)-methylene lophenol was methylated to produce 24(28)-ethylidenelophenol. Table 2 summarizes the specificities to various sterol substrates using the soluble SMT enzyme from corn seedlings.

Table 2

Sterol specificity of the (S)-adenosyl-L-methionine:D<sup>24</sup>-sterol methyl transferase

Substrate	Enzyme Activity (dpm/min)	% Activity, relative to cycloartenol methylation
Cycloartenol	37,515	100 (C1)
Lanosterol	24,384	65 (C1)
Parkeol	6,002	16 (C1)
31-Norcycloartenol	18,757	50 (C1)
24-Dehydropollinstanol	8,253	22 (C1)
Zymosterol	5,252	14 (C1)
4 $\alpha$ -Methylzymosterol	10,504	28 (C1)

14 $\alpha$ -Methylzymosterol	3,376	9 (C1)
3-Desoxyzymosterol	BG	0 (C1)
Cholest-8-enol	BG	0 (C1)
24(28)-Methylenelophenol	3,800	10 (C2)
4 $\alpha$ -Methylergosta-8,24(28)-dienol	1,500	4 (C2)
Obtusifoliol	BG	0 (C2)
Cycloeucalenol	BG	0 (C2)
Ergosta-8,24(28)-dienol	BG	0 (C2)
Ergosta-7,24(28)-dienol	BG	0 (C2)
Ergosta-5,24(28)-dienol	BG	0 (C2)
24(28)-Methylene cycloartanol	BG	0 (C2)

There was little difference in the relative binding efficiencies ( $K_m$ ) of sterols in the microsome-bound and soluble enzyme systems studied. There was a difference in the apparent  $V_{max}$  for the substrates, but this was expected as the level of protein and total sterol endogenous sterol changes during enzyme solubilization. The properties of the soluble SMT enzyme from 4-day corn was similar to that of the microsome-bound SMT enzyme from sunflowers.

The first methyl transfer was demonstrated using cycloartenol and [methyl- $^3H$ ]-AdoMet incubated with a soluble enzyme preparation from 4-day shoots. In a study on methylation mechanisms operating in corn, [ $^{27}C$ ]-lanosterol was used to confirm the methylation mechanism producing a 24(28)-methylene sterol in 4-day shoots (Guo et al., 1996).

In neither incubation with cycloartenol or lanosterol was the sterol acceptor molecule methylated to the second methyl product (Nes et al., 1991; Venkatramesh et al, 1996). If the SMT is a single protein species, then there may be two binding sites on the enzyme.

The corn SMT protein is a tetramer with 4 subunits of 39 kDa. A bifunctional sterol-methylating (SMT) enzyme was partially purified from 4-day etiolated *Zea mays* (corn) shoots by the following steps:

- (i) non-ionic detergent solubilization of the microsome-bound SMT enzyme;

- (ii) gel-filtration fractionation of the solubilized protein to produce active fractions with an apparent native molecular weight of circa 156 kd; and
- (iii) hydroxyapatite chromatography of active fractions.

5 Both methylation activities copurified approximately 200-fold.

Fig. 1 shows an HPLC-radiocount (Fig. 1B) and mass spectrum (Fig. 1A) of the reaction product from 50 pooled assays from a soluble SMT enzyme (4-day seedlings) assayed with 24(28)-methylene lophenol. The second methyl transfer from  
 10 24(28)-methylene lophenol to 24(28)-ethylidene lophenol is demonstrated in this incubation. Thus the SMT enzyme from 4-day corn shoots catalyzes the successive first and second methyl transfers of an appropriate sterol acceptor molecule.  
 Table 3 shows the effect of a series of substrate and transition state analogs on the first and second methyl transfer reactions.

15

Table 3

Effect of substrate and transition state analog inhibitors on  
 (S)-adenosyl-L-methionine:  $\Delta^{24}$ -sterol methyl transferase activity.

Inhibitor	Entry no. *	$K_i$ relative to the first methyl transfer	$K_i$ relative to the second methyl transfer
Campesterol	1	NA	NA
24(28)-Methylene cycloartanol	2	20 $\mu$ M	NA
26,27-Cyclopropylidene cycloartenol	3	25 $\mu$ M	NA
24-(R,S)-25-Epimino- lanosterol	4	55 nM	55 $\mu$ M
	5	NA	75 $\mu$ M
	6	NA	100 $\mu$ M
Z-24(28)-Ethylidene lophenol			

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**Sitosterol**

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\* Numbers indicate structures in Fig. 2

Various inhibitors were tested with soluble SMT enzyme from 4-day seedlings (Fig. 2).

5 The inability of some inhibitors to affect the methylation activity of both sterol substrates suggested that the SMT enzyme has two binding sites.

SMT catalyzes two successive transmethyations from the coenzyme (S)-adenosyl-L-methionine to different substrates: cycloartenol ( $\Delta^{24}$ -4,4-dimethyl sterol) with 20 mM  $K_m$  and 4 pmol/min/mg protein  $V_{max}$ ; and 24(28)-methylene lophenol ( $\Delta^7$ ,24(28)-4-monomethyl sterol) with 11  $\mu$ M  $K_m$  and 1 pmol/min/mg protein  $V_{max}$ . Accordingly, cycloartenol was the preferred substrate for the first methylation reaction and 24(28)-methylene lophenol was the preferred sterol substrate for the second methylation reaction. Zymosterol ( $\Delta^{8,24}$ -4-desmethyl sterol), a preferred sterol substrate of yeast SMT enzyme, was a poor sterol substrate of the first methylation reaction.

15

Substrate specificity and inhibition studies suggested two binding sites on the SMT enzyme: binding site I catalyzes a first methyl transfer to produce a 24(28)-methylene sterol; and binding site II catalyzes the second methyl transfer to produce a 24(28)-ethylidene sterol.

20

For Example, sitosterol (24 $\alpha$ -ethyl cholesterol), the major end product of corn sterol production in blade tissue, inhibited the second methyl transfer (100  $\mu$ M  $K_i$ ), without affecting the first methyl transfer; campesterol (24 $\alpha$ -methyl cholesterol) failed to inhibit either the first or second methylation reaction; 24(28)-methylenecycloartanol, a product of cycloartenol transmethylation, was not methylated; and 24(28)-methylenecycloartanol inhibited the first methyl transfer (20  $\mu$ M  $K_i$ ) whereas it failed to inhibit the second methyl transfer. 26,27-cyclopropylidene cycloartenol, which failed to bind to the yeast SMT enzyme, was a potent competitive inhibitor of the first methylation reaction (25  $\mu$ M  $K_i$ ), while not affecting the second methyl transfer.

25

The second alkylation was inhibited by product inhibition from 24(28)-ethylidene  
lophenol (75 mM  $K_i$ ), while not affecting the first methyl transfer. A transition state  
analog, 24-(R,S)-25-epiminolanosterol inhibited the first and second methylation  
5 reactions with a similar  $K_i$  value of 55 nM and to exhibit a non-competitive type kinetic  
pattern. The sterol features of the substrate in the initial enzyme-substrate interaction  
appears to be typical of other plant SMT enzymes, i.e., a requirement for nucleophilic  
groups at C-3 and C-24. The 5  $\mu$ M  $K_m$  for the coenzyme was the same for the first and  
second methylation reactions.

10

#### Example 4 SMT genes from yeast

The yeast SMT gene, *ERG6*, was derived from a yeast *ERG6* genomic fragment,  
pRG458/*erg6* (Fig. 5B; SEQ ID NO:1).

15

The cloned *ERG6* gene was expressed in *E. coli*. The recombinant protein was shown  
to be the sterol biomethylation enzyme by enzymatic study which proved that the kinetic  
properties were similar to that of the native enzyme in yeast. In contrast to plant SMT  
which prefer cycloartenol, zymosterol, a  $\Delta^{24}$ -4-desmethyl sterol, is the preferred  
20 substrate of the yeast SMT.

The molecular weight of the yeast SMT monomer was confirmed to be 43 kD after  
successfully overexpressing the active protein in *E. coli* using a T7 promoter-based  
pET23a(+) vector. The overexpressed protein was visualized on SDS-PAGE gel both  
25 by Coomassie blue staining and Western blot using a yeast SMT polyclonal antibody.  
The recombinant protein has also been purified from this system.

From the deduced amino acid sequence of the yeast SMT (Fig. 5A; SEQ ID NO:2) the  
potential AdoMet binding motif was predicted as the first conserved region identified in  
30 Fig. 5A (YEYGWGS) and based on mechanistic analysis of biomethylation described in

Example 3, the amino acid tryptophan (W) was determined to be the binding site. By site-directed mutagenesis of the *ERG6* gene this amino acid was replaced with alanine. The mutated DNA was also overexpressed in *E. coli* by cloning into pET23a(+). This protein was not active under conditions where the wild-type protein was active.

5

Such a strategy provides a means to alter phytosterols by introducing inactive SMT protein into plants. The introduction of non-functional SMT monomers can result in the suppression of SMT activity, for example by affecting the ability of the cell to form a functional SMT enzyme complex, thereby leading to the formation of nonutilizable sterols. For example, suppressing the activity of the first SMT<sub>I</sub> reaction will lead to formation of  $\Delta^{23(24)}$ -24-alkyl sterols, products of SMT<sub>II</sub> activity. Alternatively, suppressing the activity of the second SMT<sub>I</sub> reaction will lead to the formation of  $\Delta^{24(25)}$ -24-alkyl sterols.

10

#### 15 Example 5 SMT genes from *Arabidopsis*

The SMT gene from *Arabidopsis* was cloned and sequenced (Fig. 6; SEQ ID NO:3). This gene was overexpressed in *E. coli*. *Arabidopsis* SMT was partially purified and characterized in stereochemical detail.

20

The *Arabidopsis* SMT gene was amplified by PCR from a cDNA library. The primers used were designed from the full-length cDNA sequence retrieved from the GeneBank (Accession number X89867). The amplified product was the full-length *Arabidopsis* SMT gene which was sub-cloned into a T/A cloning vector and sequenced. From the sequence data the ORF was identified. A Nde I site was created at the ATG start codon through PCR mediated site-directed mutagenesis. The full-length ORF containing a Nde I site at the start and a BamH I site at the stop was cloned into the pET23a(+) vector just as the *ERG6* gene was in Example 4. The recombinant protein was active in transforming both cycloartenol and 24(28)-methylene lophenol to their respective alkyl products (Tong et al, 1997). In the case of cycloartenol only one product was formed,

25

30



which is 24(28)-methylene cycloartanol, i.e., SMT<sub>I</sub> in Fig. 4. Since a single gene product was able to metabolize both sterol substrates it further confirms the enzymological data in Example 3. Further, since cycloartenol metabolism by the recombinant plant SMT gave rise to only one product which also is the product of SMT<sub>I</sub>, it suggests that the alternate product, cyclosadol (structure 6 in Fig. 4), is formed from an isoform (SMT<sub>II</sub>) encoded by a different gene.

#### Example 6 SMT genes from corn

The corn sterol methyl transferase (SMT) gene was isolated from a commercial corn cDNA library (Stratagene, La Jolla, CA). Five microliters of corn cDNA (equivalent to 5x10<sup>7</sup> pfu) were used as template in the amplification of the SMT gene by polymerase chain reaction (PCR). Because the cDNA library was constructed in the vector Uni-Zap XR (Stratagene), the T7 sequence in this vector was used as one of the two primers for PCR amplification (3' end primer). The 5' end primer (2650-1) was designed from nucleotides 2-20 of a putative SMT fragment published in Gene Bank (T23297). Thirty cycles of PCR were conducted using five units of Taq polymerase from Promega in a total volume of 100 microliters, according to the manufacturer's instructions. One microliter of PCR product from this reaction was used as the template for a second round of PCR using the T7 primer and a primer designed from nucleotides 250-268 of T23297. When the resulting reaction products were analyzed on a 1% agarose gel, a band of 1.3 kb was seen. This PCR band was subcloned into the plasmid pGEM-T (Promega) and was sequenced.

To obtain the 5' end of the SMT gene, a pair of primers designed from nucleotides 2-20 and 366-349 of sequence T23297, was used in the PCR amplification. A band of 366 nucleotides was obtained and sequenced. The sequence of this 366-nucleotide PCR fragment overlapped with the 1.3 kb clone for 116 nucleotides. These two fragments were joined together by PCR, using a pair of primers, 2650-1 and 3082-2. The latter primer was designed from the 1.3 kb fragment 20 nucleotides before poly A sequence.

Both of the 366 bp and the 1.3 kb PCR fragments were used as the DNA templates. The -reconstructed SMT gene was ligated to the PCR cloning vector pGEM-T and was sequenced bi-directionally using the ABI Prism Automatic DNA Sequencer (Model 310).

5

The cloned SMT cDNA was 1497 nucleotides, with a coding region of 1032 nucleotides, which encodes 344 amino acids (Figure 10; SEQ ID NO:6). The start codon, ATG, was located at nucleotide 66-68. There was one stop codon preceding the start codon (ATG), located at position 42-44, suggesting that the reconstructed SMT  
10 sequence contains the complete 5'end. A poly A tail of 28 nucleotides was located 371 nucleotides downstream of the stop codon, indicating the cDNA fragment was complete at 3'end. Therefore, this cDNA clone is a full length cDNA clone.

The deduced amino acid sequence from this cDNA clone contains 344 amino acids,  
15 encoding a polypeptide of 38.8 kiloDaltons. This deduced amino acid sequence contains all three of the proposed conservative regions for methyl transferase (Kagan and Clarke, 1994. Arch. Biochem. Biophys. 310: 417-427): LDVGCGIGGP at position 104-114 (amino acid sequence) and TLLDAVYA at position 167-174, and VLKPGQ at position 194-199. In addition, another conserved region for sterol methyl transferase,  
20 proposed by Nes (SFYEYGWGESFHFA, Guo et al.,1997. Antifungal sterol biosynthesis inhibitors. In Subcellular Biochemistry Volume 28: Cholesterol: Its function and Metabolism in Biology and Medicine, edited by Robert Bittman. Plenum Press, New York), was seen at position 60-73.

25 The deduced corn SMT amino acids sequence was compared with amino acid sequences from other known SMT genes using GCG programs (Gap and Bestfit). *The deduced corn SMT amino acid sequence shared a 93.6% similarity with an independently isolated corn SMT sequence (Genbank U79669), 88.1% homology, 78.8% identity with soybean SMT (Genbank U43683), and a 93.9% homology, 88.3% identity with partial*  
30 *wheat SMT sequence (Genbank U60754 ), 58.8% homology, 39% identity with*

Arabidopsis thaliana (Genbank X89867), and a 66.5% homology, 50.4% identity with yeast SMT (Genbank X74249). The high similarity between this cDNA clone and SMT genes from other plant species confirms that this cDNA clone is a full length SMT cDNA clone of *Zea mays*. Furthermore, since Grabenok et al. have functionally expressed their corn SMT gene in a yeast expression system and found no 24-alkyl sterols other than ergosterol, this suggests that the corn SMT gene isolated by my laboratory catalyzes the same stereoselective C-methylation to  $\Delta^{24(28)}$ , thereby supporting the view that corn synthesizes several different SMT enzymes.

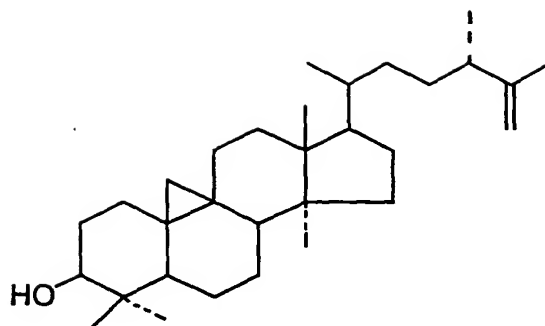
A similar strategy can be used for isolating the cDNA for the SMT<sub>II</sub> isoform. In fact, cDNA fragments isolated by the described method should be representative of both SMT<sub>I</sub> and SMT<sub>II</sub> based on the conservation of the region from which the primers were derived.

#### Example 7 SMT genes from *Prototheca wickerhamii*

Another example of a preferred SMT gene is that from *Prototheca wickerhamii*. This yeast-like alga produces  $\Delta^{25(27)}$ -24-methyl sterol as the main product of transmethylation. The favored substrate is cycloartenol.

Studies from microsome preparations of *P. wickerhamii* have shown that the preferred substrate of the SMT is cycloartenol. However, the preferred product is not 24(28)-methylene cycloartenol but cyclolaudenol (VII) which is a  $\Delta^{25(27)}$ -24-alkyl sterol, a nonutilizable sterol.

Cloning the gene of this SMT will facilitate the introduction of this gene into plants in order to transform the plant sterol, cycloartenol, into a product, cyclolaudenol, which will lead to the accumulation of nonutilizable sterols, viz.,  $\Delta^{25(27)}$ -24-alkyl sterols.



(VII)

### Cloning of *Prototheca* SMT

*Prototheca wickerhamii* cells are grown to mid log phase in YPD rich medium (yeast extract - peptone - dextrose). The pelleted cells are disrupted in the presence of Tri Reagent (MRC) using 0.5 mm glass beads and a mini-Beadbeater (both from Biospec Products, Bartlesville, OK). High quality total cellular RNA is isolated according to the manufacturer's instructions.

- 10 Total cellular RNA is subjected to 3' RACE (rapid amplification of cDNA ends) and 5' RACE using reagents and protocols found in kits obtained from GibcoBRL. For 3' RACE, total cDNA is synthesized by the action of reverse transcriptase after annealing oligo(dT)-containing primers to the poly(A)-tailed RNAs present in the unfractionated total RNA. The RNA templates are degraded and the cDNA serves as template for
- 15 polymerase chain reaction (PCR) amplification. The user-supplied primer "YEYGWG" (see Rationale for primer design below) anneals to the cDNA and is extended toward the 3' end of the gene under the direction of *Taq* polymerase. The kit-supplied primer for extension from the 3' end to the terminus defined by the "YEYGWG" primer anneals to a sequence composed of three restriction endonuclease recognition sites that was part of
- 20 the original oligo-dT containing primer. A second PCR amplification in which the primer pair is a second "nested" primer ("GCGVGG") and the kit-supplied 3' primer is performed to enrich for cDNAs representing the 3' half of SMT. Another nested primer ("ATCHAP") has been similarly used.

Total cellular RNA is also subjected to 5' RACE. cDNA is synthesized by reverse transcriptase using the antisense primer "EWVMTDas". cDNA is modified at the 3' end by the addition of a polydeoxycytidine "tail" using terminal deoxynucleotidyl transferase (TdT). An initial PCR reaction is carried out using this C-tailed cDNA as  
5 template and the primers "EWVMTDas" and a kit-supplied poly-G containing primer. A second PCR reaction is carried out on this PCR product using the nested primer "ATCHAPas" and a kit-supplied primer that anneals to a part of the poly-G primer that contains restriction enzyme recognition sites. This second PCR reaction enriches for 5' SMT cDNA sequences.

10

The 3' RACE and 5' RACE PCR products are isolated from gels and ligated into the plasmid pPCRII (Invitrogen). Clones obtained after transformation into *E. coli* are characterized by sequencing. An *Apa I* restriction site is present in the DNA of all plants and yeast that have been sequenced in the GCGVGG motif and is present in both  
15 the 3' and 5' cDNA clones. This allows splicing of the two 3' and 5' halves of the SMT gene together, completing the entire coding region.

#### Rationale for primer design

The first step in designing the user-supplied primers was to examine the several very  
20 highly conserved peptide motifs in the SMTs of those plants and yeast that have been sequenced. Within these are found shorter stretches of amino acid sequences that can be encoded by a minimum number of DNA sequences, the codons of which usually only vary at the third (degenerate) base. It was also desirable that the codon preferred by 3 different yeast species according to codon usage tables found in Wada, et. al. (Nucleic  
25 Acids Res., vol 19, p1981, 1991) be present in the mix of degenerate codons for each amino acid. Each user defined primer is thus a mixture of deoxynucleotides that defines an internal end of a PCR product. It was also required that 4 or 5 of the 6 3' deoxynucleotides of each primer be perfectly matched in all species and had greater than 50% G and/or C.

30

The first three primers described below are sense orientation primers that anneal to antisense DNA (and the original cDNA). The fourth and fifth primers are antisense primers that anneal to the sense DNA strand of the SMT gene.

- 5 YE[Y/F/W]GWG (amino acids 81-86 of the yeast sequence; nonidentical residues at a position are in brackets) was the part of a larger conserved region of SMT that was the basis for the "YEYGWG" primer:

5' - TA[T/C]GA[A/G]T[A/G/T][T/G]GG[T/A/C]TGGGG - 3'

(Degenerate nucleotide positions are included in brackets)

10

The "GCGVGG" primer was suggested by the DNA sequence that encodes part of a second conserved domain (GCG[V/I]GG) at yeast amino acid residues 129-134. The sequence of primer "GCGVGG" is:

5' - GGATG[T/C]GG[T/A][G/A]T[T/C]GG[G/C]GG - 3'.

15

Primer "ATCHAP" is based on the DNA sequence encoding a third highly conserved domain (yeast amino acids 196-203). The primer sequence is:

5' - GCCAC[A/G/T]TG[T/C]CA[C/T]GC[T/G/A]CC - 3'.

- 20 Primer "EWVMTDas" is an antisense primer for first strand cDNA synthesis in the 5' RACE experiment. It is based on the small conserved domain at yeast amino acid residues 225-231. The sequence is:

5' - TC[A/C/G]GTC[G/A]T[T/A/G][C/A][C/A]CCA[C/T]TC - 3'.

- 25 Primer "ATCHAPas" is a nested antisense primer for the 5' RACE experiment with the sequence:

5' - GG[T/C/A]GC[A/G]TG[G/A]CA[A/C/T]GTGGC - 3'.

Example 8 SMT genes from other plants

Using the *Arabidopsis* cDNA or another plant derived SMT sequence as a probe, cDNA  
5 libraries from any crop of interest can be screened and corresponding clones of  
appropriate sizes can be isolated and sequenced. cDNA library construction and  
screening methodologies are well known in the art. As described in Example 6,  
appropriate primer combinations can be readily determined using information of the  
conserved regions of known sequences for various SMT genes. To confirm the identity  
10 of sequences cloned by this method, they can be compared with known plant SMT  
enzyme sequence and/or in vitro translated and evaluated biochemically.

Example 9 Plant transformation with *ERG6* DNA

15 To obtain transgenic plants with altered sterol profiles a DNA fragment containing the  
open reading frame of the SMT *ERG6* gene of yeast isolated from a genomic clone was  
identified (Example 4). The *ERG6* DNA was modified by PCR to include restriction  
sites for Nco I on either end of the open reading frame. This PCR procedure gave rise  
to a mutation which introduced a frameshift in the gene. This mutation made the *ERG6*  
20 gene introduced into the plant untranslatable, but capable of inhibiting the endogenous  
tomato SMT via antisense or co-suppression mechanisms, depending upon the nature of  
the construct.

The modified *ERG6* DNA fragment was cloned into the pUC18cpexp expression  
25 cassette vector. Clones with the *ERG6* DNA in the sense as well as the antisense  
orientations to the 35S promoter were generated (Fig. 7).

Hind III digestion of these clones gave rise to the *ERG6* constructs that included the 35S  
promoter and termination sequences flanking the *ERG6* open reading frame. These  
30 Hind III digested fragments were cloned to the binary vector pJTS246 that contains

T-DNA border recognition sequences and the NPTII gene conferring kanamycin resistance.

5 The cloned binaries with either the sense or antisense *ERG6* constructs were transformed into *Agrobacterium tumefaciens* which were cocultivated with cotyledons of tomato (*Solanum lycopersicum*) to obtain transformed plant cells. From calli formed on selective medium containing kanamycin transgenic plants were produced.

10 The leaves from control (no inserts) and transgenic plants (with inserts) were analyzed for the transgene. DNA was extracted from leaf samples of each of the transformants and an untransformed tomato plant. The DNA extracts were quantified by A260 absorbance.

15 Aliquots corresponding to 200 ng DNA from each sample were used in PCR reactions for amplifying *ERG6* fragments using oligonucleotide primers corresponding to the *ERG6* sequence (underlined in Fig. 8). Controls in the PCR included a sample with no template DNA and samples of the sense and antisense *ERG6* containing binary plasmids. PCR was performed under non-stringent conditions (55°C annealing temperature for 2 min in each cycle) in 20 cycles and aliquots were electrophoresed on 0.8% agarose gels.

20 The primers were selected such that a 1100 bp fragment of the *ERG6* DNA would be amplified (Fig. 8). All the regenerated transgenic tomato plants ( $R_0$ ) carried this fragment as did the plasmid controls. There also is some non-specific amplification because of the non-stringent conditions leading to other bands appearing in the transformed plants and in the untransformed control. However, the level of these  
25 amplifications is significantly less than that of the target fragment. This confirms the presence of the *ERG6* DNA in the tomato genome.



Sterol analysis was performed on the nonsaponifiable lipid fraction of leaf material from one regenerated plant transformed with the sense construct and one regenerated plant transformed with the antisense construct. The results are shown in Table 4.

Table 4  
Sterol Composition of Tomato Plants  
(as % total sterol)

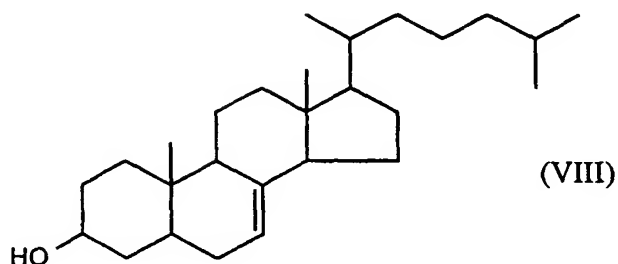
Sterol	Control	<i>ERG6</i> sense insert	<i>ERG6</i> antisense insert
Cholesterol	29	18	20
Cholest-7-enol	none	21	13
Stigmasterol	25	22	24
Sitosterol	26	27	24
Isofucosterol	20	12	19
mg sterol/g fr.wt.	16	150	380

The result confirmed that the *ERG6* gene was incorporated into the transgenic plants and that the sterol compositions of the transgenic plants were changed. A novel sterol, cholest-7-enol, which is not present in control tomato plant leaves, was detected and characterized by mass spectroscopy.

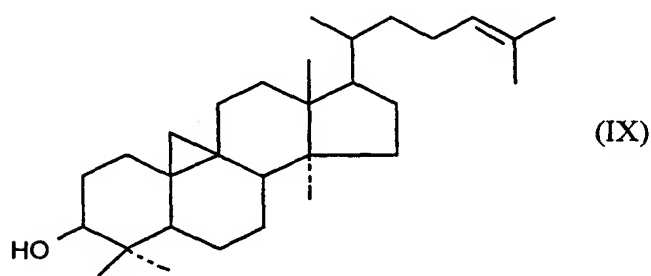
A scheme for the new pathway introduced into the tomato plants due to the insertion of the yeast *ERG6* gene is predicted to be as follows:

Since both the sense and antisense inserts of the *ERG6* gene lead to the accumulation of the cholest-7-enol (VIII), it is likely that in both cases there is a suppression of endogenous SMT activities. This will lead to a shunt of carbon flow into an alternate minor pathway proposed for phytosterol metabolism where the first step in cycloartenol metabolism is a reduction of the C-24 double bond by a reductase enzyme. The resulting sterol, which is cycloartanol (IX), will then undergo the usual demethylation, isomerization, desaturation and reduction just as in the main pathway leading to the

formation of cholest-7-enol. This is a  $\Delta^7$ -sterol and the double bond at C-5 is absent, suggesting that some insects will not be able to utilize this sterol to complete their life cycles.



5



- 10 The regenerant ( $R_0$ ) plants were allowed to flower and set fruit. Seeds were collected, and the following generation ( $R_1$ ) was grown. Individual plants arising from seeds were assayed for the presence or absence of the selectable marker (NPT2) via ELISA assay for the NPT2 protein. Fifty-three plants from six  $R_1$  progeny and a nontransgenic plant were analyzed for sterol composition. The sterol profiles of these plants could be
- 15 divided into four distinct groups, or phenotypes:

Table 5

Means and standard deviations (Std) of sterols (as percent of total sterols) of  $R_1$  plants in the four classes of progeny identified.

Phenotype	1		2		3		4	
	Mean	Std	Mean	Std	Mean	Std	Mean	Std
Sterol								
Cholesterol	7.62	2.54	6.20	2.77	4.93	1.14	8.60	2.97
Campesterol	4.17	3.15	16.60	11.24	4.50	1.95	6.60	4.83
Stigmasterol	13.14	3.13	12.80	5.26	8.86	1.41	22.60	1.14
Sitosterol	11.48	2.86	11.60	2.19	9.57	1.87	16.60	3.91
Isofucosterol	13.14	2.08	7.60	3.71	9.86	2.32	14.40	4.98
b-Amyrin	12.52	3.90	9.75	5.91	10.36	3.95	8.80	1.79
Cycloartenol	31.76	5.67	31.60	4.72	49.36	4.91	28.80	6.98
24(28)-methylene cycloartanol	1.14	1.46	6.80	6.61	2.17	2.12	2.00	2.00

5

All of the  $R_1$  plants which tested negative for the NPT2 marker (and were therefore non-transgenic segregants) as well as the nontransgenic control plant displayed the normal phenotype (Phenotype 1). The  $R_1$  plants which tested positive for the NPT2 marker (and were therefore transgenic) fell into all four classes. A statistical comparison was conducted for each sterol (using the arcsin transformation of the percent sterol levels; Student-Neuman-Keuls Test, 5% significance level), and a qualitative summary of the results is given below:

10

Table 6  
Comparison of sterol phenotypes (Phenotypes 2, 3 and 4 versus normal Phenotype 1)

Sterol	Phenotype 1	Phenotype 2	Phenotype 3	Phenotype 4
Cholesterol	Normal	Normal	Low	Normal
Campesterol	Normal	High	Normal	Normal
Stigmasterol	Normal	Normal	Low	High
Isofucosterol	Normal	Low	Low	Normal
$\beta$ -amyrin	Normal	Normal	Normal	Normal
Cycloartenol	Normal	Normal	High	Normal
24(28)-methylene cycloartanol	Normal	Normal	Normal	Normal
Sitosterol	Normal	Normal	Normal	High

- 5 The distribution of plants in the various categories (i.e. nontransgenic controls in the normal category only and the transgenics plants in all four categories) is consistent with the expectations of plants resulting from transformation with either an antisense or co-suppression construct. Varying levels of suppression can be expected between and within progenies, thus leading to varying levels of expression of an altered sterol
- 10 phenotype. Therefore, these results are consistent with the transformed *ERG6* gene having a suppressive effect. More specifically, phenotypes 2 and 3 accumulate intermediates which are consistent with partial inhibition of the first or second methylation activities of sterol methyltransferase in the biosynthetic pathway. The elevated levels of sitosterol and stigmasterol (the normal endproducts) are not consistent
- 15 with suppression, and cannot be explained without further study.

Independent analyses of a subset of these progeny further supports the hypothesis that suppression of the SMT gene is being observed in the transgenic lines. Table 7 below gives the sterol compositions of nontransformed and nontransgenic segregants.

Table 7

Sterol composition of control plants (nontransformed plants and nontransgenic segregants)

Plant	Nontransformed	G55 (nontransgenic segregant)	G62 (nontransgenic segregant)	Mean	Std. Dev.
Sterol					
Cholesterol	18	13	13	14.7	2.9
$\Delta^0$ -Cholesterol	-	tr.	1	1.0	
14- $\alpha$ -CH <sub>3</sub> - $\Delta^7$ -Cholesterol	-	5	5	5.0	0.0
$\Delta^7$ -Cholesterol	-	-	-		
14- $\alpha$ -CH <sub>3</sub> - $\Delta^8$ -cholesterol	3	1	1	1.7	1.2
Zymosterol	18	-	-	18.0	
$\Delta^{7,24}$ -Zymosterol	5	-	-	5.0	
24-CH <sub>2</sub> -Cholesterol	-	19	1	10.0	12.7
Campesterol	2	8	3	4.3	3.2
Desmosterol	2	-		2.0	
$\Delta^0$ -Campesterol	-	-	1	1.0	
Stigmasterol	18	20	25	21.0	3.6
$\Delta^0$ -Stigmasterol	-	tr.	1	1.0	
Sitosterol	7	13	18	12.7	5.5
$\Delta^0$ -Sitosterol	-	-	tr.		
Isofucosterol	4	2	2	2.7	1.2
Cycloartenol	7	19	29	18.3	11.0
24-CH <sub>2</sub> -Cycloartenol	14	-	tr.	14.0	
24-CH <sub>2</sub> -Lophenol	1	-	tr.	1.0	
Obtusifoliol	1	-	tr.	1.0	

- 5 -dash lines means not detected; tr. is trace; N.D.-not determined.. NSF was chromatographed on TLC plates and bands matching 4-desmethyl-, 4-monomethyl and 4,4-dimethyl sterol standards were eluted from the plate and examined further by chromatography on 3% SE-30 columns and GC-MS. Limit of detection is 0.1 mg sterol per leaf sample.
- 10 These controls can be compared with transgenic plants, the sterol composition of which are given in tables 8, 9, and 10.

Table 8  
Sterol composition of transgenic plants from line G3

Plant	G31	G32	G34	G35	G37	G38	G39
Sterol							
Cholesterol	12	10	8	10	8	11	8
$\Delta^0$ -Cholesterol	1	tr.	1	1	1	tr.	1
14- $\alpha$ -CH <sub>3</sub> - $\Delta^7$ -Cholesterol	3	-	-	-	-	-	3
$\Delta^7$ -Cholesterol	-	8	6	13	11	1	-
14- $\alpha$ -CH <sub>3</sub> - $\Delta^8$ -cholesterol	1	2	2	-	-	-	-
Zymosterol	10	5	12	-	-	-	8
$\Delta^{7,24}$ -Zymosterol	2	-	1	-	-	-	1
24-CH <sub>2</sub> -Cholesterol	-	-	-	-	-	-	-
Campesterol	4	2	3	-	-	1	1
Desmosterol	-	-	-	-	-	-	-
$\Delta^0$ -Campesterol	-	-	-	-	-	-	-
Stigmasterol	16	14	12	20	16	16	6
$\Delta^0$ -Stigmasterol	1	-	-	tr.	-	-	-
Sitosterol	15	9	12	10	8	16	6
$\Delta^0$ -Sitosterol	1	tr.	-	-	-	-	-
Isofucosterol	4	2	2	2	2	1	1
Cycloartenol	26	41	36	40	44	41	41
24-CH <sub>2</sub> -Cycloartenol	1	3	3	4	4	4	4
24-CH <sub>2</sub> -Lophenol	2	3	2	tr.	4	6	tr.
Obtusifolol	1	1	1	tr.	2	3	tr.

-dash lines means not detected; tr. is trace; N.D.-not determined.. NSF was chromatographed on TLC plates and bands matching 4-desmethyl-, 4-monomethyl and 4,4-dimethyl sterol standards were eluted from the plate and examined further by chromatography on 3% SE-30 columns and GC-MS. Limit of detection is 0.1 mg sterol per leaf sample.

5

Table 9

## Sterol composition of plants from line G5

Plant	G51	G52	G53	G54	G56	G57	G58	G59	G510
Sterol									
Cholesterol	13	5	6	11	16	11	4	15	5
$\Delta^0$ -Cholesterol	1	1	1	1	1	tr.	tr.	1	1
14- $\alpha$ -CH <sub>3</sub> - $\Delta^7$ -Cholesterol	1	3	1	2	6	5	2	4	1
$\Delta^7$ -Cholesterol	-	-	-	-	-	-	-	-	-
14- $\alpha$ -CH <sub>3</sub> - $\Delta^8$ -cholesterol	-	1	tr.	tr.	1	tr.	tr.	1	1
Zymosterol	-	-	-	-	-	-	-	-	-
$\Delta^{7,24}$ -Zymosterol	-	-	-	-	-	-	-	-	-
24-CH <sub>2</sub> -Cholesterol	-	-	3	4	-	1	6	6	-
Campesterol	8	15	4	2	1	2	2	3	19
Desmosterol	-	-	-	-	2	-	-	-	-
$\Delta^0$ -Campesterol	-	1	-	-	-	-	-	-	1
Stigmasterol	20	6	10	13	20	17	4	11	6
$\Delta^0$ -Stigmasterol	-	-	tr.	tr.	-	tr.	-	-	1
Sitosterol	21	11	7	9	9	8	3	11	1
$\Delta^0$ -Sitosterol	-	tr.	1	tr.	-	tr.	tr.	1	1
Isofucosterol	1	1	1	1	1	8	1	2	1
Cycloartenol	34	48	58	52	41	47	49	35	43
24-CH <sub>2</sub> -Cycloartenol	1	4	6	5	1	1	28	10	14
24-CH <sub>2</sub> -Lophenol	1	3	1	tr.	-	tr.	1	tr.	4
Obtusifoliol	tr.	1	1	tr.	-	tr.	tr.	tr.	1

- 5 -dash lines means not detected; tr. is trace; N.D.-not determined.. NSF was chromatographed on TLC plates and bands matching 4-desmethyl-, 4-monomethyl and 4,4-dimethyl sterol standards were eluted from the plate and examined further by chromatography on 3% SE-30 columns and GC-MS. Limit of detection is 0.1 mg sterol per leaf sample.

Table 10

Sterol composition of plants from line G6

Plant	G63	G65	G66	G67	G68	G69	G610
Sterol							
Cholesterol	7	7	9	8	5	6	7
$\Delta^0$ -Cholesterol	tr.	1	1	1	tr.	tr.	1
14- $\alpha$ -CH <sub>3</sub> - $\Delta^7$ -Cholesterol	2	2	5	1	1	3	1
$\Delta^7$ -Cholesterol	-	-	-	-	-	-	-
14- $\alpha$ -CH <sub>3</sub> - $\Delta^8$ -cholesterol	1	1	1	1	tr.	1	1
Zymosterol	-	-	-	-	-	-	-
$\Delta^{7,24}$ -Zymosterol	-	-	-	-	-	-	-
24-CH <sub>2</sub> -Cholesterol	2	tr.	tr.	-	-	-	-
Campesterol	18	3	1	3	20	1	3
Desmosterol	-	-	-	-	-	-	-
$\Delta^0$ -Campesterol	tr.	-	tr.	tr.	1	-	-
Stigmasterol	10	7	11	8	5	6	7
$\Delta^0$ -Stigmasterol	tr.	tr.	1	tr.	tr.	tr.	tr.
Sitosterol	13	7	7	9	8	4	7
$\Delta^0$ -Sitosterol	tr.	1	tr.	1	tr.	Tr	tr.
Isofucosterol	2	2	1	1	1	tr.	2
Cycloartenol	30	61	61	61	39	72	70
24-CH <sub>2</sub> -Cycloartenol	12	8	3	6	20	7	1
24-CH <sub>2</sub> -Lophenol	2	-	-	-	-	-	-
Obtusifolol	1	-	-	-	-	-	-

5 -dash lines means not detected; tr. is trace; N.D.-not determined.. NSF was chromatographed on TLC plates and bands matching 4-desmethyl-, 4-monomethyl and 4,4-dimethyl sterol standards were eluted from the plate and examined further by chromatography on 3% SE-30 columns and GC-MS. Limit of detection is 0.1 mg sterol per leaf sample.

10

These analyses indicate that cycloartenol levels of many of the transgenic plants are significantly elevated compared to controls. The cycloartenol levels achievable by this approach are at or above the level of nonutilizable sterol necessary to have a detrimental effect on insects, as demonstrated in Example 10 below. In addition, the results are consistent with successful in vivo suppression of the first methylation catalyzed by SMT.

15



Example 10 Sterol utilization and metabolism by *Heliothis zea*

Several sterols were isolated from nature or prepared synthetically to feed to the insects.

An *in vivo* model was used involving *Heliothis zea*, cultured on a synthetic medium that was devoid of sterol, except for the test sterol added to the diet. Cycloartenol and several 24-methyl and -ethyl sterol isomers were found to inhibit insect growth in this *in vivo* model.

Two important sterols from corn, 24-methyl cholesta-5,23-dienol and 24-methyl cholesta-5,25(27)-dienol, were found to be non-utilizable. The 9,19-cyclopropyl sterol was also non-utilizable, as were the  $\Delta^{23(24)}$ - and  $\Delta^{25(27)}$ -24-alkene sterol isomers.

*Heliothis zea* (corn earworm) was reared on an artificial diet treated with different sterol supplements to study the relation between sterol structure and utilization in insects. *H. zea* eggs were used to establish a disease-free stock colony.

The stock insects were reared using sterile procedures on a pinto bean-based diet. Moths were fed 10% sucrose. Cultures were maintained at  $27 \pm 1^\circ\text{C}$ , at  $40 \pm 10\%$  relative humidity on a 14:10 light-dark photoperiod and an artificial diet was used to rear the insects on different sterol supplements. The experimental diet contained agar, which is known to contain trace contamination of cholesterol, otherwise the experimental diet was sterol-free.

Sterols were solubilized in acetone. Aliquots of the solutions were added to the sterol-free diet in a mortar, the material mixed thoroughly with the diet, and the organic solvent allowed to evaporate. Sterols were supplied to the medium at 200 ppm (equivalent to 1 mg of sterol per experimental vessel containing one insect).

By day 20, *H. zea* larva are in the final stage of larval development (sixth instar), after which the insects may pupate. A single neonate larva was placed in an experimental

culture vial and allowed to grow for 20 days. The fresh weight, length and instar stage of 20-day larva were recorded.

In some treatments, the larvae were allowed to grow for another 4 days to determine whether they could pupate properly and develop into moth forms. Neonate larvae of *H. zea* failed to molt to the second instar when sterol was absent from the diet. Some of these insects survived for more than 15 days.

Sterols isolated from the nonsaponifiable lipid fraction extracted from larvae contain long chain fatty alcohols. These fatty alcohols may comigrate with sterols during some forms of chromatography and interfere with sterol quantitation, particularly of cholesterol. Therefore, in order to confirm the identity and amount of cholesterol in the insect an aliquot of the NSF was injected into a HPLC column and the fraction corresponding to cholesterol was examined by GC-MS.

Larvae did not develop on a sterol-less medium.  $\Delta^5$ -sterols substituted at C-24 in the side chain with hydrogen, methylene, *E*- or *Z*-ethylidene, or  $\alpha$ - or  $\beta$ -ethyl groups, cholesterol, 24(28)-methylencholesterol, sitosterol, isofucosterol, fucosterol, clinonasterol, and stigmasterol supported larval growth to late-sixth instar. These sterols are referred to as "utilizable" sterols (Table 11 and Fig. 9). In each of the incubations, the major sterol recovered from the larvae was cholesterol, showing that *H. zea* operates a typical insect 24-dealkylation sterol pathway.

In contrast, the sterol requirement of *H. zea* could not be met satisfactorily by derivatives of  $3\beta$ -cholestanol with a  $9\beta,19$ -cyclopropyl group, geminal dimethyl group at C-4 (e.g., cycloartenol and lanosterol),  $\Delta^8$ -bond, or by side chain modified derivatives that contained the following structural features:  $\Delta^{23(24)}$ -24-methyl or 24-ethyl group,  $\Delta^{24(25)}$ -24-methyl or 24-ethyl group, or  $\Delta^{25(27)}$ -24 $\beta$ -ethyl group. These are referred to as "nonutilizable" sterols (Table 11 and Fig. 9).

The major sterol recovered from larvae which developed on nonutilizable sterols was the test sterol added to the medium. Competition experiments using different proportions of cholesterol and 24, 25-dihydrolanosterol (from 9/1 to 1/9 sterol mixtures) indicated that abnormal development of *H. zea* may be induced on < 1 to 1 sterol mixtures of utilizable and nonutilizable compounds (Table 12). Sterol absorption was related to the degree of sterol utilization and metabolism.

Table 11

Effect of sterols on growth and metabolism by *Heliothis zea*

Sterol supplement	Entry No. <sup>1</sup>	Growth response <sup>2</sup>	Instar reached by day 20	Total sterol mg/insect	Sterol composition <sup>3</sup> (as % total sterol)
<b>Utilizable sterols</b>					
Cholesterol	1	100	6	56	cholesterol
24(28)-Methylene-cholesterol	2	100	6	59	ts/cholesterol (16/84)
Fucoesterol	4	100	6	71	ts/cholesterol (10/90)
Isofucoesterol	3	100	6	52	ts/desmosterol/cholesterol (8/14/78)
Sitosterol	5	100	6	66	ts/cholesterol (20/80)
Clionasterol (50/50)	6	100	6	43	ts/cholesterol (14/84) (75/25)
Stigmasterol	7	100	6	27	ts/desmosterol/cholesterol (15/1/84)

**Nonutilizable**

Sterol supplement	Entry No. <sup>1</sup>	Growth response <sup>2</sup>	Instar reached by day 20	Total sterol mg/insect	Sterol composition <sup>3</sup> (as % total sterol)
<b>sterols</b>					
Cholest-8-enol	13	5	3	ND	ND
24-Dehydro-pollinastanol	14	5	3	0.6	ts/cholesterol (86/14)
24-Methyl-cholesta-5,23-dienol	10	50	5	6	ts/cholesterol (80/20)
24-Ethyl cholesta-5,23-dienol	12	20	3	3	ts/cholesterol (86/14)
24-Methyl cholesta-5,24-dienol	9	5	3	1	ts/cholesterol (65/35)
24-Ethyl cholesta-5,24-dienol	11	10	3	ND	ND
Clereosterol	8	20	3	3	ts/cholesterol (80/20)
Ergosterol	15	30	3	5	ts/7-dehydro-cholesterol/cholesterol (36/41/23)
Cycloartenol	17	5	3	ND	ND
Lanosterol	16	5	3	ND	ND
24-Dihydro-lanosterol	18	5	3	ND	ND

<sup>1</sup> Structures of sterols are reported in Fig. 9.

<sup>2</sup> Growth on cholesterol produced larvae that at 20 days weighed, on average, 323 mg and were 30 mm long. Generally 16 to 20 insects survived on cholesterol to pupate and develop into adult moths. The growth responses on test sterols are relative to the growth on cholesterol which is normalized to 100%. 24-methyl cholesta-5,23-dienol was found to support pupations and adult moth formation. However, these insects exhibited congenital deformities. Insects in the nonutilizable category generally weighed less than 100 mg per insect and their length ranged from 2 to 15 mm, with 6 to 12 insects alive at day 20.

<sup>3</sup> Sterols isolated from insect tissues, after the gut was purged of its contents, were analyzed by RP-HPLC and GC-MS.

ND Not determined.  
ts Total sterol.

The most effective sterols were absorbed and incorporated into tissues from 27 to 66 mg per insect, whereas the least effective sterols were absorbed and incorporated into tissues from 0.6 to 6 mg per insect. These studies demonstrate that: (i) *H. zea* discriminates structural modifications in the sterol nucleus and side chain, (ii) the pathway of phytosterol dealkylation to cholesterol involves a high degree of regio- and stereo-selectivity, and (iii) corn produces several of the nonutilizable sterols described herein.

Table 12

Utilization of 24-dihydrolanosterol (nonutilizable)  
sparred with cholesterol (utilizable) by *Heliothis zea*

Sterol mixture (ratio)	Entry No.*	Growth response	Instar reached by day 20	Total sterol mg/insect	Sterol composition (As % total sterol)
Cholesterol (100%)	1	100	6	56	cholesterol (100%)
Cholesterol/24,25- dihydrolanosterol sterol (90:10)	1/18	100	6	45	cholesterol/24,25- dihydrolanosterol (93:7)
Cholesterol/24,25- dihydrolanosterol sterol (70:30)	1/18	100	6	36	cholesterol/24,25- dihydrolanosterol (88:12)
Cholesterol/24,25- dihydrolanosterol sterol (50:50)	1/18	70	6	25	cholesterol/24,25- dihydrolanosterol (75:25)
Cholesterol/24,25- dihydrolanosterol sterol (30:70)	1/18	30	3	12	cholesterol/24,25- dihydrolanosterol (50:50)
Cholesterol/24,25- dihydrolanosterol (10:90)	1/18	10	3	ND	ND

\* Indicates the structures in Fig. 9.

The minimal dietary concentration of cholesterol necessary for larvae to grow and pupate is 0.01% of the experimental diet. This level of cholesterol does not support a rapid rate of molting as did higher levels of cholesterol. However, diets of 0.015% cholesterol or more enhanced the rate of development of larvae. Therefore, a slightly higher amount of dietary sterol (0.02%) was used to insure that a non-limiting amount of sterol (alone or as a mixture) was available in the experimental diet, or no sterol was added to the diet to act as a control.

In all larvae treated with non-utilizable sterols, there were trace amounts of cholesterol that ranged from 80 to 350 nanograms of cholesterol per insect depending on the treatment. This source of cholesterol most likely results from carryover of cholesterol in the egg (we detected ca. 80 ng of cholesterol per egg) and from absorption of trace levels of cholesterol originally present in the agar.

As the insect increases in size, the insect may accumulate increasing amounts of cholesterol from the agar diet. Cholesterol obtained in this manner may serve as a precursor for ecdysteroid synthesis. The different effectiveness of the pair of isomers sitosterol/clionasterol and isofucosterol/fucosterol, in growth support and in their active metabolism to cholesterol indicates that the 24-dealkylation pathway may operate stereoselectively.

Developmental outcomes of *H. zea* larva that proceeded into moths were compared. One insect was reared on a utilizable (cholesterol treatment) sterol and the other insect(s) was reared on a non-utilizable (24-methyl cholesta-5,23-dienol treatment) sterol.

Most of the insects reared on non-utilizable sterols failed to develop beyond the third instar (Table 11), indicating they were ineffective cholesterol surrogates and harmful to growth and development. Some of the non-utilizable sterol treatments were found to

pupate and develop into moths. However, these moths possessed incompletely developed wings and legs.

Table 11 and Fig. 9 show that the position of the double bond in the sterol side chain and nucleus is critical to sterol-controlled growth. The inability of cholest-8-enol to support growth suggests that *H. zea* cannot transform  $9\beta,19$ -cyclopropyl sterols to  $\Delta^5$ -sterols. Cyclopropyl sterols must pass through an  $\Delta^8$ -sterol intermediate to give rise to a  $\Delta^5$ -sterol. Blocking this process will lead to the formation of non-utilizable sterols.

These results indicate for the first time that several sterols synthesized by corn should be unsuitable as sterol replacements of cholesterol.

All of the compositions and methods disclosed and claimed herein can be made and executed without undue experimentation in light of the present disclosure. While the compositions and methods of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain agents which are both chemically and physiologically related may be substituted for the agents described herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

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## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

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(ii) TITLE OF INVENTION: TRANSGENIC PLANTS WITH MODIFIED STEROL  
COMPOSITIONS

(iii) NUMBER OF SEQUENCES: 6

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(A) MEDIUM TYPE: Floppy disk

(B) COMPUTER: IBM PC compatible

(C) OPERATING SYSTEM: PC-DOS/MS-DOS

(D) SOFTWARE: PatentIn Release #1.0, Version #1.30

## (vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER: US 60/033,923

(B) FILING DATE: 26-DEC-1996

(C) CLASSIFICATION:

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## (2) INFORMATION FOR SEQ ID NO:1:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1320 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: double

(D) TOPOLOGY: linear

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

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60

5 GGCAGCATAA GATGAGTGAA ACAGAATTGA GAAAAAGACA GGCCCAATTC ACTAGGGAGT  
120

TACATGGTGA TGATATTGGT AAAAAGACAG GTTTGAGTGC ATTGATGTCG AAGAACAAC T  
180

10 CTGCCCCAAA GGAAGCCGTT CAGAAGTACT TGAGAAATTG GGATGGTAGA ACCGATAAAG  
240

ATGCCGAAGA ACGTCGTCTT GAGGATTATA ATGAAGCCAC ACATTCCTAC TATAACGTCG  
300

15 TTACAGATTT CTATGAATAT GGTGTTGGGTT CCTCTTTCCA TTTCAGCAGA TTTTATAAAG  
360

GTGAGAGTTT CGCTGCCTCG ATAGCAAGAC ATGAACATTA TTTAGCTTAC AAGGCTGGTA  
420

20 TTCAAAGAGG CGATTTAGTT CTCGACGTTG GTTGTGGTGT TGGGGGCCCA GCAAGAGAGA  
480

25 TTGCAAGATT TACCGGTTGT AACGTCATCG GTCTAAACAA TAACGATTAC CAAATTGCCA  
540

AGGCAAAATA TTACGCTAAA AAATACAATT TGAGTGACCA AATGGACTTT GTAAAGGGTG  
600

30 ATTTTCATGAA AATGGATTTC GAAGAAAACA CTTTCGACAA AGTTTATGCA ATTGAGGCCA  
660

CATGTCACGC TCCAAAATTA GAAGGTGTAT ACAGCGAAAT CTACAAGGTT TTGAAACCGG  
720

35 GTGGTACCTT TGCTGTTTAC GAATGGGTAA TGAATGATAA ATATGACGAA AACAATCCTG  
780

40 AACATAGAAA GATCGCTTAT GAAATTGAAC TAGGTGATGG TATCCCAAAG ATGTTCCATG  
840

TCGACGTGGC TAGGAAAGCA TTGAAGAACT GTGGTTTCGA AGTCCTCGTT AGCGAAGACC  
900

45 TGGCGGACAA TGATGATGAA ATCCCTTGGT ATTACCCATT AACTGGTGAG TGGAAGTACG  
960

TTCAAAACTT AGCTAATTTG GCCACATTTT TCAGAACTTC TTAATTGGGT AGACAATTTA  
1020

50 CTACAGCAAT GGTTACTGTA ATGGAAAAAT TAGGTCTAGC CCCAGAAGGT TCCAAGGAAG  
1080

TTACTGCTGC TCTAGAAAAT GCTGCGGTTG GTTTAGTTGC CGGTGGTAAG TCCAAGTTAT  
1140

5 TCACTCCAAT GATGCTTTTC GTCGCTAGGA AGCCAGAAAA CGCCGAAACC CCCTCCCAAA  
1200

CTTCCCAAGA AGCAACTCAA TAAATTCAC T AGATCAATAA GATTCAAATA AAGCGCACGA  
1260

10 TATATACCTA TTTTCCTATA TATGCAGATA AAAAGATAGC ACGTTCATTG CTAGCAGGCC  
1320

(2) INFORMATION FOR SEQ ID NO:2:

15

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 383 amino acids

(B) TYPE: amino acid

(C) STRANDEDNESS:

20

(D) TOPOLOGY: linear

25

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met	Ser	Glu	Thr	Glu	Leu	Arg	Lys	Arg	Gln	Ala	Gln	Phe	Thr	Arg	Glu	1	5	10	15
Leu	His	Gly	Asp	Asp	Ile	Gly	Lys	Lys	Thr	Gly	Leu	Ser	Ala	Leu	Met	20	25	30	
Ser	Lys	Asn	Asn	Ser	Ala	Gln	Lys	Glu	Ala	Val	Gln	Lys	Tyr	Leu	Arg	35	40	45	
Asn	Trp	Asp	Gly	Arg	Thr	Asp	Lys	Asp	Ala	Glu	Glu	Arg	Arg	Leu	Glu	50	55	60	
Asp	Tyr	Asn	Glu	Ala	Thr	His	Ser	Tyr	Tyr	Asn	Val	Val	Thr	Asp	Phe	65	70	75	80
Tyr	Glu	Tyr	Gly	Trp	Gly	Ser	Ser	Phe	His	Phe	Ser	Arg	Phe	Tyr	Lys	85	90	95	
Gly	Glu	Ser	Phe	Ala	Ala	Ser	Ile	Ala	Arg	His	Glu	His	Tyr	Leu	Ala	100	105	110	
Tyr	Lys	Ala	Gly	Ile	Gln	Arg	Gly	Asp	Leu	Val	Leu	Asp	Val	Gly	Cys	115	120	125	
Gly	Val	Gly	Gly	Pro	Ala	Arg	Glu	Ile	Ala	Arg	Phe	Thr	Gly	Cys	Asn	130	135	140	
Val	Ile	Gly	Leu	Asn	Asn	Asn	Asp	Tyr	Gln	Ile	Ala	Lys	Ala	Lys	Tyr	145	150	155	

	145	150	155	160
-	Tyr Ala Lys Lys	Tyr Asn Leu Ser Asp	Gln Met Asp Phe Val	Lys Gly
		165	170	175
5	Asp Phe Met Lys Met	Asp Phe Glu Glu Asn Thr	Phe Asp Lys Val Tyr	
	180	185	190	
10	Ala Ile Glu Ala Thr Cys His	Ala Pro Lys Leu Glu Gly	Val Tyr Ser	
	195	200	205	
	Glu Ile Tyr Lys Val Leu Lys	Pro Gly Gly Thr Phe	Ala Val Tyr Glu	
	210	215	220	
15	Trp Val Met Thr Asp Lys Tyr	Asp Glu Asn Asn Pro Glu	His Arg Lys	
	225	230	235	240
	Ile Ala Tyr Glu Ile Glu Leu Gly	Asp Gly Ile Pro Lys Met	Phe His	
	245	250	255	
20	Val Asp Val Ala Arg Lys Ala Leu	Lys Asn Cys Gly Phe Glu	Val Leu	
	260	265	270	
	Val Ser Glu Asp Leu Ala Asp	Asn Asp Asp Glu Ile Pro	Trp Tyr Tyr	
25	275	280	285	
	Pro Leu Thr Gly Glu Trp Lys Tyr	Val Gln Asn Leu Ala Asn	Leu Ala	
	290	295	300	
30	Thr Phe Phe Arg Thr Ser Tyr Leu	Gly Arg Gln Phe Thr Thr	Ala Met	
	305	310	315	320
	Val Thr Val Met Glu Lys Leu Gly	Leu Ala Pro Glu Gly Ser Lys	Glu	
	325	330	335	
35	Val Thr Ala Ala Leu Glu Asn Ala	Ala Val Gly Leu Val Ala Gly	Gly	
	340	345	350	
	Lys Ser Lys Leu Phe Thr Pro Met	Met Leu Phe Val Ala Arg Lys	Pro	
40	355	360	365	
	Glu Asn Ala Glu Thr Pro Ser Gln	Thr Ser Gln Glu Ala Thr	Gln	
	370	375	380	

45 (2) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1420 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: double

(D) TOPOLOGY: linear

55



## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

5 CTCTCTCTCT CTCTCTCTTG GTCTTCCTCA CTCTTAACGA AAATGGACTC TTTAACAATC  
60

TTCTTCACCG GTGCACTCGT CGCCGTCGGT ATCTACTGGT TCCTCTGCGT TCTCGGTCCA  
120

10 GCAGAGCGTA AAGGCAAACG AGCCGTAGAT CTCTCTGGTG GCTCAATCTC CGCCGAGAAA  
180

GTCCAAGACA ACTACAAACA GTACTGGTCT TTCTTCCGCC GTCCAAAAGA AATCGAAACC  
240

15 GCCGAGAAAG TTCCAGACTT CGTCGACACA TTCTACAATC TCGTCACCGA CATATACGAG  
300

20 TGGGGATGGG GACAATCCTT CCACTTCTCA CCATCAATCC CCGGAAAATC TCACAAAGAC  
360

GCCACGCGCC TCCACGAAGA GATGGCGGTA GATCTGATCC AAGTCAAACC TGGTCAAAG  
420

25 ATCCTAGACG TCGGATGCGG TGTCGGCGGT CCGATGCGAG CGATTGCATC TCACTCGCGA  
480

GCAACGTAGT CGGGATTACA ATAAACGAGT ATCAGGTGAA CAGAGCTCGT CTCCACAATA  
540

30 AGAAAGCTGG TCTCGACGCG CTTTGCAGAG TCGTGTGTGG TAACTTCCTC CAGATGCCGT  
600

TCGATGACAA CAGTTTCGAC GGAGCTTATT CCATCGAAGC CACGTGTCAC GCGCCGAAGC  
35 660

TGGAAGAAGT GTACGCAGAG ATCTACAGGG TGTTGAAACC CGGATCTATG TATGTGTCGT  
720

40 ACGAGTGGGT TACGACGGAG AAATTTAAGG CGGAGGATGA CGAACACGTG GAGGTAATCC  
780

AAGGGATTGA GAGAGGCGAT GCGTTACCAG GGCTTAGGGC TTACGTGGAT ATAGCTGAGA  
840

45 CGGCTAAAAA GGTGTTGGTTT GAGATAGTGA AGGAGAAGGA TCTGGCGAGT CCACCGGCTG  
900

AGCCGTGGTG GACTAGGCTT AAGATGGGTA GGCTTGCTTA TTGGAGGAAT CACATTGTGG  
50 960

TTCAGATTTT GTCAGCGGTT GGAGTTGCTC CTAAAGGAAC TGTTGATGTT CATGAGATGT  
1020

TGTTTAAGAC TGCTGATTGT TTGACCAGAG GAGGTGAAAC CGGAATATTC TCTCCGATGC  
1080

5 ATATGATTCT CTGCAGAAAA CCGGAGTCAC CGGAGGAGAG TTCTTGAGAA AGGTAGAAAG  
1140

GAAACATCAC CGGAAAAAGT ATGGAGAATT TTCTCAATTT GTTTTATTTT TTAAGTTAAA  
1200

10 TCAACTTGGT TATTGTACTA TTTTGTGTT TTAATTGGT TTGTGTTTCA AGAATTATTA  
1260

15 GTTTTTTTTT GTTTGTGTC ATATGAGAAT CTTACTCTTG ATTTCTCCGC CGTAGAGCCG  
1320

GCGAGACATA GGGGATTATT AGTATTTTAA AGTGTGTTTA AGATTGATTA ACAAGTTAGT  
1380

20 AAAATAAAAT GTACTTAGGT GTCGAAAAAA AAAGGAATTC  
1420

(2) INFORMATION FOR SEQ ID NO:4:

(i) SEQUENCE CHARACTERISTICS:  
25 (A) LENGTH: 361 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

30

35 (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

Met	Asp	Ser	Leu	Thr	Leu	Phe	Phe	Thr	Gly	Ala	Leu	Val	Ala	Val	Gly
1				5					10					15	
Ile	Tyr	Trp	Phe	Leu	Cys	Val	Leu	Gly	Pro	Ala	Glu	Arg	Lys	Gly	Lys
20								25					30		
Arg	Ala	Val	Asp	Leu	Ser	Gly	Gly	Ser	Ile	Ser	Ala	Glu	Lys	Val	Gln
35							40					45			
Asp	Asn	Tyr	Lys	Gln	Tyr	Trp	Ser	Phe	Phe	Arg	Arg	Pro	Lys	Glu	Ile
50						55				60					
Glu	Thr	Ala	Glu	Lys	Val	Pro	Asp	Phe	Val	Asp	Thr	Phe	Tyr	Asn	Leu
65				70					75				80		
Val	Thr	Asp	Ile	Tyr	Glu	Trp	Gly	Trp	Gly	Gln	Ser	Phe	His	Phe	Ser
85							90						95		
Pro	Ser	Ile	Pro	Gly	Lys	Ser	His	Lys	Asp	Ala	Thr	Arg	Leu	His	Glu
100							105						110		

Glu Met Ala Val Asp Leu Ile Gln Val Lys Pro Gly Gln Lys Ile Leu  
 115 120 125  
 5 Asp Val Gly Cys Gly Val Gly Gly Pro Met Arg Ala Ile Ala Ser His  
 130 135 140  
 Ser Arg Ala Asn Val Val Gly Ile Thr Ile Asn Glu Tyr Gln Val Asn  
 145 150 155 160  
 10 Arg Ala Arg Leu His Asn Lys Lys Ala Gly Leu Asp Ala Leu Cys Glu  
 165 170 175  
 Val Val Cys Gly Asn Phe Leu Gln Met Pro Phe Asp Asp Asn Ser Phe  
 180 185 190  
 15 Asp Gly Ala Tyr Ser Ile Glu Ala Thr Cys His Ala Pro Lys Leu Glu  
 195 200 205  
 20 Glu Val Tyr Ala Glu Ile Tyr Arg Val Leu Lys Pro Gly Ser Met Tyr  
 210 215 220  
 Val Ser Tyr Glu Trp Val Thr Thr Glu Lys Phe Lys Ala Glu Asp Asp  
 225 230 235 240  
 25 Glu His Val Glu Val Ile Gln Gly Ile Glu Arg Gly Asp Ala Leu Pro  
 245 250 255  
 Gly Leu Arg Ala Tyr Val Asp Ile Ala Glu Thr Ala Lys Lys Val Gly  
 260 265 270  
 30 Phe Glu Ile Val Lys Glu Lys Asp Leu Ala Ser Pro Pro Ala Glu Pro  
 275 280 285  
 35 Trp Trp Thr Arg Leu Lys Met Gly Arg Leu Ala Tyr Trp Arg Asn His  
 290 295 300  
 Ile Val Val Gln Ile Leu Ser Ala Val Gly Val Ala Pro Lys Gly Thr  
 305 310 315 320  
 40 Val Asp Val His Glu Met Leu Phe Lys Thr Ala Asp Cys Leu Thr Arg  
 325 330 335  
 Gly Gly Glu Thr Gly Ile Phe Ser Pro Met His Met Ile Leu Cys Arg  
 340 345 350  
 45 Lys Pro Glu Ser Pro Glu Glu Ser Ser  
 355 360

50 (2) INFORMATION FOR SEQ ID NO:5:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1320 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: double

55

(D) TOPOLOGY: linear

5

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

10 TTACTTTCGA TTAAAGTTTT ACATAATTTA AAAAAACAAG AATAAAATAA TAATATAGTA  
60

GGCAGCATAA GATGAGTGAA ACAGAATTGA GAAAAAGACA GGCCCAATTC ACTAGGGAGT  
120

15 TACATGGTGA TGATATTGGT AAAAAGACAG GTTTGAGTGC ATTGATGTCG AAGAACAAC  
180

CTGCCCCAAA GGAAGCCGTT CAGAAGTACT TGAGAAATTG GGATGGTAGA ACCGATAAAG  
240

20 ATGCCGAAGA ACGTCGTCTT GAGGATTATA ATGAAGCCAC ACATTCCTAC TATAACGTCG  
300

TTACAGATTT CTATGAATAT GGTGGGGT CCTCTTTCCA TTTCAGCAGA TTTTATAAAG  
25 360

GTGAGAGTTT CGCTGCCTCG ATAGCAAGAC ATGAACATTA TTTAGCTTAC AAGGCTGGTA  
420

30 TTCAAAGAGG CGATTTAGTT CTCGACGTG GTTGTGGTGT TGGGGGCCCA GCAAGAGAGA  
480

TTGCAAGATT TACCGGTTGT AACGTCATCG GTCTAAACAA TAACGATTAC CAAATTGCCA  
540

35 AGGCAAAATA TTACGCTAAA AAATACAATT TGAGTGACCA AATGGACTTT GTAAAGGGTG  
600

ATTTTCATGAA AATGGATTTT GAAGAAAACA CTTTCGACAA AGTTTATGCA ATTGAGGCCA  
40 660

CATGTCACGC TCCAAAATTA GAAGGTGTAT ACAGCGAAAT CTACAAGGTT TTGAAACCGG  
720

45 GTGGTACCTT TGCTGTTTAC GAATGGGTAA TGAAGTATA ATATGACGAA AACAACTCTG  
780

AACATAGAAA GATCGCTTAT GAAATTGAAC TAGGTGATGG TATCCCAAAG ATGTTCCATG  
840

50 TCGACGTGGC TAGGAAAGCA TTGAAGAACT GTGGTTTCGA AGTCCTCGTT AGCGAAGACC  
900

TGGCGGACAA TGATGATGAA ATCCCTTGGT ATTACCCATT AACTGGTGAG TGGAAGTACG  
55 960

TTCAAACTT AGCTAATTTG GCCACATTTT TCAGAACTTC TTACTTGGGT AGACAATTTA  
1020

5 CTACAGCAAT GGTTACTGTA ATGGAAAAAT TAGGTCTAGC CCCAGAAGGT TCCAAGGAAG  
1080

TTACTGCTGC TCTAGAAAAT GCTGCGGTTG GTTTAGTTGC CGGTGGTAAG TCCAAGTTAT  
1140

10 TCACTCCAAT GATGCTTTTC GTCGCTAGGA AGCCAGAAAA CGCCGAAACC CCCTCCCAAA  
1200

15 CTTCCCAAGA AGCAACTCAA TAAATTCCT AGATCAATAA GATTCAAATA AAGCGCACGA  
1260

TATATACCTA TTTTCCTATA TATGCAGATA AAAAGATAGC ACGTTCATTG CTAGCAGGCC  
1320

20

(2) INFORMATION FOR SEQ ID NO:6:

(i) SEQUENCE CHARACTERISTICS:

25 (A) LENGTH: 1497 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: double  
(D) TOPOLOGY: linear

30

(ix) FEATURE:

(A) NAME/KEY: modified\_base  
(B) LOCATION: 1419  
(D) OTHER INFORMATION: /mod\_base= OTHER  
35 /note= "A or C or G or T"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

40 AGACTCTGGT TCTGACATGC AGCAATTATT GCAGGTGCAT TTGATCCGTC CCGGCCGCCT  
60

ACACGATGTC CAAGTCGGGA GCGCTGGATC TTGCTTCTGG CCTCGGAGGG AAGATCAACA  
120

45

AGGTGGAAGT CAAGTCGGCC GTCGATGAGT ATGAGAAATA TCATGGATAC TATGGAGGGA  
180

50

AGGAGGAAGC AAGGAAGTCC AACTATACTG ATATGGTTAA TAAATACTAT GATCTTGCCA  
240

CTAGCTTCTA TGAGTATGGT TGGGGTGAAT CCTTCCACTT TGCTCACAGA TGAATGGAG  
300

AATCCTTACG TGAAAGCATC AAGCGACATG AGCATTCTTCT TGCCCTGCAA CTTGGTTTGA  
360

5 AACCAGGAAT GAAGGTTTTA GATGTGGGCT GTGGAATAGG TGGACCACTG AGAGAAATTG  
420

CAAGATTTAG CTCAACTTCA GTTACCGGAT TGAATAACCA CGAATACCAG ATAACCAGGG  
480

10 GAAAGGAGCT CAACCGTTTA GCAGGAATTA GTGGAACATG TGATTTTGTC AAGGCGGACT  
540

TCATGAAGAT GCCGTTTCGAT GACACACTTT TGGATGCTGT TTACGCCATT GAGGCAACAT  
600

15 GTCATGCACC TGATCCAGTT GGTTGCTACA AGGAGATATA TCGTGTGTTG AAGCCTGGCC  
660

AGTGCTTTGC CGTGACGAG TGGTGCGTTA CGGATCACTA TGATCCTAAC AATGCAACCC  
720

20 ACAAAGGAT CAAGGATGAA ATTGAGCTTG GCAATGGCCT GCCAGATATC AGAAGCACTC  
780

25 CGCAATGTCT CCGGGCTCTA AAAGACGCCG GGTTTGACGT TGTTTGGGAT AAGGATCTTG  
840

CTGAAGATTC TCCCTTGCCT TGGTACTTGC CCTTGGACTC CAGCCGATGC TCACTGAGTA  
900

30 GCTTCCGTCG ACCTCCTGTC GGGACGCATG ATACCCGCAC AATGGTCAAG GCCCTGGAGT  
960

ACGTTGGTCT TGCTCCGCAG GGCAGTGAGA GGTCTCTAGT TTTCTGGAG AAGGCTGCAG  
1020

35 AAGGGCTGGT AGAGGGCGGA AAGAAGGAGA TCTTCACGCC AATGTACTTC TTTTTTGTTT  
1080

40 GGAAGCCTCT TCTGGAATGA GCTCTTGGAT CACCTTTTCA GAGAGAGAAG GCAAGTGGTC  
1140

ATTTCAAGA AGCCGAGGAG AGGGAACCTG GAATCAAGAA AACCTTCAGC TCTCCTGTGT  
1200

45 AGGAGGAAAG TTAACGAACA GTGTAGTAAC TGTTGAGCTC TGTGTTTATT CAGTTGTTTT  
1260

GCTGCTTGGG GTTATTCGTT TCTAGGTGGG GGTTGGAATC CTTTTCGCCA TAAACCTCTC  
1320

50 AGTGGCATAA ATAAGATGGT TTGCATAAGA GTACTTCATG GATACCGTAA GGGCTACTAC  
1380

TGAAAGAGAA ATGTTTAAGC AGCATGGTAT GTGAGCAANT AGTGATAATT ATTCCATCCT  
1440

5

TTTTTTTAAT ATAAAGCAGG AGTTTTGTCA AAAAAAAAAA AAAAAAAAAA AAAAAA  
1497

**WHAT IS CLAIMED IS:**

1. A double stranded DNA molecule comprising:
  - a promoter which functions in plants to cause the production of an RNA sequence,
  - 5 operably linked to
  - a DNA coding sequence which encodes an enzyme which binds a first sterol and produces a second sterol, operably linked to
  - a 3' non-translated region which causes the polyadenylation of the 3' end of the RNA sequence; wherein the promoter is heterologous with respect to the DNA
  - 10 sequence.
2. The DNA molecule of claim 1, wherein the DNA coding sequence is in the sense orientation.
3. The DNA molecule of claim 1, wherein the DNA coding sequence is in the antisense orientation.
- 15 4. The DNA molecule of claim 1, wherein the first sterol is selected from the group consisting of 4-methyl sterol, 9 $\beta$ ,19-cyclopropyl sterol,  $\Delta^8$ -sterol, 14 $\alpha$ -methyl sterol,  $\Delta^{23}$ ,24-alkyl sterol,  $\Delta^{24}$ ,24-alkyl sterol and  $\Delta^{25(27)}$ ,24-alkyl sterol.
5. The DNA molecule of claim 1, wherein the first or second sterol lacks a  $\Delta^5$  group.
- 20 6. The DNA molecule of claim 1, wherein the DNA coding sequence encodes an enzyme selected from the group consisting of a S-adenosyl-L-methionine- $\Delta^{24(25)}$ -sterol methyl transferase, a C-4 demethylase, a cycloeucalenol to obtusifolliol-isomerase, a 14 $\alpha$ -methyl demethylase, a  $\Delta^8$  to  $\Delta^7$ -isomerase, a  $\Delta^7$ -C-5-desaturase and a 24,25-reductase.
- 25 7. The DNA molecule of claim 1, wherein the DNA coding sequence encodes an S-adenosyl-L-methionine- $\Delta^{24(25)}$ -sterol methyl transferase (SMT).



8. The DNA according to claim 7, wherein the SMT is from plants or yeast.
9. The DNA according to claim 7, wherein the SMT is derived from *Zea mays*, *Arabidopsis thaliana* or *Prototheca wickerhamii*.
10. The DNA according to claim 7, wherein the SMT is yeast *ERG6*.

5

11. A transgenic plant comprising a double stranded DNA molecule comprising:  
a promoter which functions in plants to cause the production of an RNA  
sequence, operably linked to  
a DNA coding sequence which encodes an enzyme which binds a first sterol and  
10 produces a second sterol, operably linked to  
a 3' non-translated region which causes the polyadenylation of the 3' end of the  
RNA sequence; wherein the promoter is heterologous with respect to the  
DNA sequence.

12. The plant of claim 11, wherein the DNA coding sequence is in the sense  
15 orientation.

13. The plant of claim 11, wherein the DNA coding sequence is in the antisense  
orientation.

14. The plant of claim 11, wherein the first sterol is selected from the group  
consisting of 4-methyl sterol, 9 $\beta$ ,19-cyclopropyl sterol,  $\Delta^8$ -sterol, 14 $\alpha$ -methyl sterol,  
20  $\Delta^{23}$ ,24-alkyl sterol,  $\Delta^{24}$ ,24-alkyl sterol and  $\Delta^{25(27)}$ ,24-alkyl sterol.

15. The plant of claim 11, wherein the first or second sterol lacks a  $\Delta^5$  group.

16. The plant of claim 11, wherein the DNA coding sequence encodes an enzyme  
selected from the group consisting of a S-adenosyl-L-methionine- $\Delta^{24(25)}$ -sterol methyl  
transferase, a C-4 demethylase, a cycloeucalenol to obtusifoliol-isomerase, a 14 $\alpha$ -methyl  
25 demethylase, a  $\Delta^8$  to  $\Delta^7$ -isomerase, a  $\Delta^7$ -C-5-desaturase and a 24,25-reductase.

17. The plant of claim 11, wherein the DNA coding sequence encodes an S-adenosyl-L-methionine- $\Delta^{24(25)}$ -sterol methyl transferase (SMT).

18. The plant of claim 17, wherein the SMT is from plants or yeast.

19. The plant of claim 17, wherein the SMT is derived from *Zea mays*, *Arabidopsis thaliana* or *Prototheca wickerhamii*.

20. The plant of claim 17, wherein the SMT is yeast *ERG6*.

21. The plant of claim 11, which plant is resistant to an insect, nematode or pythiaceous fungus.

22. The plant of claim 11, which plant has an increased level of a cholesterol-reducing sterol.

23. The plant of claim 22, wherein the sterol is cycloartenol or sitosterol.

24. The plant according to claim 11, which plant is resistant to drought, salinity or severe cold.

25. The plant according to claim 11, which plant is a tomato, corn or soybean plant.

26. A process of producing a transgenic plant comprising:

- (a) transforming plant cells with a recombinant DNA molecule comprising:
- a promoter which functions in plants to cause the production of an RNA sequence, operably linked to
  - a DNA coding sequence which encodes an enzyme which binds a first sterol and produces a second sterol, operably linked to
  - a 3' non-translated region which causes the polyadenylation of the 3' end of the RNA sequence; wherein the promoter is heterologous with respect to the DNA sequence;

- (b) selecting transformed plant cells comprising the recombinant DNA molecule; and
  - (c) regenerating transgenic plants from the transformed plant cells.
- 5 27. The process of claim 26, wherein the DNA coding sequence is in the sense orientation.
28. The process of claim 26, wherein the DNA coding sequence is in the antisense orientation.
29. The process of claim 26, wherein the first sterol is selected from the group  
10 consisting of 4-methyl sterol, 9 $\beta$ ,19-cyclopropyl sterol,  $\Delta^8$ -sterol, 14 $\alpha$ -methyl sterol,  $\Delta^{23}$ ,24-alkyl sterol,  $\Delta^{24}$ ,24-alkyl sterol and  $\Delta^{25(27)}$ ,24-alkyl sterol.
30. The process of claim 26, wherein the first or second sterol lacks a  $\Delta^5$  group.
31. The process of claim 26, wherein the DNA coding sequence encodes an enzyme  
15 selected from the group consisting of a S-adenosyl-L-methionine- $\Delta^{24(25)}$ -sterol methyl transferase, a C-4 demethylase, a cycloeucalenol to obtusifoliol-isomerase, a 14 $\alpha$ -methyl demethylase, a  $\Delta^8$  to  $\Delta^7$ -isomerase, a  $\Delta^7$ -C-5-desaturase and a 24,25-reductase.
32. The process of claim 26, wherein the DNA coding sequence encodes an S-adenosyl-L-methionine- $\Delta^{24(25)}$ -sterol methyl transferase (SMT).
33. The process of claim 32, wherein the SMT is from plants or yeast.
- 20 34. The process of claim 32, wherein the SMT is derived from *Zea mays*, *Arabidopsis thaliana* or *Prototheca wickerhamii*.
35. The process of claim 32, wherein the SMT is yeast *ERG6*.
36. The process of claim 26, wherein the plant is resistant to an insect, nematode or pythiaceous fungus.

37. The process of claim 26, wherein the plant has an increased level of a -cholesterol-reducing sterol.

38. The process of claim 37, wherein the sterol is cycloartenol or sitosterol.

39. The process of claim 26, wherein the plant is resistant to drought, salinity or  
5 severe cold.

40. The process of claim 26, wherein the plant is a tomato, corn or soybean plant.

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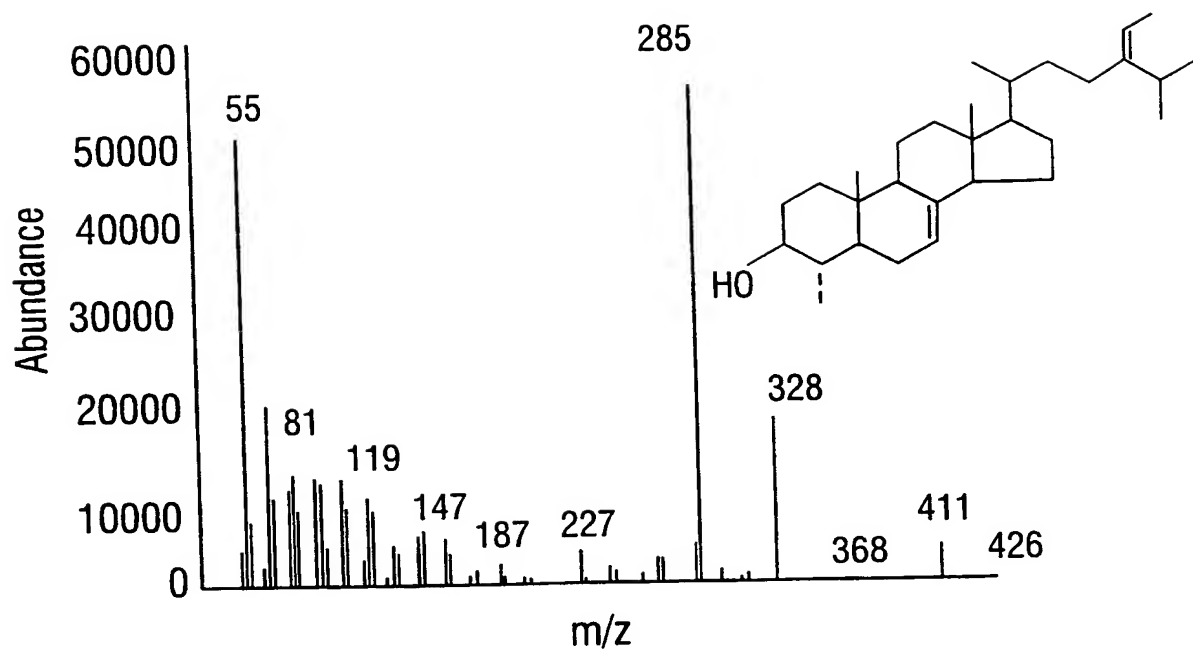


FIG. 1A

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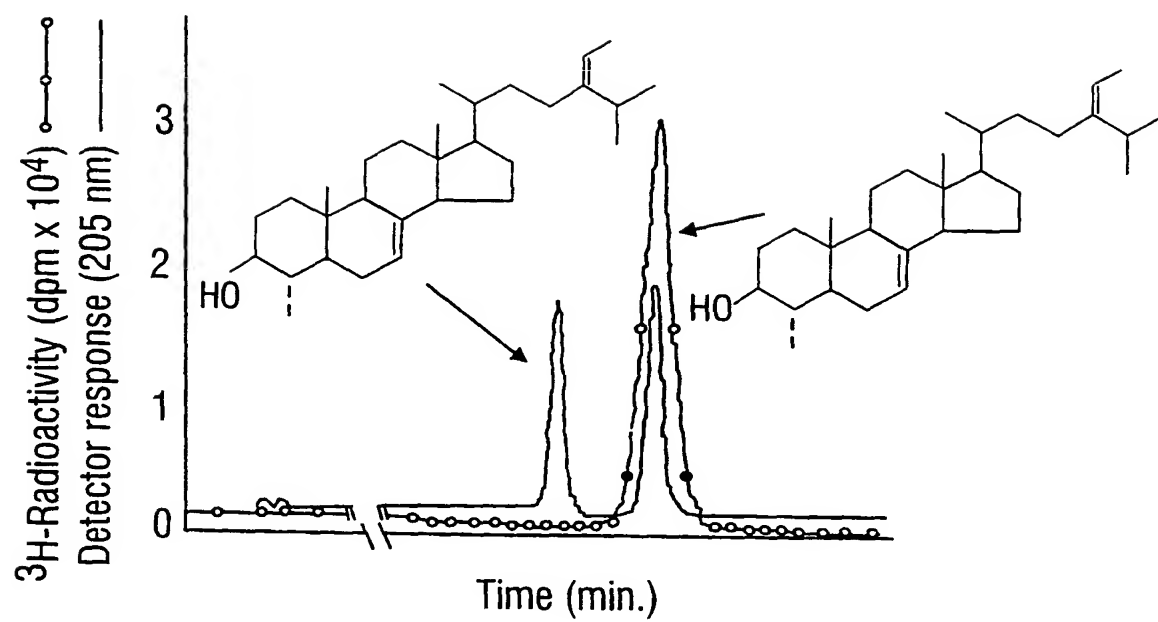


FIG. 1B

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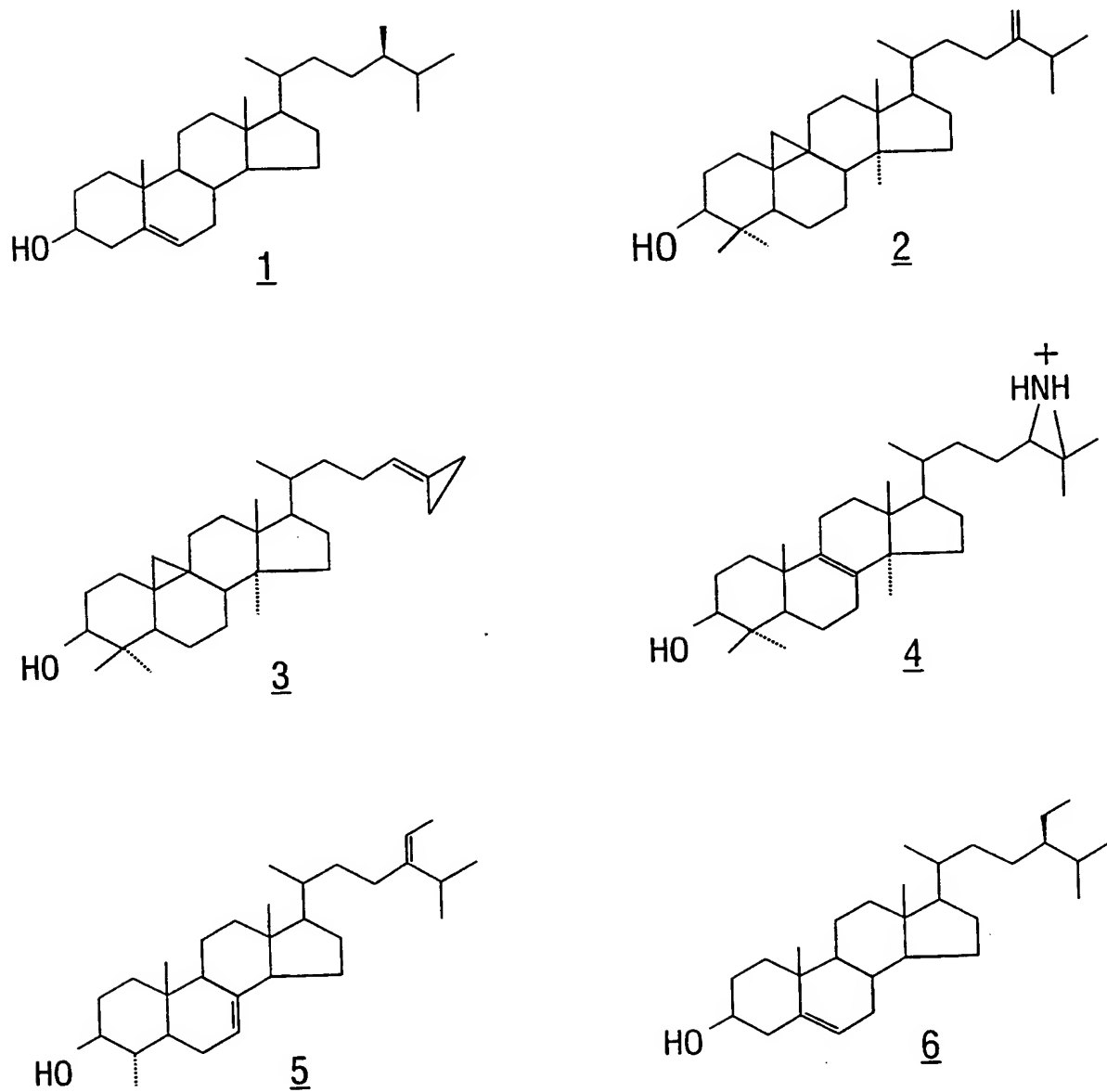


FIG. 2

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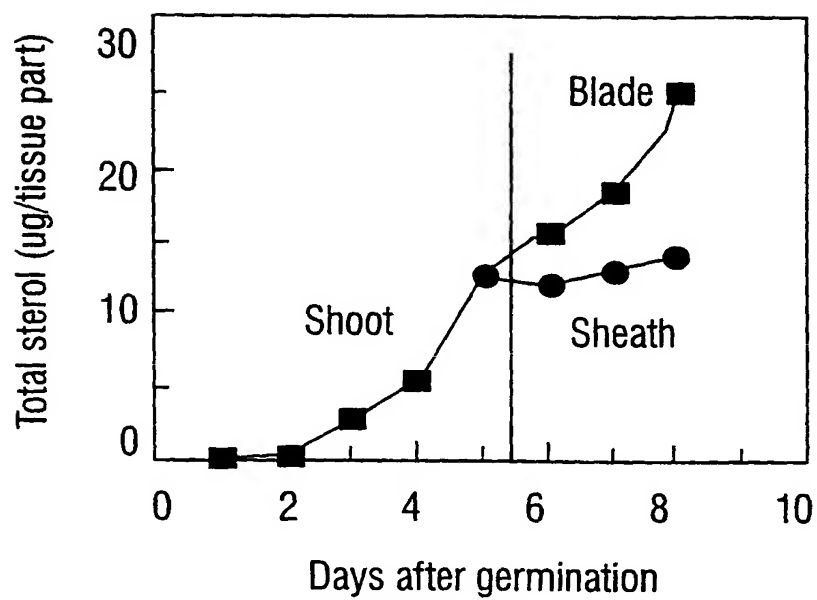


FIG. 3A

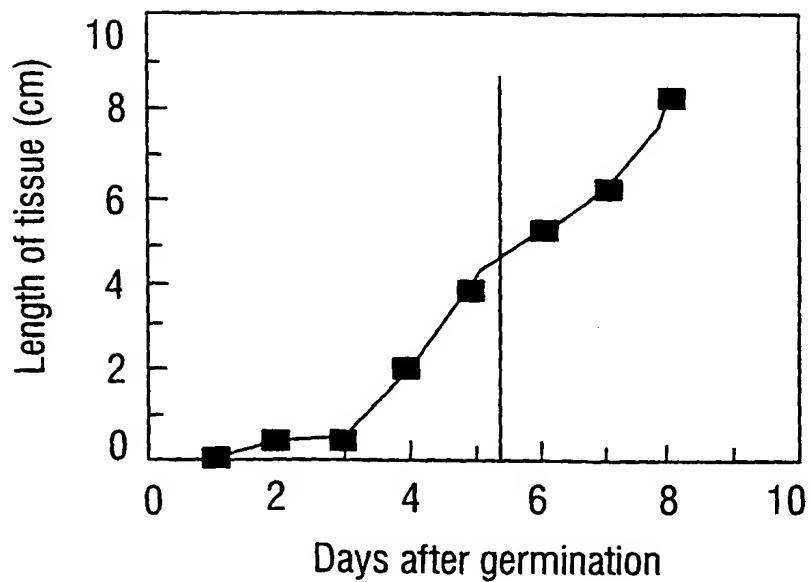


FIG. 3B



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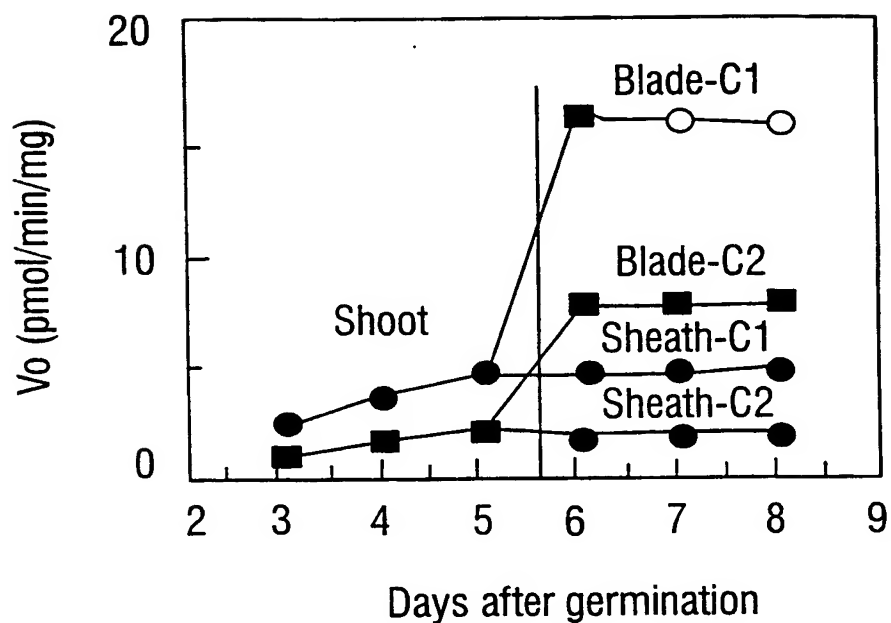


FIG. 3C

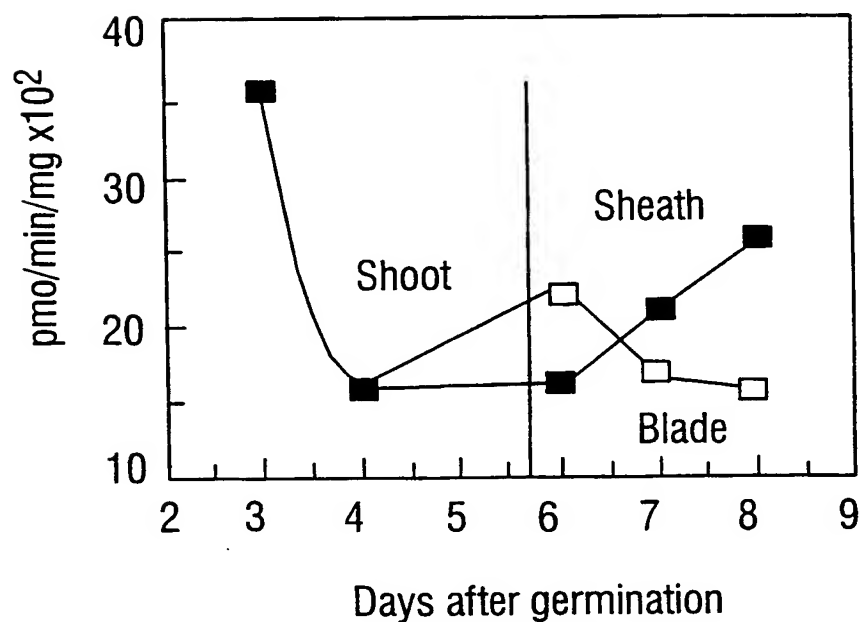
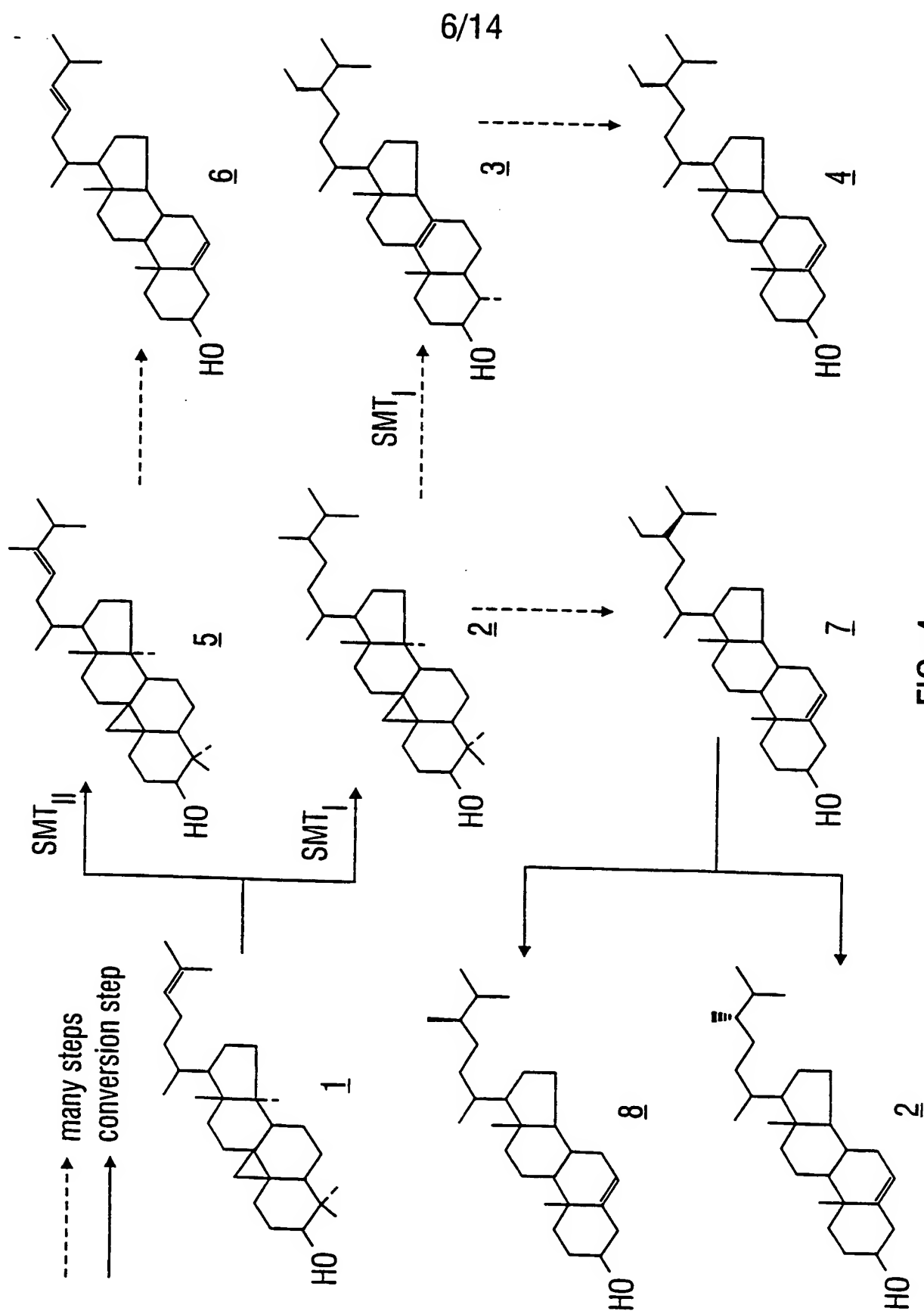


FIG. 3D



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MSETELRKRO	AQFTRELHGD	DIGKKTGLSA	LMSKNNSAQK	EAVQKYLRNW
DGRTDKDAEE	RRLEDYNEAT	HSYYNVVTFD	YEYGWGSSFH	FSRFYKGESF
AASIARHEHY	LAYKAGIQRG	DLVLDVGCGR	GGPAREIARF	TGCNVIGLNN
NDYQIAKAKY	YAKKYNLSQ	MDFVKGDFMK	MDFEENTFDK	VYAIEATCHA
PKLEGVYSEI	YKVLKPGGTF	AVYEWVMTDK	YDENNPEHRK	IAYEIELGDG
IPKMFHVDVA	RKALKNCGFE	VLVSEDLADN	DDEIPWYYPL	TGEWKYVQNL
ANLATFFRTS	YLGRQFTTAM	VTVMEKLGLA	PEGSKEVTAA	LENAAVGLVA
GGKSKLFTPM	MLFVARKPEN	AETPSQTSQE	ATQ	

## FIG. 5A

TTACTTTTCGA	TTTAAGTTTT	ACATAATTTA	AAAAACAAG	AATAAAATAA
TAATATAGTA	GGCAGCATAA	GATGAGTGAA	ACAGAATTGA	GAAAAAGACA
GGCCCAATTC	ACTAGGGAGT	TACATGGTGA	TGATATTGGT	AAAAAGACAG
GTTTGAGTGC	ATTGATGTCG	AAGAACAAC	CTGCCCAAAA	GGAAGCCGTT
CAGAAGTACT	TGAGAAATTG	GGATGGTAGA	ACCGATAAAG	ATGCCGAAGA
ACGTCGTCTT	GAGGATTATA	ATGAAGCCAC	ACATTCCTAC	TATAACGTCG
TTACAGATTT	<u>CTATGAATAT</u>	<u>GGTTGGGGTT</u>	CCTCTTTCCA	TTTCAGCAGA
TTTTATAAAG	GTGAGAGTTT	CGCTGCCTCG	ATAGCAAGAC	ATGAACATTA
TTTAGCTTAC	AAGGCTGGTA	TTCAAAGAGG	CGATTTAGTT	CTCGACGTTG
GTTGTGGTGT	TGGGGGCCCA	GCAAGAGAGA	TTGCAAGATT	TACCGGTTGT
AACGTCATCG	GTCTAAACAA	TAACGATTAC	CAAATTGCCA	AGGCCAAAATA
TTACGCTAAA	AAATACAATT	TGAGTGACCA	AATGGACTTT	GTAAAGGGTG
ATTTTCATGAA	AATGGATTTC	GAAGAAAACA	CTTTCGACAA	AGTTTATGCA
ATTGAGGCCA	CATGTCACGC	TCCAAAATTA	GAAGGTGTAT	ACAGCGAAAT
CTACAAGGTT	TTGAAACCGG	GTGGTACCTT	TGCTGTTTAC	GAATGGGTAA
TGACTGATAA	ATATGACGAA	AACAATCCTG	AACATAGAAA	GATCGCTTAT
GAAATTGAAC	TAGGTGATGG	TATCCCAAAG	ATGTTCCATG	TCGACGTGGC
TAGGAAAGCA	TTGAAGAACT	GTGGTTTCGA	AGTCCTCGTT	AGCGAAGACC
TGGCGGACAA	TGATGATGAA	ATCCCTTGGT	ATTACCCATT	AACTGGTGAG
TGGAAGTACG	TTCAAAACTT	AGCTAATTTG	GCCACATTTT	TCAGAACTTC
TTACTTGGGT	AGACAATTTA	CTACAGCAAT	GGTTACTGTA	ATGGAAAAAT
TAGGTCTAGC	CCCAGAAGGT	TCCAAGGAAG	TTACTGCTGC	TCTAGAAAAT
GCTGCGGTTG	GTTTAGTTGC	CGGTGGTAAG	TCCAAGTTAT	TCACTCCAAT
GATGCTTTTC	GTCGCTAGGA	AGCCAGAAAA	CGCCGAAACC	CCCTCCCAA
CTTCCCAAGA	AGCAACTCAA	TAAATTCACT	AGATCAATAA	GATTCAAATA
AAGCGCACGA	TATATACCTA	TTTTCTCTATA	TATGCAGATA	AAAAGATAGC
ACGTTTATTG	CTAGCAGGCC			

## FIG. 5B

SUBSTITUTE SHEET (RULE 26)

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MDSLTLFFTGALVAVGIYWFLCVLGAERKKGKRAVDLSGGSSISAEKVQ  
DNYKQYWSFFRRPKEIETAEKVPDFVDTFYNLVTDIYEWGWGQSFHFS  
PSIPGKSHKDATRLEEMAVDLIQVKPGQKILDVGC VGGPMRAIASH  
SRANVVGITINEYQVNRARLHNKKAGLDALCEVVCNLFQMPFDDNSF  
DGAYSIEATCHAPKLEEVYAEIYRVLPKPGSMYVS YEWVTTEKFKAEDD  
EHVEVIQGIERG DALPGLRAYVDIAETAKKVGFEIVKEKDLASPPAEP  
WWTRLKMGRLAYWRNHIVVQILSAVG VAPKGTVDVHEMLFKTADCLTR  
GGETGIFSPMHMILCRKPESPEESS

FIG. 6A

CTCTCTCTCTCTCTCTCTTGGTCTTCCTCACTCTTAACGAAAATGGACTCTTT  
AACACTCTTCTTCACCGGTGCACTCGTCGCCGTCGGTATCTACTGGTTCCTCT  
GCGTTCTCGGTCCAGCAGAGCGTAAAGGCAAACGAGCCGTAGATCTCTCTGGT  
GGCTCAATCTCCGCCGAGAAAGTCCAAGACAAC TACAAACAGTACTGGTCTTT  
CTTCCGCCGTCCAAAAGAAATCGAAACCGCCGAGAAAGTTCAGACTTCGTCG  
ACACATTCTACAATCTCGTCACCGACATATACGAGTGGGGATGGGGACAATCC  
TTCCACTTCTCACCATCAATCCCCGGAATCTCACAAAGACGCCACGCGCCT  
CCACGAAGAGATGGCGGTAGATCTGATCCAAGTCAAACCTGGTCAAAAGATCC  
TAGACGTCGGATGCGGTGTCGGCGGTCCGATGCGAGCGATTGCATCTCACTCG  
CGAGCAACGTAGTCGGGATTACAATAAACGAGTATCAGGTGAACAGAGCTCGT  
CTCCACAATAAGAAAGCTGGTCTCGACGCGCTTTGCGAGGTCGTGTGTGGTAA  
CTTCCTCCAGATGCCGTTTCGATGACAACAGTTTCGACGGAGCTTATTCCATCG  
AAGCCACGTGTCACGCGCCGAAGCTGGAAGAAGTGTACGCAGAGATCTACAGG  
GTGTTGAAACCCGGATCTATGTATGTGTCTGACGAGTGGGTACGACGGAGAA  
ATTTAAGGCGGAGGATGACGAACACGTGGAGGTAATCCAAGGGATTGAGAGAG  
GCGATGCGTTACCAGGGCTTAGGGCTTACGTGGATATAGCTGAGACGGCTAAA  
AAGGTTGGGTTTGAGATAGTGACGGCTAAAAAGGTTGGGTTTGAGATAGTGAA  
GGAGAAGGATCTGGCGAGTCCACCGGCTGAGCCGTGGTGGACTAGGCTTAAGA  
TGGGTAGGCTTGCTTATTGGAGGAATCACATTGTGGTTCAGATTTTGTGACGCG  
GTTGGAGTTGCTCCTAAAGGAAGTGTGATGTTTCATGAGATGTTGTTTAAGAC  
TGCTGATTGTTTGACCAGAGGAGGTGAAACCGGAATATTCTCTCCGATGCATA  
TGATTCTCTGCAGAAAACCGGAGTCACCGGAGGAGAGTTCTTGAGAAAGGTAG  
AAAGGAAACATCACCGGAAAAAGTATGGAGAATTTTCTCAATTTGTTTTTATT  
TTTAAGTTAAATCAACTTGGTTATTGTACTATTTTTGTGTTTTAATTTGGTTT  
GTGTTTCAAGAATTATTAGTTTTTTTTTTGTTTTGTTGCATATGAGAATCTTAC  
TCTTGATTTCTCCGCCGTAGAGCCGGCGAGACATAGGGGATTATTAGTATTTT  
TAAGTGTGTTTAAGATTGATTAACAAGTTAGTAAAATAAAATGTACTTAGGTG  
TCGAAAAAA  
AAAGGAATTC

FIG. 6B

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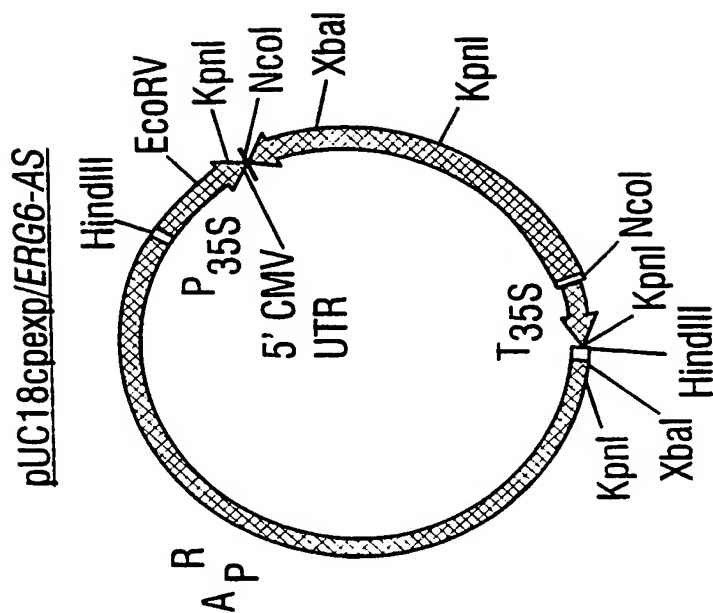


FIG. 7B

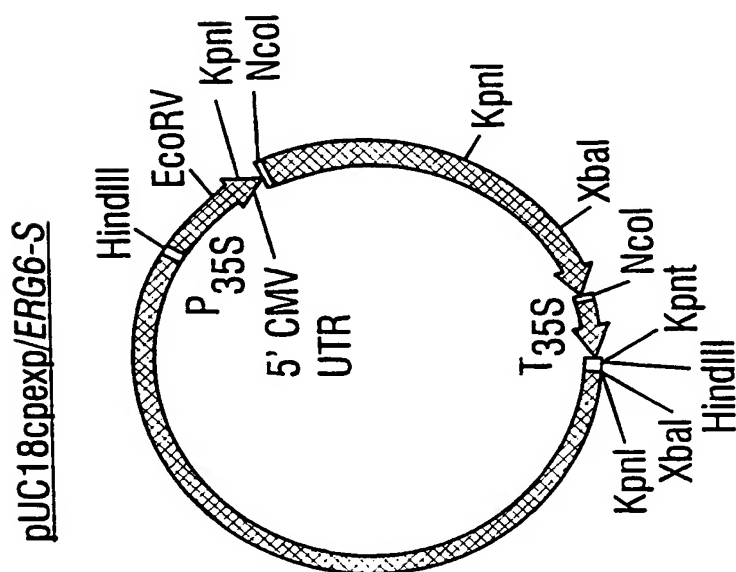


FIG. 7A

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TTACTTTCGA	TTTAAGTTTT	ACATAATTTA	AAAAACAAG	AATAAAATAA
TAATATAGTA	GGCAGCATAA	GATGAGTGAA	ACAGAATTGA	GAAAAAGACA
GGCCCAATTC	ACTAGGGAGT	TACATGGTGA	TGATATTGGT	AAAAAGACAG
GTTTGAGTGC	ATTGATGTCG	<u>AAGAACAAC</u>	<u>CTGCCCAAAA</u>	GGAAGCCGTT
CAGAAGTACT	TGAGAAATTG	GGATGGTAGA	ACCGATAAAG	ATGCCGAAGA
ACGTCGTCTT	GAGGATTATA	ATGAAGCCAC	ACATTCCTAC	TATAACGTCG
TTACAGATTT	CTATGAATAT	GGTTGGGGTT	CCTCTTTCCA	TTTCAGCAGA
TTTTATAAAG	GTGAGAGTTT	CGCTGCCTCG	ATAGCAAGAC	ATGAACATTA
TTTAGCTTAC	AAGGCTGGTA	TTCAAAGAGG	CGATTTAGTT	CTCGACGTTG
GTTGTGGTGT	TGGGGGCCCA	GCAAGAGAGA	TTGCAAGATT	TACCGGTTGT
AACGTCATCG	GTCTAAACAA	TAACGATTAC	CAAATTGCCA	AGGCAAAATA
TTACGCTAAA	AAATACAATT	TGAGTGACCA	AATGGACTTT	GTAAAGGGTG
ATTTTCATGAA	AATGGATTTC	GAAGAAAACA	CTTTCGACAA	AGTTTATGCA
ATTGAGGCCA	CATGTCACGC	TCCAAAATTA	GAAGGTGTAT	ACAGCGAAAT
CTACAAGGTT	TTGAAACCGG	GTGGTACCTT	TGCTGTTTAC	GAATGGGTAA
TGACTGATAA	ATATGACGAA	AACAATCCTG	AACATAGAAA	GATCGCTTAT
GAAATTGAAC	TAGGTGATGG	TATCCCAAAG	ATGTTCCATG	TCGACGTGGC
TAGGAAAGCA	TTGAAGAACT	GTGGTTTCGA	AGTCCTCGTT	AGCGAAGACC
TGGCGGACAA	TGATGATGAA	ATCCCTTGGT	ATTACCCATT	AACTGGTGAG
TGGAAGTACG	TTCAAAACTT	AGCTAATTTG	GCCACATTTT	TCAGAACTTC
TTACTTGGGT	AGACAATTTA	CTACAGCAAT	GGTTACTGTA	ATGGAAAAAT
TAGGTCTAGC	CCCAGAAGGT	TCCAAGGAAG	TTACTGCTGC	TCTAGAAAAT
GCTGCGGTTG	GTTTAGTTGC	CGGTGGTAAG	TCCAAGTTAT	TCACTCCAAT
GATGCTTTTC	GTCGCTAGGA	AGCCAGAAAA	CGCCGAAACC	CCCTCCCAAA
CTTCCCAAGA	AGCAACTCAA	TAAATTCACT	AGATCAATAA	GATTCAAATA
<u>AAGCGCACGA</u>	TATATACCTA	TTTTCCTATA	TATGCAGATA	AAAAGATAGC
ACGTTCAATTG	CTAGCAGGCC			

FIG. 8

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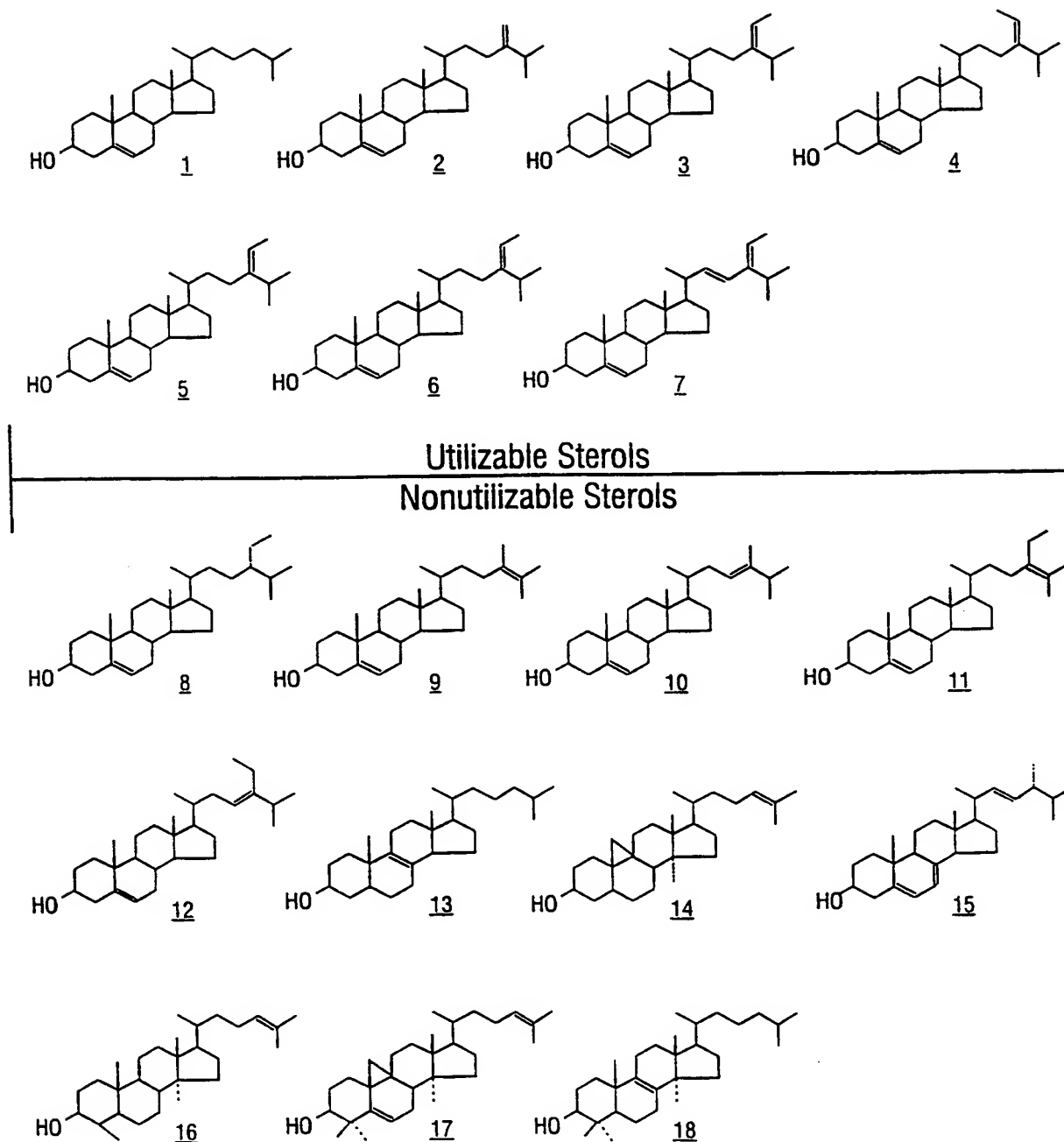


FIG. 9

SUBSTITUTE SHEET (RULE 26)

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AGACTCTGGTTCTGACATGCAGCAATTATT 30  
GCAGGTGCATTTGATCCGTCCCGGCCGCCT 60  
ACACGATGTCCAAGTCGGGAGCGCTGGAT- 89  
M S K S G A L D -  
CTTGCTTCTGGCCTCGGAGGGAAGATCAAC 119  
L A S G L G G K I N -  
AAGGTGGAAGTCAAGTCGGCCGTCGATGAG 149  
K V E V K S A V D E -  
TATGAGAAATATCATGGATACTATGGAGGG 179  
Y E K Y H G Y Y G G -  
AAGGAGGAAGCAAGGAAGTCCAAC TATACT 209  
K E E A R K S N Y T -  
GATATGGTTAATAAATACTATGATCTTGCC 239  
D M V N K Y Y D L A -  
ACTAGCTTCTATGAGTATGGTTGGGGTGAA 269  
T S F Y E Y G W G E -  
TCCTTCCACTTTGCTCACAGATGGAATGGA 299  
S F H F A H R W N G -  
GAATCCTTACGTGAAAGCATCAAGCGACAT 329  
E S L R E S I K R H -  
GAGCATTTTCTTGCCCTGCAACTTGGTTTG 359  
E H F L A L Q L G L -  
AAACCAGGAATGAAGGTTTTAGATGTGGGC 389  
K P G M K V L D V G -  
TGTGGAATAGGTGGACCACTGAGAGAAATT 419  
C G I G G P L R E I -  
GCAAGATTTAGCTCAACTTCAGTTACCGGA 449  
A R F S S T S V T G -  
TTGAATAACCACGAATACCAGATAACCAGG 479  
L N N H E Y Q I T R -  
GGAAAGGAGCTCAACCGTTTAGCAGGAATT 509  
G K E L N R L A G I -

FIG. 10A

SUBSTITUTE SHEET (RULE 26)



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AGTGGAACATGTGATTTTGTCAAGGCGGAC 539  
S G T C D F V K A D -  
TTCATGAAGATGCCGTTTCGATGACACACTT 569  
F M K M P F D D T L -  
TTGGATGCTGTTTACGCCATTGAGGCAACA 599  
L D A V Y A I E A T -  
TGTCATGCACCTGATCCAGTTGGTTGCTAC 629  
C H A P D P V G C Y -  
AAGGAGATATATCGTGTGTTGAAGCCTGGC 659  
K E I Y R V L K P G -  
CAGTGCTTTGCCGTGTACGAGTGGTGCGTT 689  
Q C F A V Y E W C V -  
ACGGATCACTATGATCCTAACAATGCAACC 719  
T D H Y D P N N A T -  
CACAAAAGGATCAAGGATGAAATTGAGCTT 749  
H K R I K D E I E L -  
GGCAATGGCCTGCCAGATATCAGAAGCACT 779  
G N G L P D I R S T -  
CCGCAATGTCTCCGGGCTCTAAAAGACGCC 809  
P Q C L R A L K D A -  
GGGTTTGACGTTGTTTGGGATAAGGATCTT 839  
G F D V V W D K D L -  
GCTGAAGATTCTCCCTTGCCTTGGTACTTG 869  
A E D S P L P W Y L -  
CCCTTGGACTCCAGCCGATGCTCACTGAGT 899  
P L D S S R C S L S -  
AGCTTCCGTCGACCTCCTGTCGGGACGCAT 929  
S F R R P P V G T H -  
GATACCCGCACAATGGTCAAGGCCCTGGAG 959  
D T R T M V K A L E -  
TACGTTGGTCTTGCTCCGCAGGGCAGTGAG 989  
Y V G L A P Q G S E -

FIG. 10B

SUBSTITUTE SHEET (RULE 26)

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AGGTCTCTAGTTTTTCCTGGAGAAGGCTGCA	1019
R S L V F L E K A A -	
GAAGGGCTGGTAGAGGGCGGAAAGAAGGAG	1049
E G L V E G G K K E -	
ATCTTCACGCCAATGTACTTCTTTTTTTGTT	1079
I F T P M Y F F F V -	
CGGAAGCCTCTTCTGGAATGAGCTCTTGGA	1109
R K P L L E *	
TCACCTTTTTCAGAGAGAGAAGGCAAGTGGT	1139
CATTTTCGAAGAAGCCGAGGAGAGGGAACCT	1169
GGAATCAAGAAAACCTTCAGCTCTCCTGTG	1199
TAGGAGGAAAGTTAACGAACAGTGTAGTAA	1229
CTGTTTCAGCTCTGTGTTTATTTCAGTTGTTT	1259
TGCTGCTTGAGGTTATTCGTTTCTAGGTGG	1289
GGGTTGGAATCCTTTTCGCCATAAACCTCT	1319
CAGTGGCATAAATAAGATGGTTTGCATAAG	1349
AGTACTTCATGGATACCGTAAGGGCTACTA	1379
CTGAAAGAGAAATGTTTAAGCAGCATGGTA	1409
TGTGAGCAANTAGTGATAATTATTCCATCC	1439
TTTTTTTTTAATATAAAGCAGGAGTTTTGTC	1469
AAAAAAAAAAAAAAAAAAAAAAAAAAAAA	1497

FIG. 10B

## INTERNATIONAL SEARCH REPORT

Inte... Application No

PCT/US 97/23495

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/82 C12N9/10 A01H5/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N A01H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GRAUSEM B. ET AL.: "Functional expression of <i>Saccharomyces cerevisiae</i> CYP51A1 encoding lanosterol-14-demethylase in tobacco results in bypass of endogenous sterol biosynthetic pathway and resistance to an obtusifoliol-14-demethylase herbicide inhibitor." PLANT J (ENGLAND) MAY 1995, 7 (5) P761-70, XP002063588 see abstract see page 769, paragraph 1 --- -/--	1,2,4-6, 11,12, 14-16, 26,27, 29-31

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

27 April 1998

Date of mailing of the international search report

22.05.98

Name and mailing address of the ISA

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Authorized officer

Chakravarty, A

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/23495

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GACHOTTE D ET AL.: "An Arabidopsis mutant deficient in sterol biosynthesis: heterologous complementation by ERG 3 encoding a delta 7-sterol-C-5-desaturase from yeast" PLANT J (ENGLAND) SEP 1995, 8 (3) P407-16, XP002063589 see abstract	1,2,4-6
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E	--- WO 97 48793 A (GEN HOSPITAL CORP) 24 December 1997 see page 31 -----	1-40

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. Application No

PCT/US 97/23495

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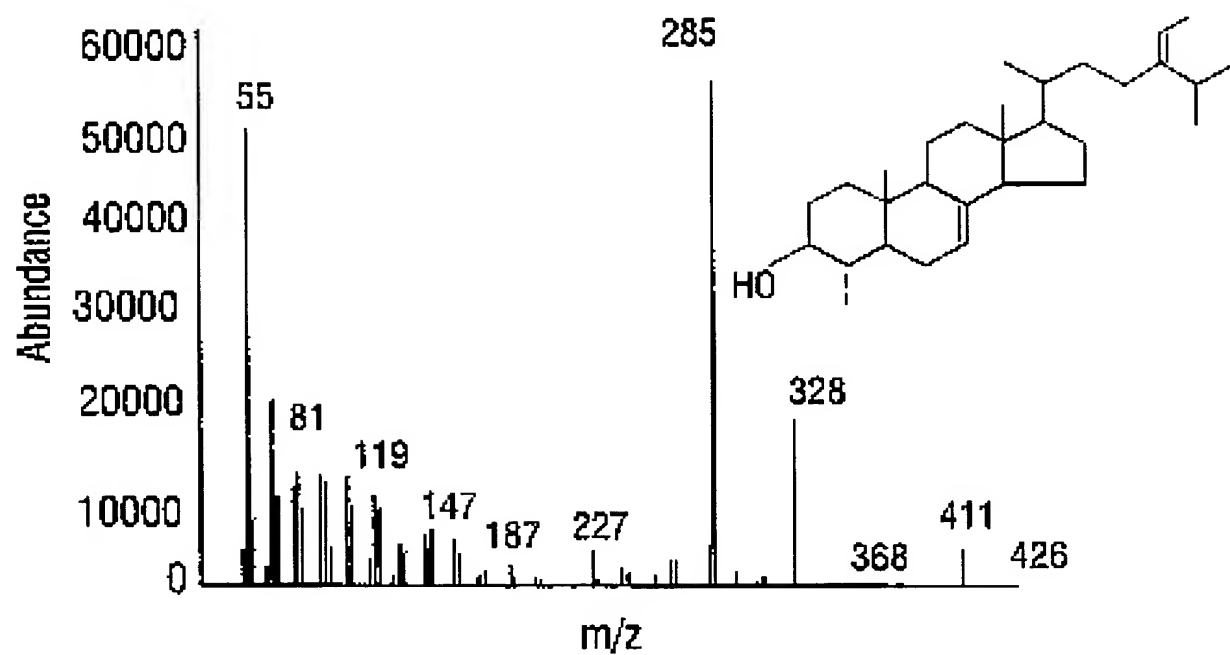


FIG. 1A

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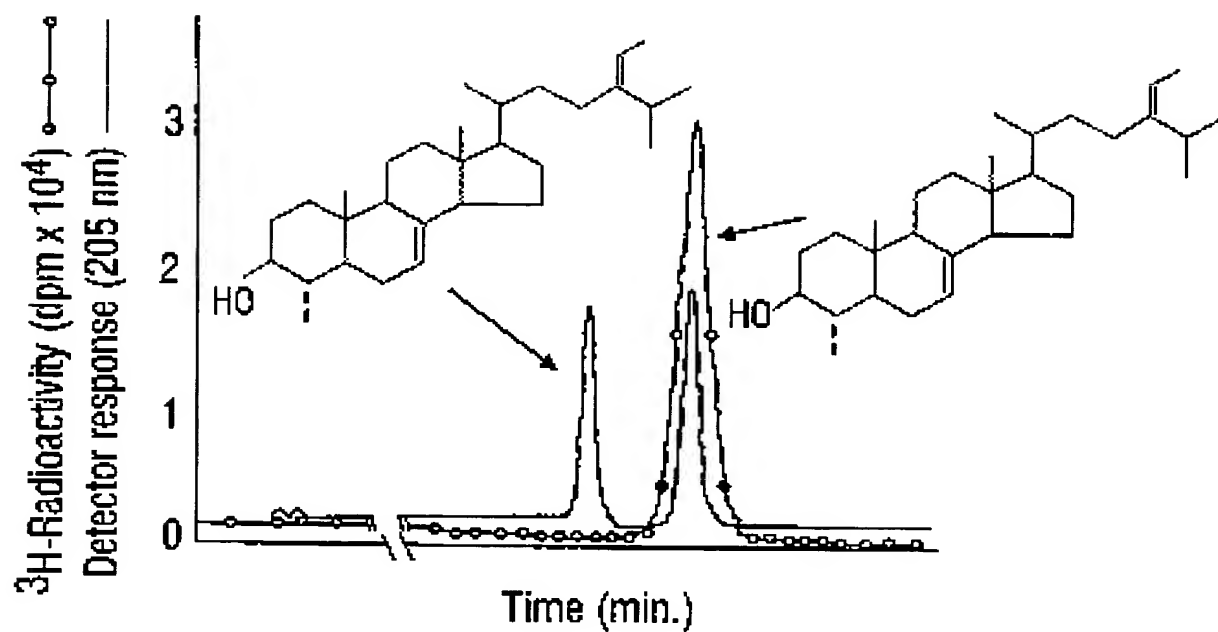


FIG. 1B



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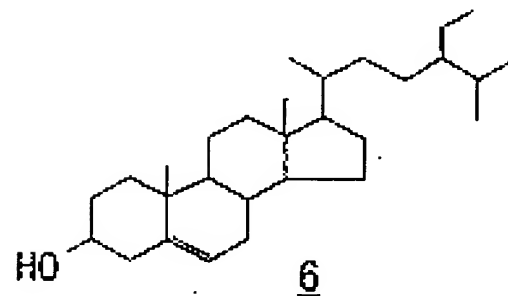
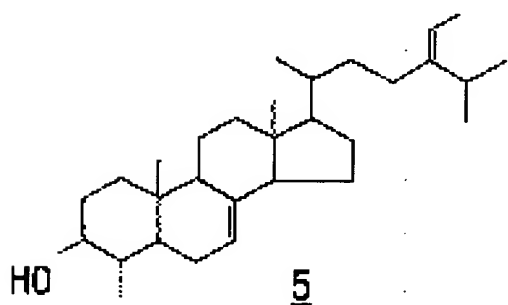
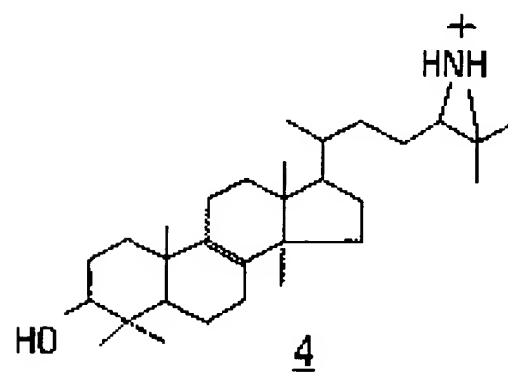
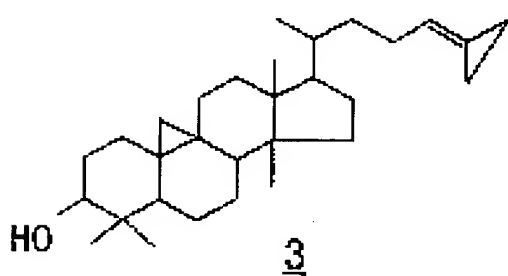
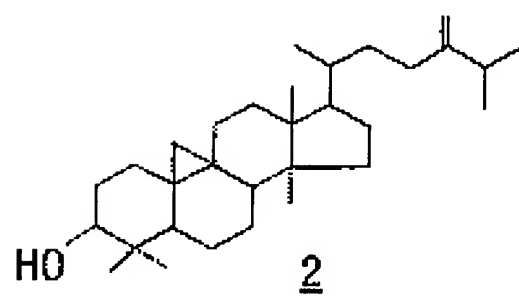
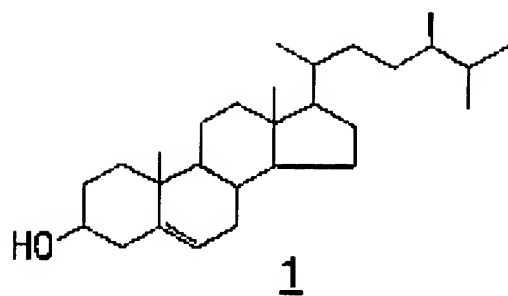


FIG. 2

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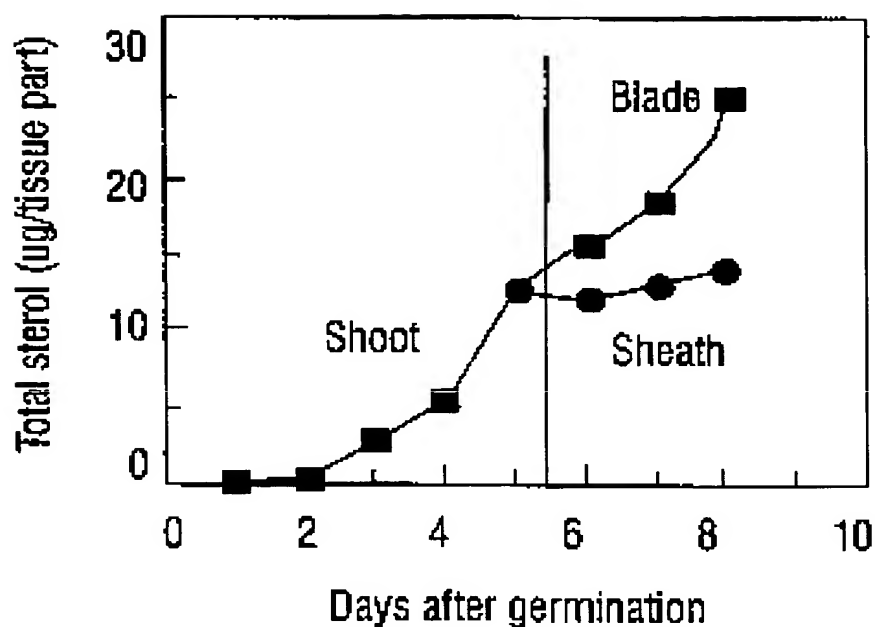


FIG. 3A

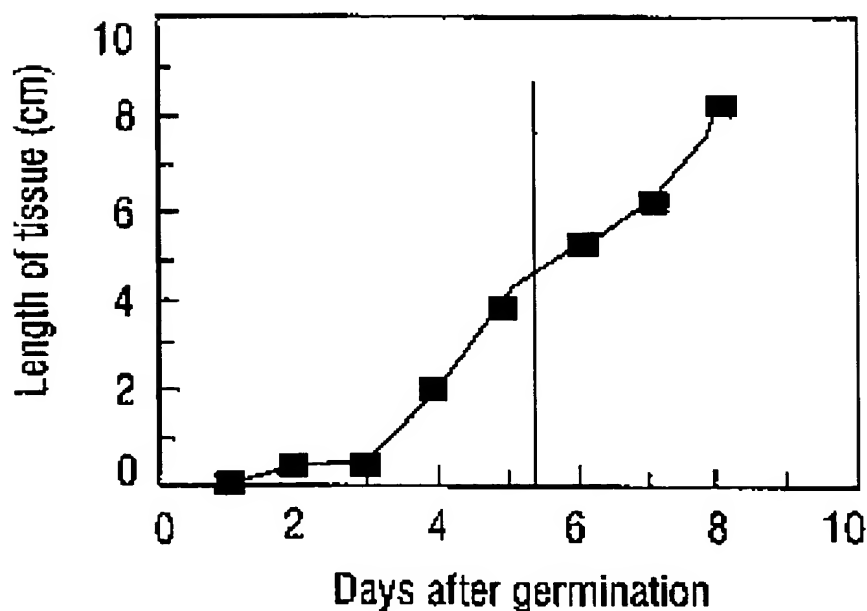


FIG. 3B

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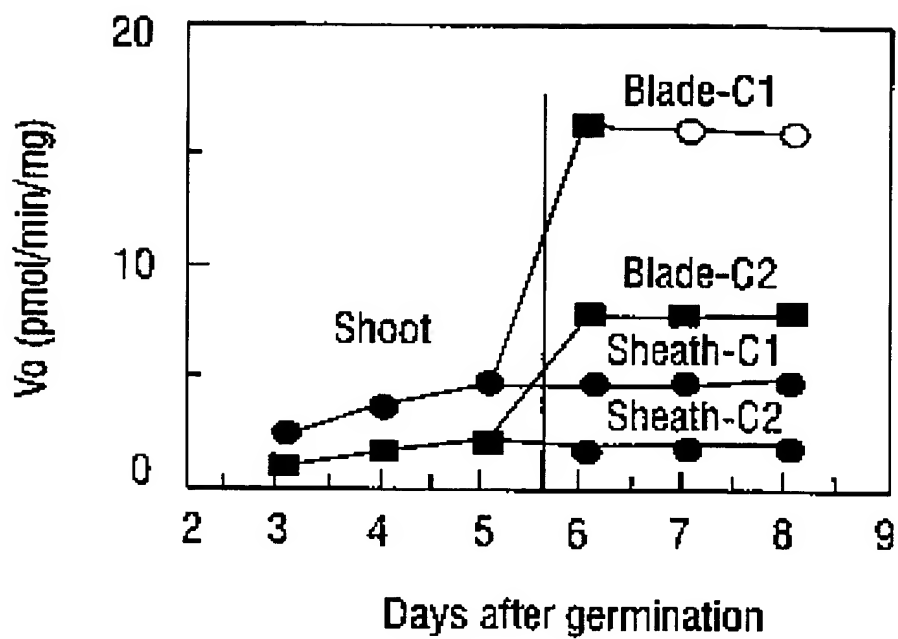


FIG. 3C

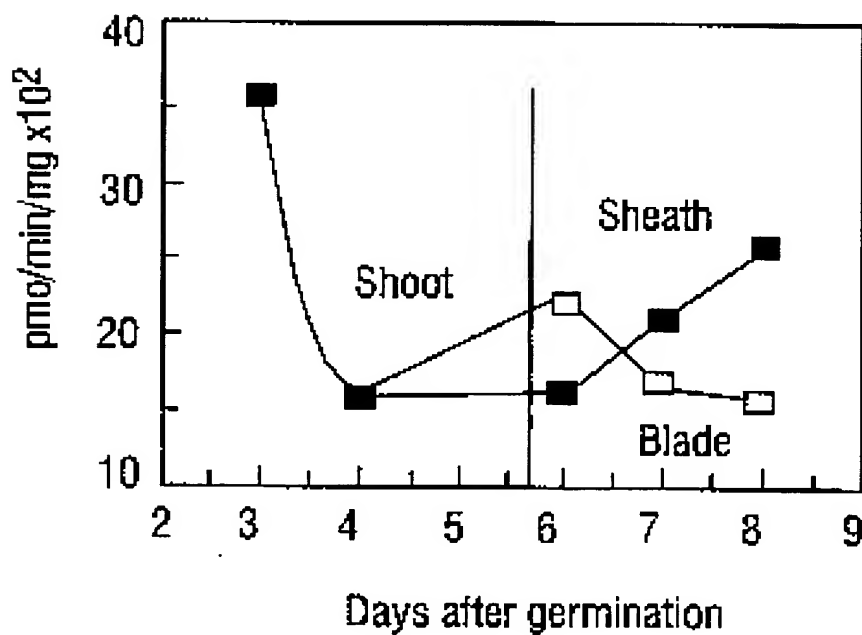
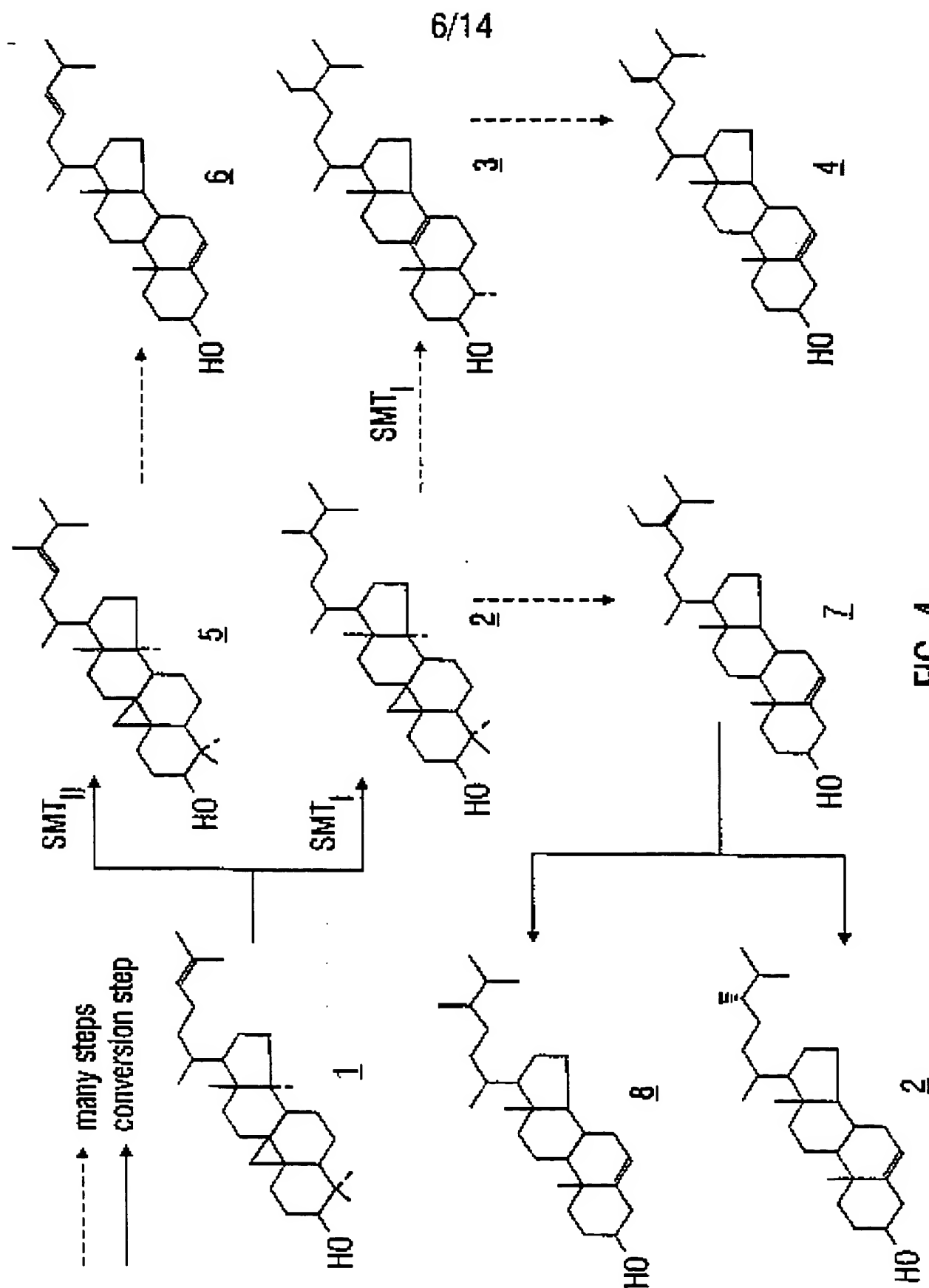


FIG. 3D



**FIG. 4**

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MSETELRKRO	AQFTRELHGD	DIGKKTGLSA	LMSKNNSAOK	EAVQKYLRNW
DGRTDKDAEE	RRLEDYNEAT	HSYYNVVTFD	YEYGWGSSFH	FSRFYKGESF
AASLARHEHY	LAYKAGIQRG	DLVLDVGGCV	GGPAREIARF	TGCNVIGLNN
NDYQIAKAKY	YAKKYNLSQ	MDFVKGDENK	MDFEENTFDK	VYAIEATCHA
PKLEGVYSEI	YKVLKPGGTF	AVYEWVMTDK	YDENNFEHRK	IAYEIELGDG
IPKMFHVDVA	RKALKNCGFE	VLVSEDLADN	DDEIPWYYPL	TGEWKYVQNL
ANLATFFRTS	YLGRQFTTAM	VTVMKELGLA	PEGSKEVTAA	LENAAVGLVA
GGKSKLETFM	MLFVARKPEN	AETPSQTSQE	ATQ	

FIG. 5A

TTACTTTTCGA	TTTAAGTTTT	ACATAATTTA	AAAAACAAG	AATAAAATAA
TAATATAGTA	GGCAGCATAA	GATGAGTGAA	ACAGAATTGA	GAAAAAGACA
GGCCCAATTC	ACTAGGGAGT	TACATGGTGA	TGATATTGGT	AAAAAGACAG
GTTTGAGTGC	ATTGATGTGG	AAGAACAAC	CTGCCCAAAA	GGAAGCCGTT
CAGAAGTACT	TGAGAAATTG	GGATGGTAGA	ACCGATAAAG	ATGCCGAAGA
ACGTCGTCTT	GAGGATTATA	ATGAAGCCAC	ACATTCTTAC	TATAACGTGG
TTACAGATTT	<u>CTATGAATAT</u>	<u>GGTTGGGGTT</u>	CCTCTTTCCA	TTTCAGCAGA
TTTTATAAAG	GTGAGAGTTT	CGCTGCCTCG	ATAGCAAGAC	ATGAACATTA
TTTAGCTTAC	AAGGCTGGTA	TTCAAAGAGG	CGATTTAGTT	CTCGACGTTG
GTTGTGGTGT	TGGGGGCCCA	GCAAGAGAGA	TTGCAAGATT	TACCGGTTGT
AACGTCATCG	GTCTAAACAA	TAACGATTAC	CAAATTGOC	AGGCAAAATA
TTACGCTAAA	AAATACAATT	TGAGTGACCA	AATGGACTTT	GTAAAGGGTG
ATTTTCATGAA	AATGGATTTC	GAAGAAAACA	CTTTGACAAA	AGTTTATGCA
ATTGAGGCCA	CATGTCACGC	TCCAAAATTA	GAAGGTGTAT	ACAGCGAAAT
CTACAAGGTT	TTGAAACCGG	GTGGTACCTT	TGCTGTTTAC	GAATGGGTAA
TGACTGATAA	ATATGACGAA	AACAATCCTG	AACATAGAAA	GATCGCTTAT
GAAATTGAAC	TAGGTGATGG	TATCCCAAAG	ATGTTCCATG	TCGACGTGGC
TAGGAAAGCA	TTGAAGAACT	GTGGTTTCGA	AGTCCTCGTT	AGCGAAGACC
TGGCGGACAA	TGATGATGAA	ATCCTTGGT	ATTACCCATT	AACTGGTGAG
TGGAAGTACG	TTCAAAACTT	AGCTAATTTG	GCCACATTTT	TCAGAACTTC
TTACTTGGGT	AGACAAATTA	CTACAGCAAT	GGTTACTGTA	ATGGAAAAAT
TAGGTCTAGC	CCCAGAAGGT	TCCAAGGAAG	TTACTGCTGC	TCTAGAAAAAT
GCTGCGGTTG	GTTTAGTTGC	CGGTGGTAAG	TCCAAGTTAT	TCACTCCAAT
GATGCTTTTC	GTCGCTAGGA	AGCCAGAAAA	CGCCGAAACC	CCCTCCCAAA
CTTCCCAAGA	AGCAACTCAA	TAAATTCACT	AGATCAATAA	GATTCAAATA
AAGCGCACGA	TATATAOCTA	TTTTCCTATA	TATGCAGATA	AAAAGATAGC
ACGTTCAATTG	CTAGCAGGCC			

FIG. 5B

SUBSTITUTE SHEET (RULE 25)

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MDSLTLFFTGALVAVGIYWFLCVLGPAPERKKGKRAVDLSGGSSISAEKVQ  
 DNYKQYWSFFRRPKEIETAEKVPDFVDTFYNLVTDIYEWGWGQSFHFS  
 PSIPGKSHKDATRLHEEMAVDLIQVKPGQKILDVGCVGVPMPRAIASH  
 SRANVVGITINEYQVNRARLHNKKAGLDALCEVVCGNFLQMPFDDNSF  
 DGAYSIEATCHAPKLEEVYAEIYRVLKPGSMYVSYEWVTTEKFKAEED  
 EHVEVIOGIERGDALPGLRAYVDIAETAKKVGFEIVKEKDLASPPAEP  
 WWTRLKMGRLAYWRNHIVVQILSAVGVA PKGTVDVHEMLFKTADCLTR  
 GGETGIFSPMHMILCRKPESPEESS

FIG. 6A

CTCTCTCTCTCTCTCTCTTGGTCTTCTCACTCTTAACGAAAATGGACTCTTT  
 AACACTCTTCTTCAACCGGTGCACTCGTCGCCGTGGTATCTACTGGTTCCTCT  
 GCGTTCTCGGTCCAGCAGAGCGTAAAGGCCAACGAGCCGTAGATCTCTCTGGT  
 GGCTCAATCTCCGCCGAGAAAGTCCAAGACAACACTACAAACAGTACTGGTCTTT  
 CTTCCGCCGTCCAAAAGAAATCGAAACCGCCGAGAAAGTTCCAGACTTCGTCC  
 ACACATTCTACAATCTCGTCACCCGACATATACGAGTGGGGATGGGGACAATCC  
 TTCCACTTCTCACCATCAATCCCCGAAAATCTCACAAAGACGCCACGCGCCT  
 CCACGAAGAGATGGCGGTAGATCTGATCCAAGTCAAACCTGGTCAAAGATCC  
 TAGACGTCCGATGCGGTGTCCGCCGTCCGATGCGAGCGATTGCATCTCACTCG  
 CGAGCAACGTAGTCGGGATTACAATAAACGAGTATCAGGTGAACAGAGCTCGT  
 CTCCACAATAAGAAAGCTGGTCTCGACGCGCTTTGCGAGGTCTGTGTGGTAA  
 CTTCTCCAGATGCCGTTTCGATGACAACAGTTTCGACGGAGCTTATTCCATCG  
 AAGCCACGTGTACGCGCGCGAAGCTGGAAGAAGTGTACGCAGAGATCTACAGG  
 GTGTTGAAACCGGATCTATGTATGTGTCTGACGAGTGGGTTACGACGGAGAA  
 ATTTAAGGCGGAGGATGACGAACACGTGGAGGTAATCCAAGGGATTGAGAGAG  
 GCGATGCCGTTACCAGGGCTTAGGGCTTACGTGGATATAGCTGAGACGGCTAAA  
 AAGGTTGGGTTTGAGATAGTGACGGCTAAAAAGGTTGGGTTTGAGATAGTGAA  
 GGAGAAGGATCTGCCGAGTCCACCGGCTGAGCCGTGGTGGACTAGGCTTAAGA  
 TGGGTAGGCTTGCTTATTGGAGGAATCACATTGTGGTTCAGATTTTGTACGCG  
 GTTGGAGTTGCTCCTAAAGGAACTGTTGATGTTTCATGAGATGTTGTTTAAGAC  
 TGCTGATTGTTTGACCAGAGGAGGTGAAACCGGAATATCTCTCCGATGCATA  
 TGATTCTCTGCAGAAAACCGGAGTCACCGGAGGAGAGTTCTTGAGAAAGGTAG  
 AAAGGAAACATCACCGGAAAAAGTATGGAGAATTTTCTCAATTTGTTTTTATT  
 TTTAAGTTAAATCAACTTGGTTATTGTACTATTTTGTGTTTTTAATTTGGTTT  
 GTGTTTCAAGAATTATTAGTTTTTTTTTGTGTTTGTTCATATGAGAATCTTAC  
 TCTTGATTCTCCGCCGTAGAGCCGCCGAGACATAGGGGATTATTAGTATTTT  
 TAAGTGTGTTTAAGATTGATTAAACAAGTTAGTAAATAAAATGTAAGGTG  
 TCGAAAAAA  
 AAAGGAATTC

FIG. 6B

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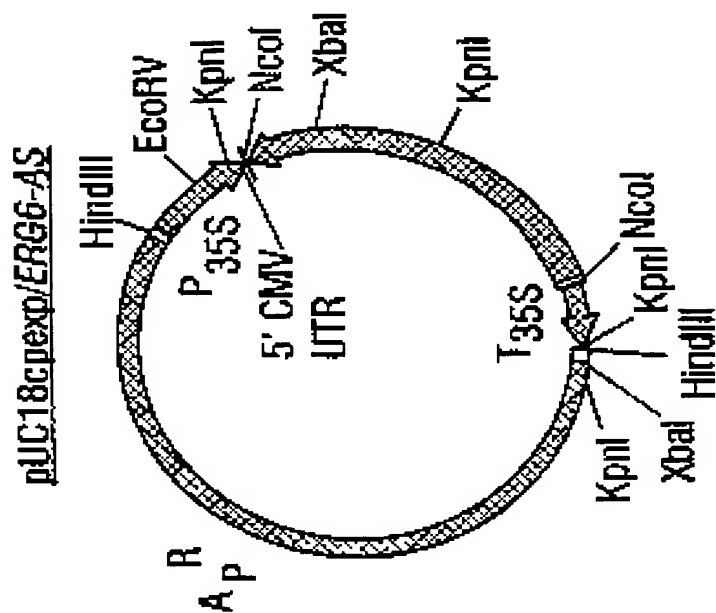


FIG. 7B

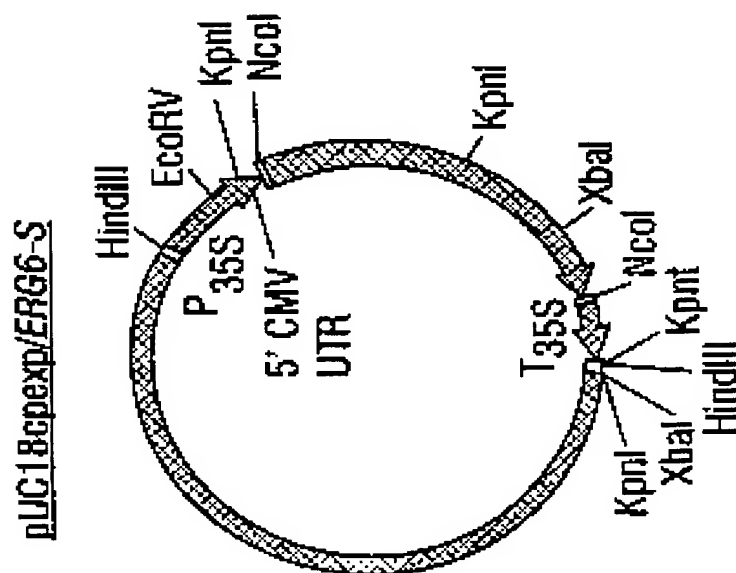


FIG. 7A

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TTACTTTTCTGA	TTTAAGTTTTT	ACATAATTTA	AAAAAACAAG	AATAAAATAA
TAATATAGTA	GGCAGCATAA	GATGAGTGAA	ACAGAATTGA	GAAAAAGACA
GGOCCAATTC	ACTAGGGAGT	TACATGGTGA	TGATATTGGT	AAAAAGACAG
GTTTGAGTGC	ATTGATGTCG	<u>AAGAACA</u> ACT	<u>CTGCCCCAAA</u>	GGAAGOCGTT
CAGAAGTACT	TGAGAAATTG	GGATGGTAGA	ACCGATAAAG	ATGCCGAAGA
ACGTCGTCTT	GAGGATTATA	ATGAAGCCAC	ACATTCCCTAC	TATAACGTCG
TTACAGATTT	CTATGAATAT	GGTTGGGGTT	OCTCTTTCCA	TTTCAGCAGA
TTTTATAAAG	GTGAGAGTTT	CGCTGCCTCG	ATAGCAAGAC	ATGAACATTA
TTTAGCTTAC	AAGGCTGGTA	TTCAAAGAGG	CGATTTAGTT	CTCGACGTTG
GTTGTGGTGT	TGGGGGCCCA	GCAAGAGAGA	TTGCAAGATT	TACCGGTTGT
AACGTCATCG	GTCTAAACAA	TAACGATTAC	CAAATTGCCA	AGGCAAAATA
TTACGCTAAA	AAATACAATT	TGAGTGACCA	AATGGACTTT	GTAAAGGGTG
ATTTTCATGAA	AATGGATTTC	GAAGAAAACA	CTTTCGACAA	AGTTTATGCA
ATTGAGGCCA	CATGTCACGC	TCCAAAATTA	GAAGGTGTAT	ACAGCGAAAT
CTACAAGGTT	TTGAAACCGG	GTGGTACCTT	TGCTGTTTAC	GAATGGGTAA
TGACTGATAA	ATATGAACGAA	AACAATCCTG	AACATAGAAA	GATCGCTTAT
GAAATTGAAC	TAGGTGATGG	TATCCCAAAG	ATGTTCCATG	TOGACGTGGC
TAGGAAAGCA	TTGAAGAACT	GTGGTTTCTGA	AGTCCTCGTT	AGCGAAGACC
TGGCGGACAA	TGATGATGAA	ATCCCTTGGT	ATTACCCATT	AACTGGTGAG
TGGAAGTACG	TTCAAAACTT	AGCTAATTTG	GCCACATTTT	TCAGAACTTC
TTACTTGGGT	AGACAATTTA	CTACAGCAAT	GGTTACTGTA	ATGGAAAAAT
TAGGTCTAGC	CCCAGAAGGT	TCCAAGGAAG	TTACTGCTGC	TCTAGAAAAT
GCTGCGGTTG	GTTTAGTTGC	CGGTGGTAAG	TCCAAGTTAT	TCACTCCAAT
GATGCTTTTC	GTCGCTAGGA	AGOCAGAAAA	CGCCGAAACC	COCTCCOAAA
CTTCCCAAGA	AGCAACTCAA	TAAATTCACT	AGATCAATAA	GATTCAAATA
<u>AAGCGCACGA</u>	TATATACCTA	TTTTCCTATA	TATGCAGATA	AAAAGATAGC
ACGTTCAATTG	CTAGCAGGCC			

FIG. 8



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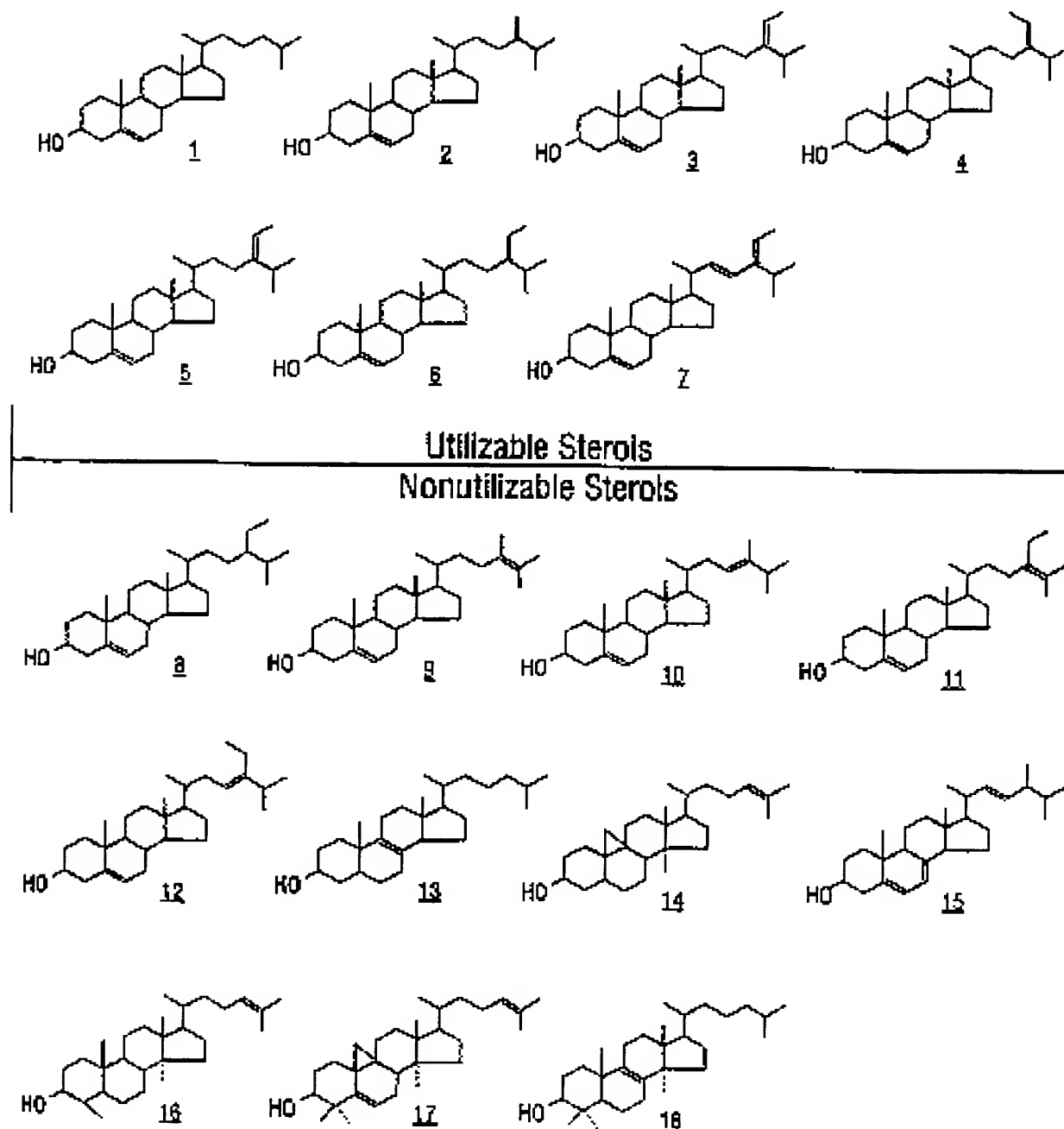


FIG. 9

SUBSTITUTE SHEET (RULE 26)

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AGACTCTGGTTCTGACATGCAGCAATTATT	30
GCAGGTGCATTTGATCCGTCCCGGCCGCCT	60
ACACGATGTCCAAGTCGGGAGCGCTGGAT-	89
M S K S G A L D -	
CTTGCTTCTGGCCTCGGAGGGAAGATCAAC	119
L A S G L G G K I N -	
AAGGTGGAAGTCAAGTCGGCCGTCGATGAG	149
K V E V K S A V D E -	
TATGAGAAATATCATGGATACTATGGAGGG	179
Y E K Y H G Y Y G G -	
AAGGAGGAAGCAAGGAAGTCCAACTATACT	209
K E E A R K S N Y T -	
GATATGGTTAATAAATACTATGATCTTGCC	239
D M V N K Y Y D L A -	
ACTAGCTTCTATGAGTATGGTTGGGGTGAA	269
T <u>S F Y E Y G W G E -</u>	
TCCTTCCACTTTGCTCACAGATGGAATGGA	299
<u>S F H F A H R W N G -</u>	
GAATCCTTACGTGAAAGCATCAAGCGACAT	329
E S L R E S I K R H -	
GAGCATTTTCTTGCCCTGCAACTTGGTTTG	359
E H F L A L Q L G L -	
AAACCAGGAATGAAGGTTTTAGATGTGGGC	389
K P G M K <u>V L D V G -</u>	
TGTGGAATAGSTGGACCACTGAGAGAAATT	419
<u>C G I G G P L R E I -</u>	
GCAAGATTTAGCTCAACTTCAGTTACCGGA	449
A R F S S T S V T G -	
TTGAATAACCACGAATAACCAGATAACCAGG	479
L N N H E Y Q I T R -	
GGAAAGGAGCTCAACCGTTTAGCAGGAATT	509
G K E L N R L A G I -	

FIG. 10A

SUBSTITUTE SHEET (RULE 25)

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AGTGGAACATGTGATTTTGTCAAGGCGGAC 539  
S G T C D F V K A D -  
TTCATGAAGATGCCGTTTCGATGACACACTT 569  
F M K M P F D D T L -  
TTGGATGCTGTTTACGCCATTGAGGCAACA 599  
L D A V Y A I E A T -  
TGTCATGCACCTGATCCAGTTGGTTGCTAC 629  
C H A P D P V G C Y -  
AAGGAGATATATCGTGTGTTGAAGCCTGGC 659  
K E I Y R V L K P G -  
CAGTGCTTTGCCGTGTACGAGTGGTGCGTT 689  
Q C F A V Y E W C V -  
ACGGATCACTATGATCCTAACAATGCAACC 719  
T D H Y D P N N A T -  
CACAAAAGGATCAAGGATGAAATTGAGCTT 749  
H K R I K D E I E L -  
GGCAATGGCCTGCCAGATATCAGAAGCACT 779  
G N G L P D I R S T -  
CCGCAATGTCTCCGGGCTCTAAAAGACGCC 809  
P Q C L R A L K D A -  
GGGTTTGACGTTGTTTGGGATAAGGATCTT 839  
G F D V V W D K D L -  
GCTGAAGATTCTCCCTTGCCTTGGTACTTG 869  
A E D S P L P W Y L -  
CCCTTGGACTCCAGCCGATGCTCACTGAGT 899  
P L D S S R C S L S -  
AGCTTCCGTCGACCTCCTGTCTGGGACGCAT 929  
S F R R P P V G T H -  
GATACCCGCACAATGGTCAAGGCCCTGGAG 959  
D T R T M V K A L E -  
TACGTTGGTCTTGCTCCGCAGGGCAGTGAG 989  
Y V G L A P Q G S E -

FIG. 10B

SUBSTITUTE SHEET (RULE 25)

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AGGTCTCTAGTTTTTCCTGGAGAAGGCTGCA	1019
R S L V F L E K A A -	
GAAGGGCTGGTAGAGGGCGGAAAGAAGGAG	1049
E G L V E G G K K E -	
ATCTTCACGCCAATGTACTTCTTTTTTTGTT	1079
I F T P M Y F F F V -	
CGGAAGCCTCTTCTGGAATGAGCTCTTGGA	1109
R K P L L E *	
TCACCTTTTCAGAGAGAGAAGGCAAGTGGT	1139
CATTTCGAAGAAGCCGAGGAGAGGGAACCT	1169
GGAATCAAGAAAACCTTCAGCTCTCCTGTG	1199
TAGGAGGAAAGTTAACGAACAGTGTAGTAA	1229
CTGTTCAGCTCTGTGTTTATTTCAGTTGTTT	1259
TGCTGCTTGAGGTTATTCGTTTCTAGGTGG	1289
GGGTTGGAATCCTTTTCGCCATAAACCTCT	1319
CAGTGGCATAAATAAGATGGTTTGCATAAG	1349
AGTACTTCATGGATAACCGTAAGGGCTACTA	1379
CTGAAAGAGAAATGTTTAAGCAGCATGGTA	1409
TGTGAGCAANTAGTGATAATTATTCCATCC	1439
TTTTTTTTTAATATAAAGCAGGAGTTTTGTC	1469
AAAAAAAAAAAAAAAAAAAAAAAAAAAAA	1497

FIG. 10B